TECHNOLOGIES AVAILABLE FOR LICENSING

2020

BRANDEIS UNIVERSITY

Therapeutics, Diagnostics, and Drug Delivery

Research Tools and Targets Food Science and Safety Data Analytics



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Therapeutics, diagnostics, and drug delivery

Treatment discoveries in oncology, infectious disease, endocrinology, and more. Novel drug delivery platforms with applications in oncology, infectious disease, and other fields.



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Novel first- based antibiotics for the parasite *Cryptosporidium parvum*

PATENT TITLE

Triazoles to treat Cryptosporidosis

INVENTORS

Lizbeth Hedstrom Lab Gregory Cuny Lab

Lisa Sharling Lab

Sivapriya Kirubkaran Lab

PATENT STATUS

<u>Issued</u>: Patent No. 8,969,342 priority date of March 20, 2011

Pending Divisional: Application No. 14/571,673

LICENSING STATUS

United States rights available

BRANDEIS REF.

Case 1022

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Novel first- based antibiotics for the parasite Cryptosporidium parvum

Background:

C. parvum is a parasite that causes diarrhea and malnutrition, as well as severe infection in immuno-compromised AIDS patients in the developed world. It is also a water-borne pathogen that causes malnutrition in the developing world. C. parvum also has the potential bio-warfare agent. No vaccines or effective drug treatments are currently available and commonly used antiparasitic drugs fail against *C. parvum*.

The purine salvage pathway of C. parvum relies on inosine-5'-monophosphate dehydrogenase (IMPDH) and is very different from the host counterpart. Organisms need to synthesize nucleotides in order for their cells to divide and replicate. IMPDH is a ubiquitous enzyme in eukarvotes, bacteria and protozoa and is involved in the de novo biosynthesis of guanine nucleotides. IMPDH also plays roles in immune cells, metabolic events and viral replication. Therefore, IMPDH inhibitors are useful drugs in the treatment of transplant rejection, autoimmune disease, viral diseases and cancers. Hedstrom and colleagues have found that the only route to guanine nucleotides is via IMPDH. By exploiting the evolutionary divergence of parasite and host enzymes, a high throughput screen yielded four parasite-selective IMPDH inhibitors that display anticryptosporidial activity in vitro with greater potency than paromomycin, the current gold standard for anticryptosporidial activities. These compounds are expected to inhibit other IMP dehydrogemnases. Examples of other disease causing organisms containing such enzymes include Campylobacter jejuni which causes food poisoning, Neisseria gonorrhoeae (causes gonorrhea), Mycobacterium tuberculosis (causes tuberculosis) and etc.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Summary:

- Since IMPDH plays a role in immune cells, metabolic events and viral replication, IMPDH inhibitors are useful drugs in the treatment of transplant rejection, autoimmune disease, viral diseases and cancers.
- All parasitic protozoa lack purine biosynthetic enzymes and must salvage purines from their hosts, making the IMPDH pathway an attractive target for developing anti-protozoa drugs Phase I clinical study currently underway tracking blood glucose and GI responses over 4 weeks
- No effective drug treatment exists for cryptosporidiosis. These compounds are more potent than those previously reported.

Scientific Publications:

• "Targeting a prokaryotic protein in a eukaryotic pathogen: identification of lead compounds against Cryptosporidiosis" (2008) PMCID: PMC2441818



Oligosaccharide-Oligonucleotide Vaccine

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Methods for the Development of Vaccines Based on Oligosaccharide-Oligonucleotide Conjugates

INVENTORS

Sevan Habeshian, Lizbeth Hedstrom, Isaac Krauss, Iain MacPherson

PATENT STATUS Issued:

U.S. Patent No. 9,080,169

U.S. Patent No. 10,378,017

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BRANDEIS REF. Case 1052

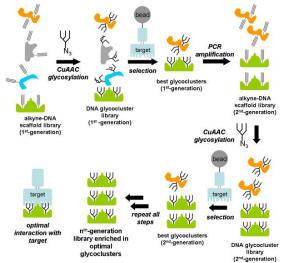
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Develop target-specific antibody using oligosaccharide-oligonucleotide conjugates

Certain monoclonal antibodies have therapeutic potential against a particular disease, even though similar antibodies seldom or never arise during disease progression in most humans. In such cases, a "reverse immunology" approach would be desirable, in which an immunogen is designed which structurally mimics the epitope of the therapeutically useful monoclonal antibody. Immunization with this epitope mimic would then elicit an antibody response mimicking the monoclonal antibody.

The success of this strategy depends on the extent to which one can design a molecule that is a good structural and conformational mimic of the native epitope. Carbohydrates are necessary epitopes component for antibody binding. Carbohydrates are flexible and may exhibit different conformational profiles when attached to structures other than those present in the actual target protein. Good vaccine can be produced, if the target protein epitope can be faithfully mimicked



using backbone of carbohydrates embedded in the oligonucleotide framework.

The invention relates to a method of directed evolution of a large library of carbohydrate-oligonucleotide conjugates, and then therapeutically-useful а monoclonal antibody is used to bind those members of the library which best resemble its native epitope. Through amplification or diversification of the best binders from the first library, the best epitope mimics are subsequent selected from library generations to provide improved binders. carbohydrate-oligonucleotide The conjugates obtained from the process present carbohydrates in an environment similar to that of the natural epitope. Such a

compound, when formulated with the appropriate immunogenic carrier and adjuvant, would constitute a vaccine. In this invention, we utilized the oligonucleotide framework and power of the selection process to synthesize chemically modified oligonucleotides attached to an unmodified region that retains the information required for the amplification and regeneration necessary for the selection process. We used this method to select glycan-modified aptamers that bind monoclonal antibody (Mab) 2G12, which neutralizes HIV.

Though this invention can be used to design vaccines against HIV, it can also be used to discover vaccines against any other diseases for which therapeutically useful antibodies are known to bind to a carbohydrate structure, cancer antigens, for instance, RAV12. Moreover, this invention also enables rapid discovery of oligosaccharide-oligonucleotide conjugates which could specifically disrupt the binding of any know glycoprotein-glycoprotein or protein-glycoprotein interaction in which the binding involves the carbohydrates.

Advantages:

•A novel method to generate carbohydrate-oligonucleotide conjugates to produce vaccine

- •High throughput library screening by utilizing a "reverse immunology" approach
- •A successful strategy for biotech/pharmaceuticals based on exhaustive antigen epitope space

Scientific Publication:

•"Multivalent glycocluster design through directed evolution." PMCID: PMC3900255



Novel Method for Small Molecule Inhibitors to Induce Protein Degradation

SEEKING

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PATENT TITLE

Small Molecule Targeted Protein Degradation

INVENTORS

Lizbeth Hedstrom, Deviprasad Gollapalli, Marcus Long

PATENT STATUS Issued: Patent No. 9,765,019

LICENSING STATUS US rights available

BRANDEIS REF. Case 1053

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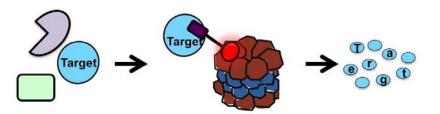
Small Molecule Targeted Protein Degradation

Numerous human diseases are driven by specific proteins, and small molecule protein inhibitors are pharmaceutical products heavily used in the healthcare industry. Given the rapid market growth in the past decade, therapies using traditional inhibitors are still facing challenges of efficacy and safety. Novel inhibitors that induce target protein degradation have shown enhanced pharmacology, therefore represents a promising direction of drug development.

The team of Dr. Hedstrom at Brandeis University has pioneered a novel method of developing modified small molecule inhibitors that can induce protein degradation. This technique has been validated using in vitro cell culture and has shown robust and selective degradation of protein targets.

This broadly-applicable invention may lead to the development of a novel line of drugs for diseases covering a major faction of existing pharmaceutical market, including cancers, genetic, metabolic and neurodegenerative diseases. This invention can change previously undruggable targets into druggable targets therefore may produce novel drugs covering new disease area.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.



Advantages:

- •Broadly-applicable
- •Robust endogenous protein down-regulation
- •No genetic modification required
- •Easy and cheap to synthesize

Scientific Publication:

- Inhibitor Mediated Protein Degradation (2012). PMID: 22633414
- Boc3 Arg-linked ligands induce degradation by localizing target proteins to the 20S proteasome (2016). PMID: 27704767



Novel Small Molecule Compositions to Treat Microbial Infection

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Compounds and methods for treating mammalian gastrointestinal microbial infections

INVENTORS

Lizbeth Hedstrom, Gregory Cuny, Suresh Gorla, Mandapata Kavitha

PATENT STATUS Issued: Patent US 9,447,134

LICENSING STATUS Worldwide rights available

BRANDEIS REF. Case 1115

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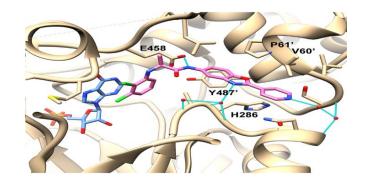
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IMPDH inhibitors selectively prevent guanine synthesis in microbes

The invention available for licensing is a family of phthalazione, urea and benzoxazle-based small molecule compounds that treat these infections by inhibiting *Cryptosproridium* IMPDH (Cp IMPDH) activity. We have found that these compounds selectively inhibit Cp IMPDH activity in parasites to effectively shut down their purine salvage pathway and thus preventing the synthesis of new guanine nucleotides required for cell division. However, our compounds have little effect on the host's own cellular IMPDH activity. Our novel antimicrobial agents strongly inhibit the proliferation of *Cryptosporidium* oocysts when tested in a mouse model of acute cryptosporidiosis and appropriately co-localize in highest concentrations within intestinal cells where the parasite resides.

Pre-clinical results suggest our novel compounds and/or their optimized derivatives can be used to more effectively treat *Cryptosporidium* infections in mammals, while not causing liver toxicity or perturbing the host's natural gut microbiota. From our preliminary experiments *in vitro*, these same compounds also inhibit the growth of Staphylococcus aureus and Mycobacterium tuberculosis and thus show promise for use as possible broad spectrum antimicrobial agents in improving human and veterinary care.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.



Summary:

- •Novel antimicrobial compositions that inhibit protozoan and bacterial IMPDH
- •Proven efficacy in vivo using a standard mouse model of acute cryptosporidiosis
- •Additional results in vitro show broad spectrum growth inhibition of Staphylococcus aureus and Mycobacterium tuberculosis strains

Scientific Publication:

• Repurposing Cryptosporidium Inosine 5'-monophosphate Dehydrogenase Inhibitors as Potential Antibacterial Agents. (2014). PMID: 25147601

• Mycobacterium tuberculosis IMPDH in complexes with substrates, products and antitubercular compounds. (2015) PMID: 26440283

• A novel cofactor binding mode in bacterial IMP dehydrogenases explains inhibitor selectivity. (2015) PMID: 25572472



Inhibitors of Cystein Proteases

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Thiocarbonate- and Thioureabased inhibitors of cysteine proteases

INVENTORS

Lizbeth Hedstrom Marcus Long Ann Lawson

PATENT STATUS ISSUED 10,017,463

LICENSING STATUS U.S. rights available

BRANDEIS REF. Case 1143

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Inhibitors of deubiquitinating proteases

Background:

We have discovered that isothiocyanate, thiocarbamate and thioimidocarbonic acid diester compounds can inhibit cysteine proteases, including deubiquitinating proteases (DUBs) such as USP9x, USP5, USP14, UCH37and UCHL3, cathepsin C, papain and ficin. Cysteine proteases regulate many important physiological processes, and are potential targets for the treatment of many diseases, including inflammation, arthritis, osteoporosis, gingivitis, cancer, neurodegeneration and infection. DUBs are targets for the treatment of cancer, neurodegeneration and infection.

Cathepsin C is a target for inflammatory and autoimmune diseases. These compounds will be immediately useful as tools for the investigation of the cathepsin C and ubiquitin pathways. These compounds will also form the basis for development of chemotherapy for many diseases. These compounds are related to naturally occurring compounds that have anti-cancer activity. The currently available cysteine protease inhibitors tend to be reactive compounds that irreversibly modify other proteins in addition to their targets, and therefore exhibit nonspecific toxicity. There are few specific inhibitors of DUBs. The compounds reported here display good potency and selective toxicity in cell-based assays. There have been related developments by others: Cysteine protease inhibitors in general, and DUB inhibitors in particular, are very active areas of research.

There are many examples of general inhibitors of cysteine proteases. However, we know of no other groups working on thiocarbamate, isothiocyanate or and thioimidocarbonic acid diester-based inhibitors. Naturally occurring isothiocyanates are produced from glucosinolates in cruciferous vegetables such as broccoli. These naturally occurring isothiocyanates are recognized as anti-cancer agents. There are reports that isothiocyanates are not known to be DUB inhibitors. It should be noted that that isothiocyanates are known to modify proteins at amino groups, but these reactions occur at high pH. There are also no reports of thioimidocarbonic acid diesters inhibiting cysteine proteases, though there are reports of the related dithiocarbamates as protease inhibitors. We know of no reports of thiocarbamates as cysteine protease inhibitors, though they are known to be serine protease inhibitors.

Summary:

• Cysteine proteases regulate many important physiological processes, and are potential targets for the treatment of many diseases, including inflammation, arthritis, osteoporosis, gingivitis, cancer, neurodegeneration and infection

- These compounds are related to naturally occurring compounds that have anti-cancer activity.
- There are few specific inhibitors of DUBs.

Advantages:

•The currently available cysteine protease inhibitors tend to be reactive compounds that irreversibly modify other proteins in addition to their targets, and therefore exhibit nonspecific toxicity. There are few specific inhibitors of DUBs. The compounds reported here display good potency and selective toxicity in cell-based assays

Scientific Publications:

• "A Novel Cofactor-binding Mode in Bacterial IMP Dehydrogenases Explains Inhibitor Selectivity." (2015) PMCID: PMC4342496

• The antibiotic potential of prokaryotic IMP dehydrogenase inhibitors(2011) PMCID: PMC5036587



Cbz-B3A Inhibition of mTor Signaling

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

mTOR inhibitors and methods of use thereof

INVENTORS Lizbeth Hedstrom, Rory Coffey

PATENT STATUS

Pending: U.S. application 15/774,962

LICENSING STATUS U.S. rights available

BRANDEIS REF. Case 1236

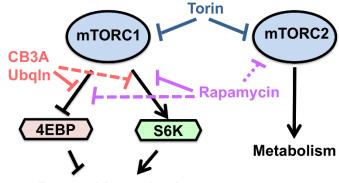
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Ubiquilin mediated inhibition of mTOR signaling

Mammalian target of rapamycin complex1 (mTORC1) is a master regulator of cellular growth and proliferation. Dysregulation of mTOR signaling is important in many diseases including cancer, neurodegeneration and diabetes. mTOR inhibition increases the lifespan in many organisms. We have discovered a small molecule inhibitor (Cbz-B3A) of mTORC1 signaling. This process is mediated by ubiquilins, thus linking mTOR signaling to protein homeostasis. Cbz-B3A preferentially inhibits the phosphorylation of certain binding proteins and blocks most of the translation process.

Cbz-B3A slows cellular growth of human tumor cell lines, but is not cytotoxic. Cbz-B3A has very different characteristics than previously described mTOR inhibitors. It is a specific inhibitor of the mTORC1 complex, while rapamycin, TORIN and other inhibitors also block mTORC2. In contrast to rapamycin, which preferentially inhibits the phosphorylation of p70s6k, or TORIN, which inhibits the phosphorylation of all mTOR substrates.



Protein biosynthesis

Cbz-B3A preferentially blocks the phosphorylation of 4EBP1. This property makes Cbz-B3A a more effective inhibitor of translation (68% versus 30% for rapamycin). The translation block is believed to be responsible for the prolongation of aging. Thus Cbz-B3A exemplifies a novel strategy to inhibit mTORC1 signaling that might be exploited for treating many human diseases.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Summary:

•Cbz-B3A inhibits translation by blocking the phosphorylation of 4EBP1 by mTORC1.

•Cbz-B3A does not appear to interact directly with mTORC1 but rather inhibition is mediated by ubiquilins 2 and 4.

•Unlike other mTOR inhibitors, Cbz-B3A efficiently blocks 4EBP1 phosphorylation but only partially inhibits the phosphorylation of p70s6k.

•Cbz-B3A provides a novel strategy to block translation via 4EBP1 phosphorylation that may also be an effective treatment for these devastating diseases.

Scientific Publication:

•"Ubiquilin-mediated Small Molecule Inhibition of Mammalian Target of Rapamycin Complex 1 (mTORC1) Signaling." J Biol Chem. 2016. Epub 2016 Jan 6. PubMed PMID: 26740621



SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE IMPDH-Targeted Antibiotics

INVENTORS

Lizbeth Hedstrom Shibin Chacko Suresh Kumar Gorla Michael Joseph Pepi Gary Marqus Deviprasad Gollapalli

PATENT STATUS

<u>Pending</u> PCT/US2019/029338

LICENSING STATUS Worldwide rights available

BRANDEIS REF. Case 2018-040

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Compounds to treat tuberculosis

Compound Q112 has novel antibacterial activity against *Mtb*

Background:

The staggering worldwide burden of tuberculosis is rendered even more threatening by the emergence of *Mycobacterium tuberculosis* (*Mtb*) strains resistant to all frontline drugs. More than 10 million people developed tuberculosis in 2015 alone, and 1.4 million people died of this disease. The treatment of even drug-sensitive strains is challenging, requiring the administration of four different antibiotics for six months. However, almost 0.5 million cases involve *Mtb* strains that are resistant to frontline drugs and extensively drug resistant strains have emerged that are also resistant to second line drugs. Clearly new drugs and new drug targets are needed to develop better treatment for tuberculosis and combat the relentless evolution of resistance.

We have discovered a compound, **Q112** that displays promising antibacterial activity against *Mycobacterium tuberculosis* (*Mtb*), the causative agent TB. Although it arose from a program developing IMPDH inhibitors as potential antibiotics, **Q112** does not inhibit IMPDH. *Mtb* treated with **Q112** display a unique metabolic profile, distinct from other antibiotics, which indicates that **Q112** has a novel mechanism of action. We are currently developing the structure-activity relationship of **Q112** to identify an analog for in vivo testing. Experiments are also underway to identify the target.

Summary:

• New drugs and new drug targets are needed to treat tuberculosis and respond to the emergence of antibiotic resistant strains.

• **Q112** displays promising antibacterial effects against Mtb with a different metabolomic profile than other antibiotics, indicating that it engages a novel target.

• Next steps involve target identification, further medicinal chemistry optimization and in vivo testing.



SEEKING Licensee or sponsored research

PATENT TITLE

Compounds and methods for Treating Chronic Microbial Infections

INVENTORS

Lizbeth Hedstrom Deviprasad Gollapalli

PATENT STATUS

<u>Pending</u> PCT/US2019/062946

LICENSING STATUS Worldwide rights available

BRANDEIS REF.

Case 2018-046

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Using IMPDH inhibitors to treat chronic Infections

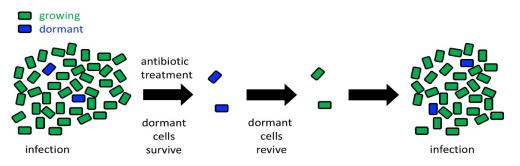
A New Strategy to Treat Chronic Infections

Background:

Chronic bacterial infections account for approximately 500,000 deaths annually in the U.S. *Staphylococcus aureus* is one of the most prevalent causes of chronic infections, responsible for wound infections, osteomyelitis, endocarditis, infections of indwelling devices. In 2010, approximately 1 million hip and knee replacement surgeries were performed in United States and this number is projected to reach 4 million by 2030. Approximately 1-2% of these can be expected to develop infections. Surgical site infections alone are estimated to have a financial impact of \$10 billion/year. Tuberculosis and Lyme disease are also characterized by chronic infections. The cost burden of Lyme disease alone has been estimated at \$1.3 billion/year. Shortening the course of treatment would substantially lower costs associated with these infections.

Most recurrent and difficult to treat infections are caused by bacteria that are susceptible to commonly used antibiotics. These infections persist because antibiotics are only effective against actively growing bacteria. However, a small number of bacteria are quiescent, and therefore survive treatment. These quiescent cells are known as "persisters". Persister cells also account for the antibiotic recalcitrance of biofilm infections, which account for 550,000 deaths each year in the US. Biofilm infections add more than \$1 billion to the cost of hospital stays. Persister cells are also believed to play an important role in the emergence of antibiotic resistance. A drug to treat persister cells would address a critical unmet need.

A handful of anti-persister compounds have been reported in the literature, but none have reached the clinic. We have discovered that IMPDH inhibitors, exemplified by compound P226, induce bacteria to initiate growth prematurely. We hypothesize that IMPDH inhibitors will cause quiescent cells to become sensitive to commonly used antibiotics, thus providing a more effective treatment for chronic infections. Our preliminary results show that **P226** and related compounds increase the bacteriocidal activity of commonly used antibiotics, as expected if persister cells are induced to grow. We are currently testing this model.



Summary:

- Antibiotic tolerant "persister cells" cause chronic infections.
- Effective anti-persister compounds have not made it to the clinic yet.
- Compound P226, an IMDPH inhibitor, increases the bactericidal activity of antibiotics.

• IMPDH inhibitors cause quiescent cells to become sensitive to commonly used antibiotics, providing a more effective treatment for chronic infections



Cancer Therapy via Allosteric Modulation of Aurora A Kinase

SEEKING

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PATENT STATUSES

Pending

United States Application Serial No.15/774,747 (published as US2018/0334510) titled "Compositions and Methods for Modulating Kinase Activity"

United States Application Serial No. 16/075,550 (published as WO 2017/139321 A1) titled "Compositions and Methods for Inhibiting Kinase Activity"

INVENTORS Dorothee Kern

Adelajda Zorba

LICENSING STATUS Worldwide rights available

BRANDEIS REFERENCES Cases 1229 and 1232

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New treatment approach using antibody mimetics binding to the PIFpocket of Aurora A kinase inhibits enzyme activity and causes cell death

Background:

Aurora A (AurA) is a Ser/Thr kinase overexpressed in a wide range of human cancers including breast, colon, ovary and skin malignancies. It coordinates mitotic division not only through regulating centrosome maturation and duplication, but also through directly impacting spindle microtubule formation in later stages of mitosis. In order to localize to spindles and coordinate proper progression of mitosis, AurA must bind to, and be allosterically activated by interactions within its regulatory Pif pocket from the microtubule-associated protein TPX2 (Targeting Protein for Xklp2). Without this binding, AurA is not activated nor localized to the spindles and leads to cell death. A drug that blocks TPX2 binding and specifically reduces the activity of AurA kinase would be a powerful new anticancer therapy.

Pif pocket domains are not highly conserved among human Ser/Thr kinases and thus provide novel targets for the identification of new, highly-specific inhibitors with fewer off-target side effects than drugs directed to the more highly conserved active sites. Our invention is the discovery of monobodies (Mbs) with high binding affinity to the Pif pocket of AurA kinase which inhibit enzyme activity by modulating the allosteric conformation of its N-terminal α C-helix within the catalytic domain but away from the active site. Mbs are synthetic binding proteins developed from highly tailored combinatorial libraries constructed on a small, non-immunogenic human fibronectin-based scaffold.



The interaction dynamics and mechanism of action for inhibitory Mbs were analyzed using high resolution x-ray crystallography, isothermal titration calorimetry, and NMR studies. Four major structural features were identified in effectors that differ between activating and inhibitory Mbs. The AurA-Mb interactions were confirmed *in vivo* by expression of GFP-Mb fusion constructs in both HEK293 and HeLa cells where the inhibitory Mbs disrupt translocation of AurA to spindles and cause cell death. Inclusion of small molecule inhibitors that specifically target the ATP-binding pocket in addition to use of Mbs binding to the Pifpocket further improves inhibition of kinase activity while decreasing toxic side effects.

Summary:

• Monobodies (Mbs) have been identified which bind with nM to low µM affinity to the hydrophobic Pif pocket in the N-terminal lobe of Aurora A (AurA) and allosterically modulate its kinase activity

- Inhibitory Mbs bind and "lock" the αC-helix of the catalytic domain an inactive conformation
- When expressed in mammalian cells, Mb inhibitors co-localize with AurA kinase and prevent interactions with TPX2 which block microtubule spindle localization and lead to cell death
- Allosteric inhibitor Mbs targeting AurA represent a new approach in treating cancers

Advantages:

- High affinity biologic approach that specifically shuts down enzyme activity of AurA kinase
- Very stable due to lack of disulfide bonds and non-immunogenic due to fibronectin backbones

Related Scientific Publications:

Zorba *et al.* (2014) Molecular of Aurora A kinase autophosphorylation and its allosteric activation by TPX2. eLife 3:e02667. DOI: 10.7554/eLife.02667.

Pitsawong *et al.* (2018) Dynamics of human protein kinase Aurora A linked to drug selectivity. eLife 7:e36656. DOI: https://doi.org/10.7554/elife.36656



High Temperature SELection with Modified Aptamers (SELMA)

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

High temperature selection of nucleotide-supported carbohydrate vaccines and resulting glycosylated oligonucleotides

INVENTOR Isaac Krauss

PATENT STATUS

Issued: U.S. Patent No. 10,378,017 Pending: U.S. Patent Application No. 16/538.291

LICENSING STATUS U.S. rights available

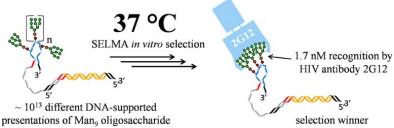
BRANDEIS REF. Case 1153 and 1303

CONTACT

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Evolution of DNA-Supported Oligomannose Clusters Tightly Recognized by HIV bnAb 2G12

Previous HIV vaccine approaches, mostly using while HIV proteins, have failed because they stimulate antibodies which can only neutralize the HIV strain used to make the vaccine. Among several challenges is HIV's famously rapid mutation rate which leads to viral diversity, recent studies also have shown that up to 20% of HIV-positive individuals nevertheless produce "broadly-neutralizing" antibodies (bnAbs), work by binding to the conserved portion of the HIV protein or its carbohydrate surface, i.e., features which are essential for viral survival and therefore must remain present in all strains. Our unconventional vaccine materials are carbohydrate/DNA conjugates, but appear very promising in that HIV antibodies bind to them extremely tightly, which suggests that they are good mimics of the HIV virus and could be good HIV vaccine candidates.



The strategy based on a directed evolution method (SELMA) is used to develop DNAsupported clusters of carbohvdrates in which the geometry of clustering is optimized for strong recognition

by a lectin of interest. As an optimization of the original SELMA method, we achieved dramatically stronger target recognition (100-fold) with fewer glycans (2-3 fold). Compared to the previous application of SELMA, the current glycoclusters contain fewer glycans (3-4 vs. 5-10 in the past), yet bind to the 2G12 HIV antibody target with Kd's as low as 1.7 nM (vs. 150-500 nM Kd's in the past). These glycoclusters are recognized by 2G12 as tightly as is the HIV envelope protein gp120, and they are the first constructs to achieve this tight recognition with the minimal number of Man9 units (3-4) necessary to occupy the binding sites on 2G12. They are thus of interest as immunogens that might elicit broadly neutralizing antibodies against HIV.

The invention shows that SELMA-based glycocluster selection, with the temperature increased to 37 °C, affords low-valent Man9 clusters whose affinity for 2G12 matches that of gp120 both thermodynamically and kinetically. The high temperature 37°C selection winners are not only of higher affinity (1.7-16 nM vs 150-500 nM) but also contain fewer glycans than room temperature selection winners (3-5 vs 7-10).

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Advantages:

An optimized method to generate carbohydrate-oligonucleotide conjugates to produce HIV vaccine at 37°C

•High temperature selection affords higher affinity and contains fewer glycans than room temperature

A successful strategy for biotech/pharmaceuticals based on exhaustive antigen epitope space

Scientific Publication:

•"High Temperature SELMA: Evolution of DNA-Supported Oligomannose Clusters Which Are Tightly Recognized by HIV bnAb 2G12". J. Am. Chem. Soc., 2014, 136 (5), pp 1726–1729.

 "Directed Evolution of 2G12-Targeted Nonamannose Glycoclusters by SELMA". Chemistry. 2013 Dec 16;19(51):17291-5.



Directed Evolution of Multivalent Glycopeptides Tightly Recognized by HIV Antibody 2G12

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT STATUS

Issued:

U.S. Patent No.10.544.412 titled "Directed Evolution of Multivalent Glycopeptides that Tightly Bind to **Target Proteins**"

U.S. Patent No.10,563,193 titled "Multivalent Glycopeptides that Tightly Bind to Target Proteins"

Pending:

U.S. Patent Application No. 16/740,750 titled ""Multivalent Glycopeptides that Tightly Bind to Target Proteins"

INVENTORS Isaac Krauss, Satoru Horiya

LICENSING STATUS US rights available

BRANDEIS REF. Case 1154 and 1177

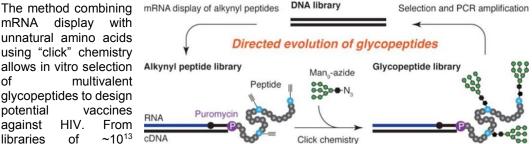
CONTACT Rong Zhou, Ph.D.

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Background

Antibody 2G12, isolated from an HIV positive individual, binds and neutralizes a broad range of HIV strains, and provides sterilizing immunity against HIV challenge in macague models of infection. The recent approach, SELMA, tackles the problem of designing 2G12 epitope mimics by applying a directed evolution-based strategy in which a DNA backbone evolves to optimally cluster the epitope glycans. The directed evolution of glycopeptides, can give rise to both HIV and cancer vaccine design, especially in vitro selection. The recent development in phage display with chemically modified phages enabled selection of peptides 5-mer sequences containing a single central mannose monosaccharide from ~10⁶ sequences. By incorporating multiple glycans in the carbohydrate HIV epitopes, this invention allows access to multivalent glycopeptides containing several glycans at variable positions, supported by a significant peptide framework.

mRNA display with unnatural amino acids using "click" chemistry allows in vitro selection Alkynyl peptide library of multivalent glycopeptides to design potential vaccines against HIV. From ~10¹³ of libraries



glycopeptides containing multiple man₉glycan(s), variants are selected to bind HIV broadly neutralizing antibody 2G12 with picomolar to low nanomolar affinity. This is comparable to the strength of the natural 2G12-gp120 interaction, and is the strongest affinity achieved with constructs containing only 3-5 glycans. These glycoclusters are recognized by 2G12 as tightly as is the HIV envelope protein gp120, and they are the first constructs to achieve this tight recognition with the minimal number of Man9 units (3-4) necessary to occupy the binding sites on 2G12. They are thus of interest as immunogens that might elicit broadly neutralizing antibodies against HIV.

The invention shows that SELMA-based glycocluster selection, with "click" chemistry to attach Man9 azides to the library alkynes while the DNA encoding the random library is transcribed and translated. The process is repeated until multivalent glycopeptides are obtained, which have high-affinity for the target lectin.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Advantages:

- An optimized selection method to produce carbohydrate-oligonucleotide conjugates based HIV vaccine
- •Achieved tight recognition to HIV broadly neutralizing antibody 2G12 with the minimal number of Man9 units
- A successful strategy for both HIV and cancer vaccine development in vitro

Scientific Publication:

•"Directed Evolution of 2G12-Targeted Nonamannose Glycoclusters by SELMA". Chemistry. 2013 Dec 16;19(51):17291-5. doi: 10.1021/ja500678v.

•"DNA display of folded RNA libraries enabling RNA-SELEX without reverse transcription." Chem. Commun. 2017 Mar 2; 53(19):2878-2881. doi: 10.1039/c6cc09991b.



DNA Display of Folded RNA Libraries Enabling RNA-SELEX without Reverse Transcription

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

DNA Display of Folded RNA Libraries Enabling RNA-SELEX without Reverse Transcription

INVENTOR

Isaac Krauss, Iain MacPherson

PATENT STATUS

Pending: U.S. Patent Application No. 16/486,248

LICENSING STATUS U.S. rights available

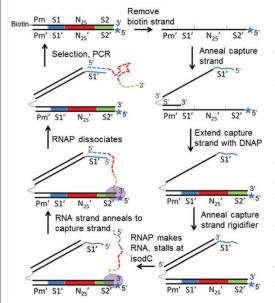
BRANDEIS REF. Case 1342

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Directed Evolution of Glycopeptides Using a RNA Backbone

RNA-SELEX has been introduced as a way to circumvent reverse transcription step during systematic evolution of RNA ligands by exponential enrichment to physically attach folded RNA libraries to their encoding DNA. This method will be useful in the discovery of RNA aptamers and ribozymes containing base modifications that make them resistant to accurate reverse transcription. Limitations to standard aptamer libraries (nuclease sensitivity, limited chemical diversity) have been overcome by the use of non-natural nucleotide analogs. This invention presents a method termed SELection of Modified Aptamers, or SELMA, allowing for the incorporation of large modifications into DNA libraries, which was successfully used to obtain multivalent glycoclusters that mimic a conserved epitope on the HIV envelop protein gp120. To increase efficiency of reverse transcription in base modifications and overcome the obstacles to utilize two different types of enzymes (RNA polymerase and reverse transcriptase) to tolerate the modified bases, the method successfully circumvents the need to generate and select a RNA libraries for introduction of alkyne-modified RNA analogues and post-transcriptional click modification in an RNA-version of SELMA.



By utilizing physical attachment of folded RNA libraries to their encoding DNA, this invention is presented as a way to circumvent the reverse transcription step during systematic evolution of RNA ligands by exponential enrichment (RNA-SELEX). This method circumvents the need for reverse transcription in the amplification of RNA libraries and could be applied to base modifications for which reverse transcription is inefficient.

The invention shows a verified method for DNA display of RNA. The benefits of using RNA in selections (structural diversity, amenability to 2' modifications for nuclease resistance) can be coupled with substantial post-transcriptional modification in a SELMA-type experiment. Stringency was then increased by lowering the thrombin concentration from 10 to 1 nM and shortening incubation time from 1 hour to 5 minutes; after the 10th round of selection the

library was cloned and 10 members sequenced and analyzed for thrombin binding.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Advantages:

•An innovation for DNA display of RNA to circumvent the reverse transcription step during RNA-SELEX

- •Effectively displayed RNA library that could bind to human thrombin, with preferred secondary structures
- •A successful RNA library generation strategy allows the selected aptamers adopt a preferred conformation
- •Selection cycle is fast and straightforward since the display method uses commercially available materials

Scientific Publication:

•"DNA display of folded RNA libraries enabling RNA-SELEX without reverse transcription". *Chem. Commun.*, **2017**,53, 2878.



Use of DBH Inhibitors for Long Term Memory Enhancement

SEEKING

Exclusive licensing partner for commercialization

PATENT TITLE

DBH Inhibitors for Treating or Preventing Memory Loss

INVENTOR John Lisman

PATENT STATUS

<u>Issued</u>: U.S. Patent No. 10,441,573

Pending:

PCT/US2016/065896 in Canada Serial No. 3,007,641 and EP Serial No. 16873949.8

LICENSING STATUS Worldwide rights available

BRANDEIS REF. Case 1161

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Mobilization of noradrenergic fibers to produce hippocampal dopamine

Background:

The neuromodulator dopamine is required in the hippocampus for long-term memory formation. However, dopaminergic innervation in the hippocampus is sparse while there is an abundance of noradrenergic fibers. Since noradrenaline is synthesized from dopamine by the enzyme dopamine beta-hydroxylase (DBH), it is now believed that dopamine in the hippocampus could be supplied by co-release from noradrenergic fibers. The source of noradrenergic fibers, the locus coeruleus, strongly degenerates early in the course of Alzheimer's Dementia (AD).

The invention is based on the hypothesis that deterioration of hippocampus-dependent declarative memory in AD patients may be caused by insufficient co-release of dopamine by noradrenergic fibers due to degeneration of the noradrenergic system. It suggests the chronic use of a DBH inhibitor drug (Nepicastat – manufactured by Biotie Therapeutics Corp.) can enhance memory by driving the surviving noradrenergic fibers to produce more dopamine to support memory formation. Nepicastat was developed as a selective inhibitor of DBH for treating PTSD and cocaine addiction but was abandoned in Phase 2 efficacy studies due to a failure in reaching clinical end points at inhibiting alcohol metabolism.

The invention has been first tested in C57BI/6 mice where it was proven that chronic oral administration of Nepicastat (50-200 mg/kg) for two weeks decreased the formation of noradrenaline in hippocampus and cortex in a dose-dependent manner. However, it selectively, in a dose-dependent manner, increased levels of dopamine only in the hippocampus (2-5 times the levels found in controls) while cortical dopamine levels were not changed. A long-term (6 months) experiment in a mouse model of AD (mutant strain Tg2576) then showed that hippocampus-dependent contextual fear memory was strongly impaired in Tg2576 mice but returned to almost wild type level following chronic (5 months) oral treatment with Nepicastat (50 mg/kg) and Droxidopa (400 mg/kg). Droxidopa (also known as L-DOPS), an artificial precursor of noradrenaline, was used to compensate for the decreased levels of noradrenaline by the DBH inhibitor, Nepicastat via a DBH-independent enzymatic pathway. L-DOPS is an FDA-approved synthetic amino acid precursor used for treating several diseases and available from multiple commercial manufacturers.

HPLC analysis of hippocampal tissues of these mice after the end point showed that indeed Nepicastat strongly decreased the levels of noradrenaline but increased hippocampal dopamine content. Droxidopa rescued reduced noradrenaline levels and unexpectedly increased production of dopamine to an even greater extent. The increase in contextual fear memory co-varied with hippocampal dopamine content but not with noradrenaline levels. Neither dopamine nor noradrenaline levels co-varied with indicators of AD such as soluble and insoluble amyloid-beta content (ELISA) and amyloid-beta oligomers (Erenna). The brain tissue plaque depositions (immunohistochemistry) were negligible. The lack of change in AD indicators may be due to early age of Tg2576 mice by the end of experiment (8-8.5 months).

Summary:

• Use of dopamine beta hydroxylase (DBH) inhibitors (e.g. disulfiram or Nepicastat) to prevent or delay memory loss associated with certain neurodegenerative diseases

• Treatment increases dopamine levels within the hippocampus to support memory formation by preventing noradrenergic axons from converting dopamine into noradrenaline via normal pathways

• Proof-of concept testing has been completed in a mouse model for Alzheimer's Disease

Advantages:

• DBH inhibitor drugs, disulfiram and Nepicastat, have already proven safe for use in humans

• Addresses the result of dopamine-secreting axons lost during neurodegenerative disease progression and can be used in combination with other drugs that targeting cause of the disease



Better Back Brace Design for Treatment of Scoliosis Patients

SEEKING

Exclusive licensing partner for commercialization

PATENT TITLE

Adjustable Back Brace and Methods for Use Thereof

INVENTOR Ingrid Marko

PATENT STATUS

Pending US application 16/608,092

LICENSING STATUS US Rights Available

BRANDEIS REF. Case 1349

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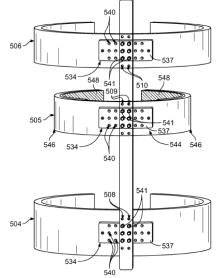
Jointed brace is adjustable to correct spine curvatures as children grow

Background:

Scoliosis is a spine disorder that affects 2-3% of the population (~9 million in the U.S. alone) and is observed more frequently in young girls than boys at a rate of 7:1. If left untreated, progressive spinal curvature can induce physical deformities and cause health risks affecting both heart and lung functions. Treatment options range from the use of stiff back braces in those patients with earlier diagnoses (or less severe cases with less than 40° curve angle) to higher risk corrective surgeries for patients with higher curvature angles. Children diagnosed with scoliosis are recommended to wear back braces up to 23 hours per day while they are still growing for optimal therapy to try to prevent further curvature from occurring.

The current standard of care for patients is the use of either the Boston or Charleston back brace for treating moderate to severe scoliosis in children aged 6-16 years. These brace designs aim to stop curvature from progressing and are usually custom fitted for each patient's body, but do not have high success rates. The lead times for production typically range from several weeks to several months and each can cost on the order of several thousand dollars to purchase. The problem with the use of these types of braces is that they are not adjustable to account for the growth of children or curvature progression, sometimes leading to further musculoskeletal damage if the incorrect forces are applied to the spine from the use of ill-fitted or outgrown devices.

Our invention is a novel back brace design for scoliosis patients consisting of upper and lower components that tightly conform to the body around the chest and hip regions, while being connected along the back using an attached rod, to apply tension to the spinal curves. The attachment points of the rod are adjustable both laterally and vertically on each of the upper and lower brace pieces so that the corrective forces can be periodically changed, as needed, by the physician during the treatment period as the child grows. In an alternative design, a third or middle brace can be added to the waist region for certain patients where the three conforming braces are then connected using two horizontally and vertically adjustable rods to optimize spinal corrective pressures. The doctor can adjust the tension on the spine based on positioning of these one or two rods and thus allowing better fit during growth over a 5-10+ year period.



Summary:

• Two improved adjustable back brace designs for providing corrective forces to scoliosis patients

• Novel brace designs that allow for periodic adjustments during the treatment period of 5-10+ years and will maintain a constant, custom fit as the child with scoliosis grows

Advantages:

• Design eliminates the long production wait time for obtaining a new, larger device once the child out-grows a custom fit brace thus avoiding damage from the use ill-fitted braces or their lack of use

• Adjustable nature reduces overall cost of treatment through the purchase of fewer devices and better application of corrective forces



Suppression PCR for Improved Rare Copy Diagnostics

SEEKING

Exclusive field-of-use or nonexclusive licensing partners

PATENT TITLE Methods for suppression PCR

INVENTORS

Ken Sugino Serena David Saori Kato Sean O'Toole Sacha Nelson

PATENT STATUS

Issued: U.S. Patent No. 9,518,292

LICENSING STATUS

Worldwide rights available

BRANDEIS REF. Case 1057

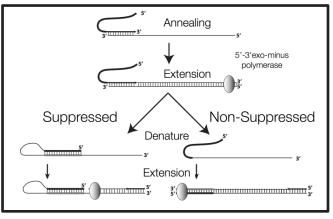
CONTACT

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Duel-element PCR primer allows rapid detection of rare sequence variants and mutations at single-nucleotide resolution

Background:

Faster, more accurate and less invasive methods for diagnosing cancer are of high interest to patients, healthcare providers, and payers. The current gold standard for guiding appropriate treatment — tumor biopsy — carries with it the significant costs and risks associated with invasive surgery while only sampling a portion of the abnormal cells. Intratumoral genetic heterogeneity is a known driver of clonal evolution, metastases, and eventual resistance to treatment so identification and analyses of key rare or low copy DNA mutations driving these changes are important for effectively managing therapy. Furthermore, because tumor cell migration often occurs through the vasculature, improved



tests for analyzing metastatic and tumor cell DNA captured in the bloodstream with liquid biopsies would significantly advance oncology treatment. Current PCR-based diagnostics, however. have significant technical constraints that are inherent to their design.

Nunchaku PCR of the current invention is the most userfriendly and widely-deployable suppression PCR method in existence today. With this

technology, the upstream primer itself mediates selective amplification of rare sequence variants from among an abundance of a known sequence, with the same primer sites. The performance of Nunchaku suppression PCR has been proven in-vitro. We are now seeking non-exclusive licensing partnerships to develop diagnostic kits for commercializing this technology. Such fully-contained kits would have broad market reach, with potential customers in researchers, hospitals, and CLIA-approved service providers.

Summary:

- The upstream PCR primer itself mediates selective amplification of rare sequence variants
- The single upstream PCR primer contains two, functionally unique binding sequences:
 - A 5' priming sequence is common to all variants 0

A 3' selective priming sequence, after extension and subsequent denaturing, loops back to bind to bases added to the primer's 5' end

- This structure blocks 5'-3' extension by a DNA polymerase lacking 5'-3' exonuclease activity
- With even one mismatch, as in single-nucleotide polymorphisms (SNPs), extension and sequence amplification are greatly increased

Advantages:

- · Allows for selective, million-fold amplification of rare sequence variants
- Higher performance: Outperforms existing suppression PCR methods by up to 8000x
- More cost-effective: Only requires conventional primers and commercially available polymerase lacking 5'-3' exonuclease activity. No need for modified nucleic acids or expensive enzymes!
- <u>Readily compatible with existing platforms</u>: Nunchaku PCR is unconstrained by temperature dependence at annealing/extension phases and restriction enzyme sites in target sequence



A Novel Therapy for Epilepsy-Rebuilding Inhibition in the Epileptic Brain

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Methods of Modulating Gabaergic Inhibitory Synapse Formation and Function.

INVENTORS

Suzanne Paradis, Anna Moore, Marissa Juzirian

PATENT STATUS

Pending: U.S. Patent Application

Serial No. 14/761,983 filed on January 24, 2014

LICENSING STATUS Worldwide rights available

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BRANDEIS REF. Case 1116

CONTACT

Rajnish Kaushik, PhD, MBA

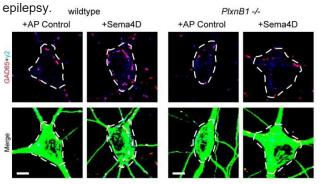
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Modulating GABAergic synapses via the Sema4D-PlexinB1 interaction to restore the balance of excitation and inhibition in brain.

Currently, millions of Americans suffer from epilepsy and fully 1/3 of these patients do not respond to available treatments. Researchers at Brandeis University have discovered that the Sema4D-PlexinB1 interaction may be harnessed as a novel strategy for the treatment of seizure disorders.

A key aspect of neuronal circuit formation is achieving the proper balance of excitation and inhibition (E/I) within the circuit. A given neuron, through its synaptic connections, either excites or inhibits other neurons in the circuit, thus establishing this balance. Disruptions to the E/I balance can have pathological consequences for circuit function as demonstrated by the manifestation of devastating neurological disorders, including epilepsy and Autism Spectrum Disorders (ASD).

The current invention is a novel approach to treating disorders such as epilepsy that would restore the normal E/I balance in network activity by permanently increasing the number of inhibitory synapses. Treatment of hippocampal cultured neurons with the protein Sema4D, acting through its receptor PlexinB1, causes a rapid increase (i.e. within 30 minutes) in the density of functional GABAergic synapses. It was also discovered that Sema4D could rapidly drive inhibition in the context of aberrant neuronal hyperexcitability induced in an organotypic hippocampal slice culture model of epilepsy. Researchers demonstrated that acute Sema4D treatment rapidly (within 2 hours) abates the hyperexcitability found in these slices. The effect of Sema4D treatment on seizures has been tested in two standard mouse models of



The researchers are interested in finding industry partners to pursue issues around drug production and delivery. For example, Sema4D is a large polypeptide that is unlikely to cross the blood brain barrier, thus making it a poor drug candidate.

Nonetheless, these experiments are important proof of principle

examining the in vivo effects and anti-seizure potential of driving inhibitory synapse formation in rodent models, using Sema4D administration as a tool.

This research also has therapeutic implications for other neurological disorders, such as ASD, where a shift in E/I balance is thought to represent the underlying pathology.

Advantages:

•This approach could be beneficial to preventing the establishment of epilepsy, halting its progression, or suppressing hyperexcitability during a seizure event

•It is conceptually different from current anti-epileptic drugs most of which seek to ameliorate seizures by temporarily increasing the function of existing inhibitory synapses

Our idea is simple and has high impact potential: on command, we instruct neurons to assemble more inhibitory synapses in the brain, thus suppressing seizures and/or preventing epileptogenesis.

Scientific Publication:

•Kuzirian et al. "The class 4 Semaphorin Sema4D promotes the rapid assembly of GABAergic synapses in rodent hippocampus." (2013) J Neurosci. 33: 8961-73.



Treatment of RAS-related Cancers by Blocking Palmitoylation

SEEKING

Exclusive licensing partner for commercialization

PATENT TITLE

Cancer Therapy

INVENTORS Ruibao Ren

Benjamin Cuiffo

PATENT STATUS

Issued United States Pat. No. 9,220,723

LICENSING STATUS Worldwide rights available

BRANDEIS REF. Case 1051

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Inhibitors of RAS palmitoyl-acyl transferases block oncogenic signaling

Background:

RAS small GTPases act as molecular binary switches in signal transduction regulating cell proliferation, survival and differentiation via multiple downstream effector pathways including RAF-MEK-ERK and PI3K-AKT. The mammalian RAS family includes three genes that encode four proteins - HRAS, NRAS, KRAS4A and KRAS4B – which are over 90% identical in the first 166 amino acids but diverge at their C-termini which contains sequences to target homologues to specific microdomains and effector pathways in cells. Aberrant activation of RAS signaling pathways is commonly observed in transformed cells with about 30% of all cancers found to have RAS mutations. Activating mutations of HRAS are relatively rare in cancers (3%) while KRAS mutations occur most frequently (85%) followed by NRAS (12%).

Cancers with RAS mutations are the most difficult to treat and refractory to current targeted drug therapies. Our invention is the finding that palmitoylation by palmitoylacyltransferases on RAS proteins at their C-terminal residue(s) upstream of the conserved CAAX motif is essential for oncogenesis. This modification is believed to localize RAS members to the proper regions on plasma membranes for supporting activation of downstream signaling pathways. While still retaining the ability to bind GTP, palmitoylation-defective N- and KRAS oncogenic mutants fail to fully transform cells. Drugs that reduce or block this modification by modulating the activity of key palmitoylacyltransferases would be new targeted approaches needed to address both NRAS- and KRAS4A-associated cancers.

Our new class of inhibitor drugs would be most useful in the treatment of those cancers associated with oncogenes upstream of RAS signaling pathways (e.g. neurofibromin 1-associated cancers; melanomas; BCR/ABL-positive cancers; B-acute lymphoblastic leukemia). Additionally, aberrant NRAS activation is particularly common in certain hematological cancers. Among myeloid malignancies, activating mutations of NRAS are found in 20-40% of acute myeloid leukemia, myelodysplastic syndrome, chronic myelogenous leukemia, multiple myeloma and myelomonocytic diseases, including chronic myelomonocytic leukemia and juvenile myelomonocytic leukemia.

Summary:

- Palmitoylation plays critical roles in both NRAS- and KRAS4A-mediated leukemogenesis
- Therapies preventing palmitoylation can be used for effectively treating RAS-related cancers
- While completely blocking PI3K-AKT downsteam signaling for N- and KRAS, preventing palmitoylation differentially effects RAF-MEK-ERK activation and only blocks signaling for NRAS
- Modulatory drugs can be RNAi-inducing agents, antibodies, siRNA, or small chemical molecules

Advantages:

• Cancer cells having activated RAS that require palmitoylation for oncogenesis are particularly sensitive to therapy with fatty acid synthase inhibitors (which is normally silent in adult cells)

•Targeted therapies to palmitoyl-acyl transferases are less toxic to cells than farnesyltransferase and geranylgeranyl transferase inhibitors which have proven too toxic to be clinically useful

Publications:

B. Cuiffo and R. Ren (2010) Palmitoylation of oncogenic NRAS is essential for leukemogenesis. *Blood* 115(7):3598-3605.

Zhao et al. (2015) Roles of palmitoylation and the KIKK membrane-targeting motif in leukemogenesis by oncogenic KRAS4A. *Journal of Hematology and Oncology* 8:132-145.



Method to Identify Patients Responsive to ICE-Inhibitor Therapies

SEEKING

Exclusive licensing partner for commercialization

PATENT TITLE

ICE-Cleaved Alpha-Synuclein as a Biomarker

INVENTORS

Dagmar Ringe Gregory A Petsko Queyen Hoang

PATENT STATUS

Issued

United States Pat. No. 9,116,157 Japan Pat. No. 5,980,790 Japan Pat. No. 6,006,908

LICENSING STATUS

Worldwide rights available

BRANDEIS REF. Case 1070

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Use of α -synuclein cleavage by caspase-1 as a biomarker for treatment

Background:

Alpha-synuclein (α Syn) is primarily found in brain tissues making up to 1% of all cytosolic proteins in neurons and is predominantly expressed in the neocortex, hippocampus, substantia nigra, thalamus and cerebellum. Fibrillization, aggregation and overexpression of α -synuclein is believed to play a major role in the degeneration of dopaminergic neurons in synucleinopathy diseases such as Parkinson's disease, dementia and multiple system atrophy. Lewy bodies, which are the abnormal intracellular aggregates found in the dying neurons of Parkinson's disease patients, consist mainly of ubiquitin mixed with misfolded fullength α Syn and a truncated form containing only its N-terminal 120 amino acids generated by protease cleavage. Inhibition of α Syn cleavage represents an attractive strategy for preventing Lewy body formations and arresting synucleinopathies. While the larger N-terminal 120 amino acid fragment is believed to nucleate the toxic protein aggregations *in vivo* leading to cell death, the shorter ~20 amino acid C-terminal fragment is released freely from cells.

Based on the inventors' novel finding that the 120 and 20 amino acid cleavage fragments of α Syn are generated *in vivo* by the protease caspase-1 (also referred to as interleukin-1 beta converting enzyme or ICE), our opportunity available for licensing is a diagnostic method to identify target patient populations having synucleinopathy diseases who are likely to respond favorably to treatment with caspase-1 inhibitor drugs. Caspase-1 is a member of the cysteine protease family of enzymes and located in inflammasomes where it becomes activated in response to environmental toxins, oxidative stress and infections. Our method consists of analyzing a patient's tissue sample (e.g. blood) for the presence of the C-terminal 20 amino acid cleavage fragment of α Syn that typically is undetectable in normal subjects. Those patients found to have elevated levels of this cleavage fragment would be ideal candidates for treatment therapies using caspase-1 inhibitors.

Caspase-1 is the only known protease capable of cleaving α Syn *in vivo* into its two protein fragments associated with synucleinopathies. The inventors identified caspase-1 as the responsible isozyme using RNAi knockdown experiments in yeast and human neuronal cell culture models. Caspase-1 proteolysis of α Syn, fragment aggregation and motor-function impairments were prevented *in vivo* using the ICE inhibitors VX765 (Vertex Pharmaceuticals) or NCGC00185682 (NIH) in mouse models of synucleinopathy diseases.

Summary:

- Diagnostic method to determine which patients having a synucleinopathy disease would likely respond to treatments using caspase-1 (ICE) inhibitor drugs
- Methodology is based on detecting the presence of a 20 amino acid C-terminal proteolysis α Syn fragment in patient samples (which is normally absent in samples from unaffected subjects)
- Target patient populations for this method are persons with Parkinson's or Lewy body diseases

Advantages:

• Targets treatment based on disease causation from αSyn aggregation and neuronal cell toxicity

Publications:

Wang *et al.* (2016) Caspase-1 causes truncation and aggregation of the Parkinson's disease-associated protein –synuclein. *Proc Natl Acad Sci* 113(34)9587-92.

Bassil *et al.* (2016) Reducing C-terminal truncation mitigates synucleinopathy and neurodegeneration in a transgenic model of multiple system atrophy. *Proc Natl Acad Sci* 113(34):9593-8.



Improved PCR Primer Strategy for Identifying DNA Variants

SEEKING

Exclusive licensing partners by fieldof-use

PATENT TITLE

Compositions and Methods for Nucleic Acid Based Diagnostic Assays for Variable Sequence Targets

INVENTORS

Kenneth Pierce, John Rice and Lawrence Wangh

PATENT STATUS Issued US Patent No. 9,850,529

LICENSING STATUS Worldwide rights available

BRANDEIS REF. Case 1068

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Detects presence of all related sequence targets in a single PCR run

Background:

The invention is an improved method for carrying out nucleic-acid-based diagnostic assays in a single reaction chamber using either symmetric or asymmetric PCR reactions (e.g. "Linear-After-The-Exponential PCR" or LATE-PCR). The key to this method's success is the engineered design of a set of multiple, low-concentration "Initiator Primers" (iPrimers) in combination with a partially homologous consensus primer at a concentration at least 5 times higher. Such primer sets can replace one or both primers of a typical primer pair. The method improves the ability to efficiently amplify DNA or RNA targets with a wide range of sequence variations compared to other consensus primer or degenerate primer methods.

A set of iPrimers can have any or all of the sequences of multiple strains of microorganisms, subtypes of genes, and gene homologues/orthologs within the test sample and are designed to have melting temperatures similar to that of the consensus primer, ensuring amplification of any variant during the first PCR cycles. The consensus primer is preferably designed based on thermodynamic properties to improve the homology with all iPrimers in the set and ensure continued amplification and detection.

The technology can be used in a variety of research, clinical and screening applications that are designed to identify unknown strains of microorganisms or homologues/orthologues of genes in biological samples. The inventors have demonstrated their invention using a detection assay for members of the CTX-M beta-lactamase gene family found in antibiotic-resistant bacteria.

Summary:

• An improved method for symmetric and asymmetric PCR reactions in diagnostic assays that uses multiple primers in a single tube for simultaneous amplification of multiple related targets

• Allows for detection of 1, 2 or more nucleic acid sequences in a single sample where a consensus primer contains substitutions at multiple positions

• The consensus hybridizes with multiple family members and is present at a higher molar concentration (>5X) than the iPrimers which are designed to bind specific targets

- The priming method is compatible with any probe detection method
- Incorporation of primer-target hybridization thermodynamics results in increased sensitivity

Advantages:

• Incorporation of this amplification method into kits and related thermocycler systems enables rapid detection of multiple microorganism strains, subtypes or gene variants in a single reaction

· Well suited for amplifications with small reaction volumes and low copy number targets

• Overcomes limitations from existing assays by allowing the amplification of all strains/subtypes with the sample and thus detection of a wider range of variable sequences in a single reaction

Scientific Publications:

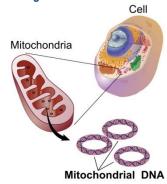
Pierce, K.E. and Wangh, L.J., 2015, Low-concentration initiator primers improve the amplification of gene targets with high sequence variability. Methods Mol Biol 1275: 73-89.



Detection of Sequence Variations within Populations using Non-Symmetric PCR at the Near Digital Level

Temperature-dependent signatures created by mismatch tolerant probes

Background:



Mutations in mitochondrial DNA (mtDNA) can result as a sideeffect of age, environmental hazards, genetic susceptibility, diet, drug exposure or a combination of causes. These mutations have been correlated with human diseases such as diabetes, Huntington's, cancer, Parkinson's, bipolar disorder, chronic fatigue syndrome, amyotrophic lateral sclerosis and Alzheimer's. However, no specific point mutation has been linked to the onset of a disease. It is hypothesized that the buildup of random mutations over time in the multiple genomes of the mitochondria leads to dysfunction of the organelle and then onset of disease. In order to be able to observe the accumulation of mutations over time, current techniques require analysis of typically 1 to <10 mtDNA molecules which is expensive to carryout.

The technologies for licensing are novel methods for asymmetric amplification and fluorescence detection of the mutational load in nucleic acid target sequences including non-nuclear DNA (e.g. mtDNA, chloroplast DNA, episomal DNA) as well as RNA, cDNA, and genomic DNA. These methods utilize single-tube, multiplex polymerase chain (PCR) reactions on mixed samples which each contain one or more target specific primer pairs for DNA amplification. These reactions also contain 1 or more probe pair sets that hybridize to adjacent sites within the target, though probe pairs need not be next to each other, and each have covalently attached to it either a fluorescent compound ("Signaling Probe") or a non-fluorescent complementary quencher moiety (e.g. dabcyl or Black Hole Quencher; "Quencher Probe"). The Signaling Probe will not fluoresce unless bound to the amplified single-strand target sequence. The signal is eliminated by the fluorophore and quencher moiety whenever both probes are bound to their adjacent sites on the target sequence.

Mutational load is determined by analyzing the differences in fluorescence for the hybridization curves. Signals can be acquired either as the reaction temperature is decreased (annealing) or increased (melting). The Signaling Probes and Quencher Probes are both mismatch tolerant and can hybridize to sequences that contain 1 or more substitutions where those with greater variation from the target's complementarity lowers the melting temperature (T_m) of the probe-target hybrid. Differences in T_m can be used to differentiate mutations accumulating in the target DNA sequence.

The invention has been enabled for the detection of human mtDNA mutations within cytochrome c oxidase subunit 2, NADH dehydrogenase subunit 1 and the hyper variable 2. The mtDNA of mice, rats, and the Nile rat (used to study diabetes) have also been amplified using this technology.

Summary:

• Novel methods for identifying mutational loads in target DNA sequences using single-tube, asymmetric PCR reactions on mixed biological samples (specifically enabled for mtDNA)

- Mutations are detected by analysis of the temperature-dependent fluorescence signatures created by the annealing or melting of signal/quencher probe pairs to the single-stranded target
- Methods are broadly applicable to human and animal genomic and other complex DNA samples

Advantages:

• Allows for amplification of targets and detection of multiple mutations in a single PCR reaction

• Overcomes limitations of prior analysis methods which can obscure the presence of mutations due to target DNA having methylated stretches of nucleic acids

Citations:

Osborne *et a*l. (2013) "AZT Treatment Increases mtDNA Mutations in HepG2 and CCD-1112Sk Cells." J AIDS Clin Res 4: 250. doi: 10.4172/2155-6113.1000250

Osborne *et al.* (2014) "Palm Fruit Juice Mitigates AZT Mitochondrial Genotoxicity and Dose-Dependent Cytotoxicity." J AIDS Clin Res 5: 400. doi:10.4172/2155-6113.1000400

SEEKING

Exclusive commercialization partners for field-of-use licensing

PATENT TITLE Detecting Mutations in DNA

INVENTORS

Adam Osborne Lawrence Wangh and John Rice

PATENT STATUS Issued US Patent No. 9.637.790

LICENSING STATUS

United States rights available

BRANDEIS REF. Case 1073

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Enzyme-Instructed Self-Assembly of Antineoplastic Hydrogels

SEEKING

Partners for exclusive licensing by drug delivery or therapeutic use

PATENT TITLE

Antineoplastic Hydrogels, and Enzyme-Instructed Preparations Thereof

INVENTORS

Yuan Gao, Yi Kuang, Bing Xu

PATENT STATUS

<u>Issued</u>: U.S. Patent No. 8,658,600 U.S. Patent No. 9,408,921

LICENSING STATUS

United States rights available

BRANDEIS REF.

Case 1029

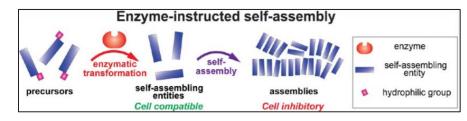
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Nanofibers of therapeutic molecules act as a drug delivery vehicle

Cancer remains a major challenge to the public health. Therefore, there exists a need for more effective cancer treatments. To improve anti-cancer efficacy and to counteract chemotherapeutic side effects, drug carriers that improve the water solubility of anti-cancer drugs and target tumor cells have been developed. However, conventional drug delivery systems require a polymer matrix, which suffers from degradation and low biocompatibility and low drug capacity.

The current invention overcomes these limitations with nanofibers based on enzyme-triggered selfassembly of small molecules, providing a powerful method to create molecular hydrogels of clinicallyused therapeutics without compromising their bioactivities. As both the delivery vehicle and the drug itself (taxol), these molecular nanofibers have tremendous potential as anticancer nanomedicines.



Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Summary:

•A novel self-assembling peptide motif composed of an enzymatically cleavable group is covalently connected to an anti-cancer drug (taxol) to form the precursor

•Upon an enzymatic reaction, the novel precursor transforms into a hydrogelator, which self-assembles into nanofibers and affords a supramolecular hydrogel of a pharmaceutical agent

•To treat cancer, tumors, malignancies, neoplasms, or other dysproliferative diseases, these low-molecular weight hydrogels release the encapsulated drugs upon degradation

Advantages:

•This platform technology improves the safety and efficacy of anti-cancer drugs

•Enzyme-instructed self-assembly is a facile strategy for generating the supramolecular hydrogels of molecules that inherently have poor solubility in water

•Molecular hydrogels of these hydrophobic drug molecules serve as delivery systems for long term or local delivery of anticancer drugs for chemotherapy

•Unlike conventional drug delivery systems, this invention does not require a polymer matrix

Scientific Publications:

•"Enzyme-Instructed Self-Assembly: A Multistep Process for Potential Cancer Therapy." (2015) <u>Bioconjugate</u>

<u>Chem. 26, 987-999</u>. DOI: 10.1021/acs.bioconjchem.5b00196

•"Enzyme-Instructed Molecular Self-assembly Confers Nanofibers and Supramolecular Hydrogel of Taxol Derivative." (2009) *J. Am. Chem. Soc. 131, 13576–13577*. DOI: 10.1021/ja904411z



Multifunctional Hydrogels of Nucleopeptide and Glycopeptide

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Supramolecular Nanofibers and Hydrogels Based on Nucleic Acids Functionalized with Nucleobases

INVENTORS Bing Xu

PATENT STATUS Issued: U.S. Patent No. 10,093,674

LICENSING STATUS US rights available

BRANDEIS REF. Case 1088

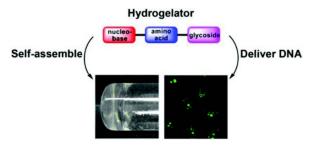
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Sophisticated soft nanomaterials mimic natural extracellular matrices with high biocompatibility for tissue engineering

Background:

Every year, millions who suffer from tissue loss or failure as a result of accidents or disease rely on alternative biomedical materials to regenerate living, healthy, and functional tissues. Engineered tissue scaffolds have been developed for cellular regeneration, wound healing, and diseased tissue treatment. Unfortunately, natural and synthetic polymers currently serving as these scaffolds are limited by separation and purification roadblocks, at the same time, bring cytotoxicity and demonstrate poor responsiveness to biological cues.



The solution to these problems lies in the current invention. Facile conjugation of small peptides with nucleobases and/or glycosides generates a new kind of supramolecular hydrogelator that mimics extracellular matrices for tissue engineering with high biocompatibility. Composed of fundamental biological building blocks, these low molecular weight hydrogelators may be used for many biomedical applications, including cell culturing, tissue engineering, enzyme and non-viral gene, and cancer immunotherapy.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Summary:

•To produce biomaterial comprised of a nucleobase, an amino acid, and/or a glycoside, each component is covalently coupled through a series of facile NHD activations

•Upon triggered by a change in pH or by an enzyme, the nucleopeptide or glycopeptide biomaterials self-assemble in water to afford biocompatible and bio-stable hydrogel

•Cell proliferation and rapid wound healing is promoted by contacting a plurality of cells with the supramolecular structures of the nucleopeptide and/or glycopeptide hydrogels

•The supramolecular nanofibers or hydrogels may be further functionalized to behave as a substance-hydrogel drug delivery vehicle to cells for viral infection or cancer treatment

Advantages:

•The tunable nucleopeptide backbone enables facile structural manipulation to produce various glycol-nucleopeptide and nucleopeptide derivatives for a multitude of biomimetic applications;

•These hydrogel are resistant to protease degradation while showing high mechanical strength and prominent viscoelastic properties

•Cell penetrating abilities for DNA and RNA delivery offers a new non-viral vector for gene therapy

•Effective, safer and cheaper than traditional polymers in tissue engineering and drug delivery



Therapeutic Hydrogels of Nonsteroidal Anti-Inflammatory Drugs

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

HYDROGELATORS COMPRISING D-AMINO ACIDS

INVENTORS

Bing Xu Jiayang Li Yi Kuang

PATENT STATUS

<u>Pending :</u> U.S. Patent Application Serial No. 14/441,773

LICENSING STATUS U.S. rights available

BRANDEIS REF. Case 1118

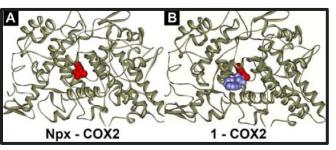
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D-peptides/NSAIDs conjugates boost drug selectivity and reduce side effects

Background:

Nonsteroidal anti-inflammatory drugs (NSAIDs) are widely and systematically used in high doses for the treatment of acute or chronic pains and inflammations. NSAIDs effectively relieve pain and treat inflammation by binding to the cyclooxygenase-2 (COX-2) enzyme. However, NSAIDs also inhibit COX-1, which causes adverse drug effects (ADRs) such as: gastrointestinal ulceration, stomach bleeding, renal failure, and cardiovascular risks. ADRs not only limit the use of otherwise effective drugs, but also lead to the attrition of new drugs in clinical trials.



Until now, no simple and general approach for reducing "off-target" effects of NSAIDs has existed. This invention employs novel multifunctional supramolecular hydrogelators made of unnatural amino acids or peptides (D-amino acids or D-peptides) and an NSAID as a new approach for delivering therapeutic agents by biostable,

target specific, and potent hydrogels. These therapeutic hydrogels are stable scaffolds for longterm drug release and significantly reduce ADRs, boosting the selectivity for COX-2 over COX-1 by more than 20 times. These compounds may be functionalized with other active agents, such as anticancer therapeutic agents, anti-HIV drugs or imaging agents, therefore fulfilling multiple biomedicinal roles.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications

Summary:

- Oligopeptides comprising D-amino acids to replace L-amino, produce protease resistant motifs
- The D-peptidic precursors can be functionalized with bioactive agents for therapeutic purposes
- Enzymatic self-assembly of D-peptidic conjugates offers bio stable and biocompatible hydrogel
- Supramolecular hydrogel comprising D-peptide and NSAID may topically treat arthritic condition

Advantages:

• Bio stable and biocompatible supramolecular hydrogels of D-peptides and therapeutic small molecules increase target selectivity and decrease adverse drug reactions

- The invention confers proteolytic resistance and preserves drug activity for sustained release
- Administered as topical gels or creams to use for relieving pain induced by local inflammation
- The therapeutic hydrogels serve as a cheap, safe, and effective carrier for drug to reduce ADRs
- The synthetic route for said peptidic conjugate is facile, well established, and easy to scale up

Scientific Publication:

• "D-Amino Acids Boost the Selectivity and Confer Supramolecular Hydrogels of a Nonsteroidal Anti-Inflammatory Drug (NSAID)." J. Am. Chem. Soc. 2013, 135, 542–545. DOI: 10.1021/ja310019x



Aggregates of Small Molecules Selectively Inhibit Tumor Growth

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

INHIBITION OF TUMOR GROWTH WITH AGGREGATES OF SMALL MOLECULES

INVENTORS

Yi, Kuang Bing, Xu

PATENT STATUS

<u>lssued:</u> U.S. Patent No. 10,308,682

LICENSING STATUS U.S. rights available

BRANDEIS REF. Case 1123

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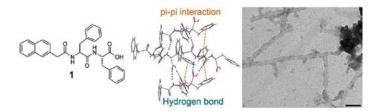
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Self-Assembly of Hydrophobic Peptides Impede Cytoskeleton Dynamics

Background:

Cancer and Alzheimer's disease are major threats to the public health. While both diseases are still being understood, epidemiological and clinical studies suggest that there is an inverse association between cancer and Alzheimer's disease. For example, several groups have suggested that cancer and Alzheimer's disease may share same genes (e.g., TP53 and PINI) and biological pathways (e.g., Wnt) related to activation and deregulation of the cell cycle. The intriguing inverse association has stimulated the current invention to address the mechanisms which may lead to novel therapies to both diseases.

The invention discloses a novel paradigm of anticancer agents selectively prevent mitosis and inhibit cell proliferation. This platform invention achieves several merits including, (i) the said hydrophobic, self-assembling, small monomers are designed to form molecular aggregates to biophysically and morphologically resemble aberrant protein in Alzheimer's disease; (ii) the elevated micropinocytosis of cancer cells, as a part of the Warburg effect, enables selective accumulation of molecular aggregates within the cell to induce cell death, while stromal cells and neuronal cells, having low uptake of designed monomers, can remain viable; (iii) the molecular aggregates can be co-administrated with taxol or nocodazole to synergistically induce apoptosis of some drug-resistant cancer cells (e.g. T98G); (iv) the peptidic nature of designed monomer prevents long term accumulation and chronic toxicity; (v) the invention has a well-established synthetic route to ensure fast, low-cost, and easily scaled-up production.



The invention available for licensing is a library of hydrophobic, self-assembling small molecules which specifically target cancer cells and inhibit tumor growth. The diversity of small molecular fibril aggregates offers abundant opportunity for generating other functional entities. Furthermore, the inhibitory effect on tumor growth has been tested on nude mice with xenograft tumors by injection. The molecular aggregates significantly inhibited tumor growth over 20 folds comparing to the control during 20 days without inducing inflammation response in skin tissue or any other side effects. The findings illustrate the power of these novel anticancer agents, and potentially benefit the therapeutic research for both cancer and neurodegenerative diseases.

Summary:

- A library of hydrophobic, self-assembling small molecules selectively inhibit tumor growth in vivo
- Self-assembled molecular aggregates biophysically & morphologically resemble aberrant protein
- Co-administration with taxol or nocodazole induces synergistic apoptosis of drug-resistant cells

Advantages:

- The peptidic nature of designed monomer prevents long term accumulation and chronic toxicity
- Exploiting an established synthetic route to ensure fast, low-cost, and easily scaled-up production

Scientific Publications:

• "Prion-like Nanofibrils of Small Molecules (PriSM) Selectively Inhibit Cancer Cells by Impeding Cytoskeleton Dynamics." JBC **289**, 29208-29218 (17 Oct 2014) doi: 10.1074/jbc.M114.600288



fMLF-Based Supramolecular Hydrogels Prolong Inflammation Response

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

SUPRAMOLECULAR HYDROGEL OF FMLF-BASED MOLECULES AND USE THEREOF

INVENTORS

Bing Xu Fan Zhao Hongbo R Luo Jingyu Li

PATENT STATUS

<u>Issued:</u> U.S. Patent No. 10,232,037

LICENSING STATUS U.S. rights available

BRANDEIS REF. Case 1152

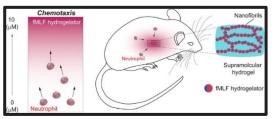
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Hydrogels of chemoattractants preserve neutrophil activity for immunomodulation

Background:

Neutrophils play a key role in combatting infection in the human body. Efficient local accumulation of neutrophils depends on gradients of N-formyl peptides, such as N-formyl-L-methionyl-L-leucyl-L-phenylalanine (fMLF), to signal neutrophils to the site of infection or disease. As a chemoattractant, fMLF has been used in aqueous or heterogeneous solutions for inducing acute inflammation to slow tumor growth or treat bacterial infections. However, current fMLF delivery methods have relatively weak and transient effects and suffer from burst release and low capacity payload.



The innovative approach developed to obviate these limitations incorporates fMLF into Dpeptides to create self-assembling hydrogels of multifunctional chemoattractants that maintain their potent chemotactic activity towards neutrophils. As self-delivery-drugs, the fMLF-hydrogels hold promise as cancer therapies, treatment for microbial infections,

and vaccine adjuvants. The modular aspects of these scaffolds can be further implemented towards neutrophil chemotaxis inhibition, rather than promotion, for chronic inflammation and pain treatments.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications

Summary:

- D-peptides conjugated to immunogenic N-formyl peptides (i.e., fMLF) self-assemble into hydrogel
- The fMLF-hydrogels induce sustained release of chemoattractants, leading to neutrophil accumulation at the site of administration
- Administering fMLF-hydrogels at tumor site promotes innate immune response & inhibits growth
- The fMLF-hydrogels shrink tumor size when injected intratumorally every 48 hours
- Effective to treat bacterial infection, reduce sepsis, and promote resolution of septic condition
- Replacing fMLF with formyl peptide receptor (FPR) antagonists inhibits neutrophil activation for treating undesirable inflammation and pain

Advantages:

- Immunomodulating hydrogels are structurally tunable scaffolds to treat diseases and infections
- fMLF-hydrogels of D-peptides are proteolytically stable, prolonging the sought therapeutic effects
- Self-delivery method allows high capacity payload, eliminate burst release, and sustain treatment
- The hydrogels may be tailored to apply to prosthetic devices or organs to remove biofilms
- Low administration frequency of fMLF- or FPR-hydrogels reduce cost and patient discomfort

Scientific Publication:

• "De Novo Chemoattractants Form Supramolecular Hydrogels for Immunomodulating Neutrophils In Vivo." *Bioconjugate Chem.*, **2014**, 25 (12), pp 2116–2122. DOI: 10.1021/bc5004923



Pericellular Nanonets Collect Cancer Cell Exosomes

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Synthetic peptides, enzymatic formation of pericellular hydrogels/nanofibrils, and methods of use

INVENTORS

Bing Xu, Junfeng Shi, Yi Kuang, Xuewen Du, Jie Zhou

PATENT STATUS Pending: US 15/303,172

LICENSING STATUS U.S. rights available

BRANDEIS REF. Case 1157

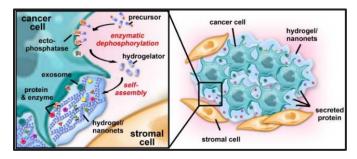
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A cutting-edge diagnostic tool: selective formation of pericellular nanonets on cancer cell surface entrap secretome

Background:

Traditional chemotherapy or molecular therapy has been rendered inadequate as cancer treatments, due to the ever-growing complexity of cancer cells, which leads to cancer drug resistance and metastasis. Moreover, accurate and reliable early cancer detection techniques involving exosome analysis for novel biomarker discovery and identity is lacking, further contributing to the rising mortality rate caused by cancer. Therefore, it is imperative to develop innovative approaches to overcome cancer and drug resistance.



The current invention integrates enzymatic transformation and self-assembly of D-peptide derivatives into a novel cancer theranostic technique to improve early cancer detection and cancer treatment. Nanonets of D-peptidic hydrogels selectively form within the pericellular space of cancer cells to simultaneously inhibit target cell survival and entrap cancer cell secretomes, for accurate mapping and discovery of cancer biomarkers and elimination of cancer drug resistance.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Summary:

•Hydrogel precursors comprised of several amino acids, with a plurality of aromatic amino acids which are either phosphorylated, sulfated, or covalently bound to an ester-moiety

- •The precursors become hydrolyzed upon exposure to overexpressed ectoenzymes
- •The enzymatically active peptides self-assemble into nanonets on the targeted cell surfaces
- •Inhibit cancer cell migration and proliferation, while entrapping secretomes within the nanonets
- •Covalent conjugation of a fluorophore may be integrated for imaging on live cells

Advantages:

•These molecular nanofibers actively prevent cancer cell proliferation and collect cancer cell exozomes, serving as a novel diagnostic tool for cancer nanomedicine;

•Enzymatic formation of molecular nanofibrils inhibits survival of drug-resistant cancer cells

- •Deepens understanding of cancer progression and accelerates cancer biomarker discovery
- •The procedure is simple and eliminates the need for additional purification or concentration steps
- •A low-cost diagnostic method for high yields of secretome with relatively short incubation time



Taurine Boosts Intracellular Delivery of Functional Molecules

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Synthetic peptides and enzymatic formation of intracellular hydrogels

INVENTORS Xuewen Du, Jie Zhou, Bing Xu

PATENT STATUS <u>Pending</u>: U.S. Patent Application Serial No. 15/550,649 filed on Feb 26, 2016

LICENSING STATUS U.S. rights available

BRANDEIS REF. Case 1160

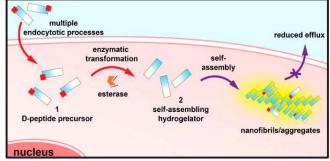
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Taurine modified D-peptide conjugates significantly enhances cellular uptake of therapeutic molecules for disease diagnosis and treatment

Internalization of functional molecules is the basis for intracellular delivery of therapeutic agents for the treatment and diagnosis of diseases. Unfortunately, the delivery of biologically active molecules into cells is prevented by the non-permeable plasma cell membrane. Cell penetrating proteins (CPPs) are traditionally used to facilitate the cellular uptake of various cargo, but CPPs are limited by their susceptibility to metabolic degradation, dependency on cell lines, and poor cellular compatibility.

The present invention relies on the covalent conjugation of taurine to a D-peptidic hydrogel precursor to overcome the limitations of non-permeable cell membranes and CPPs. Taurine-promoted cellular uptake boosts intracellular delivery by 10X and eliminates immune response, poor stability, and toxicity caused by CPPs. This is novel strategy for targeted intracellular enzyme instructed



self-assembly of hydrogels for cancer treatment and may serve as an effective method to deliver therapeutic agents, genes, proteins, and siRNA through otherwise impervious cellular membranes into targeted cells.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Summary:

•The hydrogel precursors are comprised of D-peptides, covalently bound to taurine via an enzymatically cleavage

•Taurine-promoted cellular uptake of the hydrogel precursor leads to intracellular enzyme instructed self-assembly and accumulation nanofibrils via hydrolysis by endoenzymes

•Occurs selectively in cancer cells overexpressing endoenzymes and is contemplated for cancer treatment

•A fluorophore may also be conjugated to allow for intracellular imaging studies

Advantages:

•Covalent conjugation of taurine is a broadly applicable approach to boost the intracellular delivery of bioactive molecular and therapeutic agents, providing a new mechanism that eradicates drug resistance;

•The taurine motif ensures transportation and the subsequent intracellular nanofibrils formation under enzymatic catalysis can efficiently reduce the molecular diffusion outside of cells;

•This method avoids the possibility of immune response and toxicity caused by CPPs

•The natural and non-proteinogenic amino acid, taurine, is widely available, and its molecular modification facile

Publications:

•Y. Kuang et al. "The first supramolecular peptidic hydrogelator containing taurine." Chem. Commun., 2014,50, 2772-2774. DOI: 10.1039/C3CC48832B.

•J. Zhou et al. "Taurine Boosts Cellular Uptake of Small d-Peptides for Enzyme-Instructed Intracellular Molecular Self-Assembly." J. Am. Chem Soc., 2015, DOI: 10.1021/jacs.5b06181.



Novel Magnetic Nanoparticles Selectively Target Cancer Cells

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

ENZYMATICALLY RESPONSIVE MAGNETIC PARTICLES AND THEIR USE

INVENTORS

Bing Xu Xuewen Du Jie Zhou

PATENT STATUS

Pending : U.S. Patent Application No. 15/303,117

LICENSING STATUS

U.S. rights available

BRANDEIS REF. Case 1162

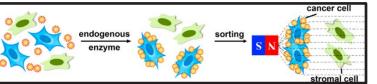
CONTACT

Rong Zhou, Ph.D. Licensing Associate Office of Technology Licensing Brandeis University 415 South Street Waltham, MA 02453 +1 781-736-8753 zhourong@brandeis.edu

Platform technology for cell sorting, cancer diagnostics and therapeutics

Background:

Cell sorting has become an important sampling method for isolating specific cells from a mixed population, contributing many biomedical advances. Unfortunately, sorting mammalian cells requires complicated and expensive instruments and reagent, such as the most widely used cell sorting method, fluorescent activated cell sorting (FACS). Magnetic cell sorting is a promising alternative technique, but as it stands, is an ill-defined process due to the non-specific protein binding to modified magnetic beads. Thus, there exists a need for an inexpensive cell sorting method that will contribute to low-cost diagnostics and treatment.



The current invention is a new approach that eliminate both the high cost of FACS and involvement of specific ligand receptor interactions. Designed

around in inherent difference between cancer and normal cells, overexpression of ectophosphatases, this method employs magnetic nanoparticles (MNp) that are modified to selectively sort and inhibit cancer cells from a mixed cell population without the extra expense. This facile, highly selective, and low cost process will lead to the use of MNps in many biomedical applications.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications

Summary:

- Conjugated to MNp, the amino acid residue contains a enzymatically responsive cleavable moiety
- Mixed cell population comprising normal and cancerous cells are treated with the MNp conjugates
- Overexpressed ectoenzymes on the cancer cell surface hydrolyze the cleavable moiety of the MNp, selectively labeling cancerous cells over normal ones
- The labeled cancer cells are magnetically separated from mixed population under magnetic field
- Selective adherence of the hydrolyzed MNp is also sufficient to inhibit cancer cell survival
- The high selectivity of adherence can generate better cell imaging by enhancing contrast

Advantages:

• Enzymatically triggered adhesion of MNps selectively to cancer cells can be utilized in biomedical applications: cell sorting, cell separation, imaging and disease diagnostics and treatment

- MNps perform better than nanoparticles loaded with cisplatin¹ as cancer therapeutics
- Unlike FACS, this invention eliminates the need of labelling cells with fluorescent proteins
- Reducing the cost, increasing product stability and improving cell sorting efficacy
- The invention is straightforward to enable and does not require expensive set-up or antibodies

Scientific Publication:

• "Enzymatic Transformation of Phosphate Decorated Magnetic Nanoparticles for Selectively Sorting and Inhibiting Cancer Cells." *Bioconjugate Chem.*, **2014**, 25 (12), pp 2129–2133. DOI: 10.1021/bc500516g



EISA of a Tyrosine-Cholesterol Conjugate Selectively Kill Cancer Cells

SEEKING

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PATENT TITLE

Enzyme-Instructed Assemblies of a Tyrosine-Cholesterol Conjugate Selectively Kill Cancer Cells

INVENTORS Bing Xu, Huaimin Wang

PATENT STATUS <u>Pending:</u> U.S. Patent Application No. 62/329,530

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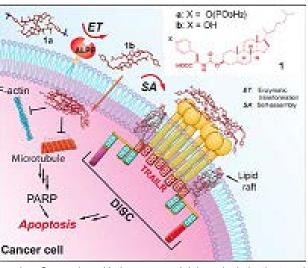
BRANDEIS REF. Case 1273

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EISA of tyrosine-cholesterol conjugate activates extrinsic and intrinsic cell death signaling

This invention introduces a new platform of single amino acid-cholesterol conjugate that selectively inhibiting cancer cell by the overexpressed enzyme. This system could find various applications in inhibiting drug resistant cancer (e.g., ovarian cancers), to serve as a platform for combination therapy, drug delivery, or as a vaccine to modulate immune system, thus it potentially holds many therapeutic possibilities.



finding of a tyrosine-cholesterol conjugate which could selectively form aggregate outside/intracellular environment by the overexpressed enzyme of cancer cell is utilized to selectively control the fate of cancer cell while not damage normal tissue. Alkali phosphatase which overexpressed from several cancer cell lines dephosphorylate a precursor of a tyrosine-cholesterol conjugate to trigger the self-assembly of the aggregator and to generate toxic aggregates selectively either around or specific cancer cells inside that overexpress phosphatase. Several other amino acid-cholesterol conjugates were also tested to confirm the specificity of

tyrosine. Comparing with the commercial drug cisplatin, the tyrosine-conjugate also exhibited better therapeutic effect against cisplatin resistant cancer cell (A2780cis). This discovery of enzyme instructed assembly of tyrosine cholesterol conjugate presented new applications of amino acid cholesterol conjugate such as controlling cancer cell death, inhibiting drug resistance cancer cell, immune therapy and drug delivery. Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications

Summary:

•A novel platform of single amino acid-cholesterol conjugate that selectively inhibits cancer cell;

•The tyrosine-cholesterol conjugate which could form aggregate outside/intracellular environment by the overexpressed enzyme is utilized to selectively control cancer cell fate while not damage normal tissue.

•Based on the advantage of selectivity, the platform has great potentials in applications such as cancer cell drug resistance inhibition, immune therapy and drug delivery.

Advantages:

•The invention pioneers in selective inhibition of cancer cell by the self-assembly of single amino acidcholesterol conjugate or peptide-cholesterol conjugate;

•The present invention serves as a successful demonstration to inhibit cancer cell lines including cisplatin drug resistant cancer cell;

•This work illustrates a new way for developing efficient nanomedicine against drug resistant cancer as the first study to use EISA for modulating lipid rafts.

Publications:

•H.M Wang et al. "Enzyme-Regulated Supramolecular Assemblies of Cholesterol Conjugates against Drug-Resistant Ovarian Cancer Cells." J. Am. Chem. Soc., 2016, 138 (34), pp 10758–10761. DOI: 10.1021/jacs.6b06075.



Mitochondria Targeting Kills Cancer Cell without Acquired Drug Resistance

SEEKING

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PATENT TITLE

EISA coupled with mitochondria targeting to selectively kill cancer cells without acquired drug resistance

INVENTOR Bing Xu

PATENT STATUS Pending:

U.S. Patent Application No. 16/476,183

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BRANDEIS REF. Case 1311

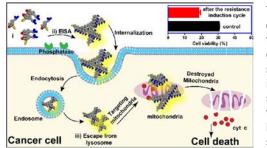
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High selectivity in mitochondrial targeting of cancer cell via enzymatic cleavage

Targeting organelles by modulating the redox potential of mitochondria is a promising approach to kill cancer cells that minimizes acquired drug resistance. However, it lacks selectivity because mitochondria perform essential functions for (almost) all cells. This invention shows that enzyme-instructed self-assembly (EISA), a bioinspired molecular process, selectively generates the assemblies of redox modulators (e.g., triphenyl phosphinium (TPP)) in the pericellular space of cancer cells for uptake, which allows selectively targeting the mitochondria of cancer cells. The attachment of TPP to a pair of enantiomeric, phosphorylated tetrapeptides produces the precursors (L-1P or D-1P) that form oligomers. Upon dephosphorylation catalyzed by ectophosphatases (e.g., alkaline phosphatase (ALP)) overexpressed on cancer cells (e.g., Saos2), the oligomers self-assemble to form nanoscale assemblies only on the surface of the cancer cells. The cancer cells thus uptake these assemblies of TPP via endocytosis, mainly via a caveolae/raft-dependent pathway. Inside the cells, the assemblies of TPP-peptide conjugates escape from the lysosome, induce dysfunction of mitochondria to release cytochrome c, and result in cell death, while the controls (i.e., omitting TPP motif, inhibiting ALP, or removing phosphate trigger) hardly kill the Saos2 cells. Most importantly, the repeated stimulation of the cancers by the precursors, unexpectedly, sensitizes the cancer cells to the precursors.



The conceptual system has been tested ex vivo on several cancer cell lines. The merit of this technology is the repeated stimulation of the cancers by the precursors unexpectedly sensitizes the cancer cells to the precursors. The invention is the first example of the integration of subcellular targeting and the spatial control of the assemblies of non-specific cytotoxic agents by EISA as a promising molecular process for selectively killing cancer cells without inducing

acquired drug resistance. The invention also the first time to report controlling peptide selfassembly inside organelle of mitochondria of live cells, which provides new opportunity for therapeutics against cancer and some immune-deficient disease. Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Summary:

•A novel molecular-targeted therapeutic system by integrating special control of cytotoxic agents by EISA;

•A bioinspired molecular process, selectively generates the assemblies of redox modulators (TPP)) in the pericellular space of cancer cells for uptake, allows selectively targeting the mitochondria of cancer cells.

•Based on the advantage of selectivity, the platform has great potentials in applications such as cancer cell drug resistance inhibition, immune therapy and drug delivery.

Advantages:

•Achieves high selectivity in mitochondrial targeting of cancer cell;

•The first example to integrate subcellular targeting and spatial control of the assemblies of non-specific cytotoxic agents by EISA as a promising molecular process for selectively killing cancer cells;

•A new way for developing efficient nanomedicine without inducing acquired drug resistance.

Publications:

•H.M Wang et al. "Integrating Enzymatic Self-Assembly and Mitochondria Targeting for Selectively Killing Cancer Cells without Acquired Drug Resistance." J. Am. Chem. Soc., 2016, 138 (49), pp 16046–16055. DOI: 10.1021/jacs.6b09783



Instant Formation of Supramolecular Hydrogels by Short Peptide and Bioactive Small Molecules

SEEKING

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PATENT TITLE

RAPID FORMATION OF SUPRAMOLECULAR HYDROGELS BY SHORT PEPTIDE AND BIOACTIVE SMALL MOLECULES

INVENTORS Bing Xu Huaimin Wang

PATENT STATUS

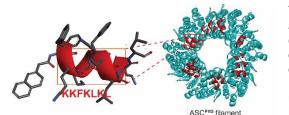
Pending: U.S. patent application 16/639,467

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BRANDEIS REF. Case 1339

CONTACT Rong Zhou, Ph.D.

Licensing Associate Office of Technology Licensing Brandeis University 415 South Street Waltham, MA 02453 +1 781-736-8753 zhourong@brandeis.edu The invention describes a novel method of fast hydrogelation based on short peptide triggered by small bioactive molecules. The invention not only illustrates a fundamentally new way to form hydrogels that with controlled morphology, but also suggests an unprecedented approach to engineer molecular assemblies based on short peptides for many other applications, such as wound healing, drug delivery, 3D cell cultures, immune adjuvant controlling immune responses of small molecules.



To solve the key step for applications such as would healing, tissue engineering and 3D cell culture, fast gelation of hydrogel based on short peptides is proposed as a novel designed peptide sequence NapFFKKFKLKL by utilizing small bioactive molecules such as pyridoxal phosphate, pyridoxal, folinic acid, ATP, ADP, AMP and

phosphorylated tyrosine to induce fast gelation process. The peptides consist of three key parts: i) the epitope of KKFKLKL, a conserved surface of residues that play critical roles for oligomerization of the hASCPYD. ii) Lysine, the most employed amino acid in proteins, not only serves as an active site for the formation of Schiff-base in biological system, but also introduces positive charge to the peptides for interacting with the phosphate on pyridoxal phosphate. iii) Nap-FF is a well-established building block for promoting self-assembly both in aqueous solution and biological milieu. As the demonstration of correlating assemblies of peptides and the relevant protein epitopes, this work shows a bioinspired approach to develop supramolecular structures modulated by endogenous small molecules.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Summary:

Background:

• This invention describes a novel approach to self-assemble peptides and relevant protein epitopes intrigued by endogenous small bioactive molecules to develop various supramolecular structures;

• The fast gelation is achieved by utilizing pyridoxal phosphate, pyridoxal, folinic acid, ATP, ADP, AMP and phosphorylated tyrosine to induce gelation process of a novel designed peptide sequence NapFFKKFKLKL;

• Based on the advantage of fast gelation, the system has great potentials in applications such as would healing, tissue engineering and 3D cell culture.

Advantages:

• The invention would be more biocompatible and much faster comparing to other strategies for instant gelation by using small endogenous molecules;

• The present invention serves as an efficient method to design short peptides that adopt conformations such as helical and random-coil other than most common beta-sheet conformation;

• The bioactive small molecules in this system can also serve as a cell compatible trigger for crosslinking the pre-exist nanofibers of other peptides or drugs to form instant hydrogel.

Scientific Publications:

• "Instant Hydrogelation Inspired by Inflammasomes." (2017) DOI: 10.1002/anie.201702783

• "Nucleopeptide Assemblies Selectively Sequester ATP in Cancer Cells to Increase the Efficacy of Doxorubicin" (2018) DOI: 10.1002/anie.201712834



Branched Peptides for Enzymatic Assembly and Mitochondria Drug Delivery

SEEKING

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PATENT TITLE

BRANCHED PEPTIDES FOR ENZYMATIC ASSEMBLY AND MITOCHONDRIA DRUG DELIVERY

INVENTORS Bing Xu; Hongjian He

PATENT STATUS Pending: PCT/US2018/051521

LICENSING STATUS Worldwide rights available

BRANDEIS REF. Case 2018-002

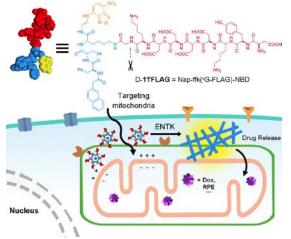
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Background:

This invention introduces a new platform technology accomplishing mitochondria-targeting cargos delivery to selectively inhibit or rescue different types of cells. Due to the importance of mitochondria for living cells, the branched peptides have the potential to control the fate of cells, via delivering diverse bifunctional molecules to mitochondria. The targeting delivery of cytotoxic drugs to mitochondria may significantly increase the toxicity, while the mitochondrial accumulation of some bioactive molecules (e.g. pifithrin- μ) may rescue normal tissue from radiotherapy.

To meet the need of mitochondriatargeting cargos delivery, a branches peptide is designed to control the fate of cells, either inhibit or rescue, via delivering diverse bio functional mitochondria. molecules to The generation of supramolecular hydrogel via branched peptides upon the addition of a serine protease: enterokinase (ENTK). The said peptide consists of 3 fragments: i) the FLAG-tag DYKDDDK, as the substrate of ENTK for proteolysis, ii) a hydrophobic peptide moiety which selfassembles into nanofibers after the cleavage of FLAG-tag, and iii) a Glycine, as the spacer for I and ii. The enzymatic



cleavage of the hydrophilic FLAG branch by ENTK turns the branched peptide hydrogelator to nanofibers, resulting into supramolecular hydrogel. The merit of this invention is, to carry multiple negative charges and can escape from endosome after rapid cellular uptake and specifically accumulate in mitochondria. Bioactive molecules, such as proteins and anticancer drugs, can be encapsulated by the branched peptides and eventually delivered to mitochondria.

Summary:

• This invention describes a novel targeting delivery of cytotoxic drugs to mitochondria may significantly increase the toxicity to control cell fate;

• The branched hydrogelator is specifically designed with three fragments including the FLAG-tag DYKDDDK, a self-assembling hydrophobic peptide moiety, and a spacer liking these two parts;

• This novel approach for mitochondria targeting drug delivery demonstrates rapid cellular uptake and specific accumulation in mitochondria in vitro.

Advantages:

• The invention reports a new possible mechanism for targeting mitochondria other than traditional lipophilic and cationic molecules;

• This work illustrates a new approach to encapsulate bioactive molecules, such as proteins and anti-cancer drugs, into the designed branched peptides and eventually get delivered to mitochondria;

• The invention introduces a mitochondrion –specific drug delivery of cargo molecules by the FLAG-tagged precursors which is promising for various application in biomedicine.

Scientific Publications:

• "Enzymatic Cleavage of Branched Peptides for Targeting Mitochondria." (2018) DOI: 10.1021/jacs.7b11582

Notes

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Breakthroughs in microscopy, data analytics, genomics, and more.

Research Tools And Targets



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Catalytic Asymmetric Umpolung Reactions of Imines

SEEKING

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PATENT STATUS

Issued:

United States Patent No. 8,722,891 titled "Conjugate Addition Reactions Using Bifunctional Cinchona-Alkaloid-Based Catalysts" <u>Pending:</u> United States Patent Application No. 16/063,078 titled "Cinchonine-Derived Catalysts and Methods of Using Same"

INVENTORS Wu, Yongwei

Deng, Li

LICENSING STATUS US rights available

BRANDEIS REF. Case 1024 and 1240

CONTACT Rong Zhou, Ph.D.

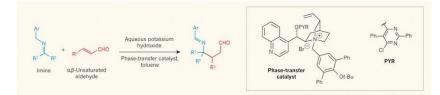
Licensing Associate Office of Technology Licensing Brandeis University 415 South Street Waltham, MA 02453 +1 781-736-8753 zhourong@brandeis.edu

New chiral phase transfer catalysts promote highly efficient asymmetric reactions of imines and enals

Background:

Methods for the spatially selective assembly of molecules containing nitrogen to improve cell permeability, water solubility and biological interaction are of considerable interest for drug discovery. This invention has developed a procedure that achieves a reversal of the natural electrostatic polarization (umpolung) in a highly chemoselective, regioselective and enantioselective manner. The present invention describes the discovery and development of new chiral phase transfer catalysts that promote highly efficient asymmetric reactions of imines and enals. The reaction provides a conceptually new and practical approach towards the synthesis of chiral amino compounds.

The invention discloses an analogous catalytic strategy that allows the enantioselective synthesis of nitrogen-containing compounds from imines to contribute in drug discovery research. Evolved from a widely accepted concept of using one enantiomer of a base to isomerize imines, the invention performs better than other current catalysts by exploiting a different C-C bonding forming reaction. They used a chiral 'phase-transfer' catalyst, developed from a quinine compound that was originally derived from *Cinchoa* plants, to shepherd the base from an aqueous solution to the immiscible organic solution in which the reaction occurs, thus enabling the transformation, and also inducing enantioselectivity. The reaction products are modified versions of imines (Figure), and can be readily converted into a variety of other nitrogen-containing compounds.



The invention available for licensing is a group of novel phase transfer catalysts which break the limitation that only highly activated imines could be used in the reaction. The new catalysts allow a wide variety of imines to participate with nearly equal facility. Furthermore, the reaction proceeds with remarkable enantioselectivity, and yields the amine products with high fidelity. It is also easy to set up and tolerates air and moisture from the atmosphere. The findings illustrate the power of catalyst development for organic synthesis, and provide a straightforward route to chiral amines, which ultimately benefit the drug discovery research.

Summary:

- A method enables highly chemoselective, regioselective and enantioselective umpolung reaction
- The discovery and development of chiral phase transfer catalyst promote highly efficient reaction
- These asymmetric reactions of imines provides a novel and practical approach to drug discovery

Advantages:

- The catalyst widens the variety of imines to react with easily set-up and highly tolerant facility
- Remarkable enantioselectivity and high yields with this uncomplicatedly synthesized catalyst

Scientific Publications:

• "Catalytic asymmetric umpolung reactions of imines." Nature **523**, 445-450 (23 July 2015) doi:10.1038/nature14617



Cinchonium Betaines as New and Powerful Catalysts for Asymmetric Proton Transfer Catalysis

SEEKING

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PATENT STATUS

Allowed:

U.S. Patent Application No. 16/093,899 titled "Cinchonium Betaine Catalysts and Methods of Using Same"

Pending:

U.S. Patent Application No. 16/604,750 titled "Catalysts for Olefin Isomerization"

INVENTORS

Wu, Yongwei; Xiao, Zhou; Deng, Li

LICENSING STATUS

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BRANDEIS REF. Case 1299 and 2017-050

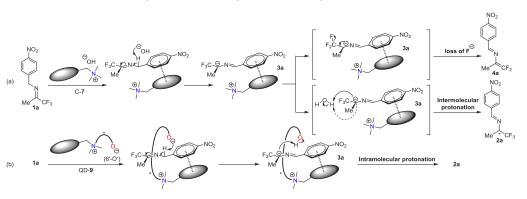
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The Development of a Practical Enantioselective Isomerization

Chiral organic catalysts containing both hydrogen bond donor and acceptor could facilitate biomimetic 1,3-proton transfer catalysis to promote highly enantioselective olefin and imine isomerizations. These enantioselective isomerizations provide new access to valuable chiral building blocks such as α , β -unsaturated butenolides, α -amino acids, α , β -unsaturated cyclohexenones and trifluoromethylated amines. Among these studies, the realization of the first highly enantioselective isomerization of trifluoromethyl imines with DHQ-**a** stands as a conceptually significant progress as DHQ-**a** achieved efficient catalytic chiral recognition of non-enolate carbanions for asymmetric reactions.

The invention discloses a new class of cinchonium betaine catalysts bearing both a base moiety and an aromatic moiety. These cinchonium betains were found to promote proton transfer catalysis with 1000-5000 turnovers per 24 hours, thereby enabling us to realize highly efficient enantioselective isomerization of trifluoromethyl imines to provide a practical access to optically active trifluoromethylated amines. Notably the catalyst QD-9c promoted an unprecedented isomerization of α , β -unsaturated imine in excellent enantioselectivity and yield. It is noteworthy that the reaction proceeded without the formation of the 1,3-proton transfer product. The scope of the reaction was readily extended to aryl trifluoromethyl imines.



The invention available for licensing is a new class of catalysts for enantioselective proton transfer catalysis. These new catalysts afforded remarkably high catalyst turnover rate for the promotion of asymmetric isomerizations of trifluoromethyl imines. Consequently, a broad range of alkyl, alkenyl and aryl trifluoromethyl imines could be converted in a highly enantioselective manner into either enantiomers of the corresponding optically active trifluoromethylated amines with typically 0.02 to 0.10 mol% of the cinchonium betaines. The invention provides a general scoped simple protocol for reaction execution and product isolation with an extraordinarily low catalyst loading, which ultimately benefits the drug development.

Advantages:

- A class of catalysts for enantioselective proton transfer catalysis with high turnover rate
- The development of enantioselective proton transfer catalysts promote highly efficient reaction
- The catalysts provide general scope, simple protocol for reaction execution and product isolation
- An extraordinarily low loading render this transformation very practical for asymmetric synthesis

Scientific Publications:

• "Catalytic asymmetric umpolung reactions of imines." *Nature* **523**, 445-450 (23 July 2015) DOI:10.1038/nature14617

• "Cinchonium betaines as efficient catalysts for asymmetric proton transfer catalysis: the development of a practical enantioselective isomerization of trifluoromethyl imines." *J. Am. Chem. Soc.*, **2016**, 138, 12297–12302. DOI: 10.1021/jacs.6b08727



Cold Stage for Cryo Super-Resolution Fluorescence Light Microscopy

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Cooling systems and methods for cryo super-resolution fluorescence light microscopy and other applications

INVENTORS

David DeRosier, Charles Ingersoll Marc Nahmani, Gina Turrigiano

PATENT STATUS

Issued: U.S. Patent 9,784,962

Pending: PCT/US2013/059748 Japan under JP2015-532093 Europe under EP13837090.3

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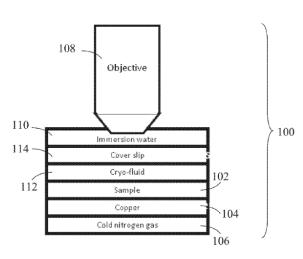
BRANDEIS REF. Case 1095

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PALM microscopy cryo-stage preserving specimen grid for cryo-EM

This invention discloses a unique light microscope cryo-stage design to meet the rapid development of cryo-EM technology. Ever since the importance of cryo-EM has been acknowledged by 17' Nobel prize, the protein structure analyzed by the technology has also been encouraged and sponsored by NIH in two initiative facilities. We have designed a device that can be used for super-resolution PALM microscopy with 'resolution' in the nm range while preserving the specimen grid for subsequent cryo-EM. The unique feature is that our cold stage is designed to be used with a high numerical aperture, water immersion objective, which is operating at room temperature while the frozen hydrated grid remains below -135 Celsius, which maintains vitreous ice.



Conventional light microscopy with its resolution of about 200 nm is inadequate to study the organization of biological molecules (e.g., proteins) whole dimensions are generally less Super-resolution than 10 nm. microscopy methods fluorescent allows one to localize the position of an isolated switchable fluorophore by determining the center of distribution of the fluorescent photons. Thus the super-resolution mainly is limited by the number of photons collected and motion of the fluorophores during the repeated cycle of activation and mapping. Using microscopy at cryogenic temperatures, e.g., below -135 Celsius has several beneficial

effects related to improving resolution. However there is presently no super-resolution cryogenic microscope assembly which can be used with a conventional light microscope without compromising the imaging resolution or performance of the microscope.

In the present prototype, the cold stage works surprisingly well while balancing the accurate cold. Having reached cryo-temperature, the temperature stability is within a degree over times on the order of an hour and perhaps longer. The drift is minimal about 1 or 2 microns over a similar period. The field of view in the camera is about 80 microns meaning that items of interest remain in view during a run. The rate of drift is slow allowing one to correct for drift. Corrections for drift are a separate albeit necessary matter.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Summary:

- •A novel cold stage for maintaining a desired steady state temperature during cryo-EM screening
- •The device designed for utilizing in super-resolution PALM microscopy

•Achieves high resolution in nm range while preserving the specimen grid for subsequent cryo EM

Scientific Publications:

•"High-numerical-aperture cryogenic light microscopy for increased precision of superresolution reconstructions." <u>PNAS</u> 2017, 114 (15) 3832-3836. DOI: 10.1073/pnas.1618206114



Hierarchical Self-Assembly of Non-Amphiphilic Colloidal Membranes

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Aligned Arrays of Nanorods and Methods of Making and Using Them

INVENTORS

Edward Barry, Zvonimir Dogic, Michael Hagan, Daniel Perlman, Yesheng Yang

PATENT STATUS Pending:

U.S. Patent Application No. 14/354,258

LICENSING STATUS U.S. rights available

BRANDEIS REF. Case 1019

CONTACT

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Aligned monolayer nanorods for electronic or semi-conductor devices

Background:

Nanostructured materials have the potential to facilitate a new generation of electronic or semi-conductor devices, as well as the potential for use in filtration systems and biosensors. Important prerequisites to realization of these devices include the ability to assemble and align nanorods over large length scales (>1 mm²) and tune physical properties of the final assemblages, including the density of rods and the inter-particle spacing between rods. Nanorods are a class of anisotropic particles with properties that are geometrically identical to that of a cylinder or spherocylinder; these particles are characterized by a well-defined length (L) and diameter (D). When made soluble and properly stabilized against coagulation stemming from strong attractive van der Waals interactions, nanorods can be dispersed in solution and will behave as colloidal particles. The solution-based self-assembly of monolayer and multi-layer arrays of aligned rodlike colloids was recently reported by E. Barry and Z. Dogic on PNAS 107(23): 10348-10353, 2010. This invention specifically targets the issue of generalizing such method for arbitrary conditions and components, including varying polymer sizes and compositions, solvent conditions, or varying degrees of flexibility, electrostatic charge, contour length, diameter, or combinations thereof of the rod- like molecules. In order to extend this method to other systems, such as those composed of nanorods, the current invention helps to elucidate a greater understanding of the underlying principles involved in this assembly pathway and introduce the means by which it can be generalized and extended to cover a far greater range of component compositions and sizes.

The invention available for licensing relates to a method for assembling homogenous rodlike molecules or particles into aligned monolayer arrays. The method comprises forming multi-layer structures from more than one monolayer with adjustable internal properties of the arrays, such as the density of and inter-particle spacing between rods (porosity), the crystallinity or fluidity (regularity), or elasticity of the array. The assembled array may comprises of viruses absorbing metal ions or semi-conducting material onto their surface. The surface of the virus may have been biomineralized therefore producing pores with adjustable distance between each other, and various pore regularity.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Advantages:

- •First demonstration of nanorod alignment in liquid medium
- •Self-assembly with tunable physical properties
- •Can be used to make or perform other functions than implicitly described examples

Applications:

- •Electronic or semi-conductor devices
- •Potential for use in filtration systems and biosensors

Scientific Publication:

•"Entropy driven self-assembly of nonamphiphilic colloidal membranes." **PNAS** 2010, 107 (23) 10348-10353. https://doi.org/10.1073/pnas.1000406107



SEEKING

Licensing partner and/or sponsored research funding.

PATENT TITLE

Method of Humidity-Controlled Generation of Chlorine Dioxide in Polymers and super absorbent Hydrogels

INVENTORS

Christopher J. Doona Irving R. Epstein Florence Feehery Kenneth Kustin

PATENT STATUS Allowed U.S. Utility 15/822,528

LICENSING STATUS US rights available

BRANDEIS REF. Case 2017-059

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Method of Humidity-controlled Generation of Chlorine Dioxide in Polymers and super absorbent Hydrogels

In Situ Generation of Chlorine Dioxide

Background:

Chlorine dioxide is a well-known bleaching agent for paper pulp of flour and is also a well-known biocidal or anti-microbial agent for a broad spectrum of microorganisms in decontamination applications for bacterial spores, viruses, phage, mold, fungi and other pathogens. Benefits to using chlorine dioxide as a disinfectant are that it is effective, compatible with most materials, safe for users and the environment, and associated is user friendly. However, possible hazards associated with this disinfectant include the possibility of explosion in the condensed phase as a high concentration liquid. Additionally, chlorine dioxide cannot be pre-generated and shipped/transported in trucks or other vehicles to distant locations for use. Rather, it must be generated on-site for use in decontamination and disinfections. The present invention allows for the in situ generation of chlorine dioxide.

We present a method for a dry chemical composition in polymer substrates to rapidly and conveniently absorb moisture in humid environments, then safely and controllably produce chlorine dioxide to prevent mold, mildew, and pathogen growth that degrades textiles or other surfaces. This method provides an alternative to bio-decontaminate Bacillus anthracis Sterne spores on individual protective fabrics using chemicals in the liquid or gaseous state. By using a novel chemical composition and method to produce chlorine dioxide from dry precursors imbedded in media that absorbs water in humid environments, a reaction occurs that produces a disinfectant which kills mold, mildews, and other contaminating microorganisms on textiles.

Using this method, chlorine dioxide is produced for particular utility for production in-container, in-enclosure, and in an enclosed space. This chemical disinfectant method allows for a wide latitude for convenience, simplified logistics, and technological advantages over the existing art for textiles.

Summary:

• Chlorine dioxide can be produced for use in an enclosed container where it previously could not be.

• Chlorine dioxide can be made cheaper and more easily, and can also be transported more simply.

• Can be used to prevent mold/ microbial growth on textiles for clothing, uniforms, or interior of cars.

Advantages:

• Improved, convenient, portable method for decontaminating microbiologically contaminated surfaces of textiles.

- Can be used in humid environments.
- Can be used in contained environments.

• This anti-microbial textile may be used to reduce incidences of rashes, irritations, and infections involved with wearing uniforms or other textiles that aren't laundered for prolonged periods.



High Throughput Screening Chip for Optimal Protein Crystallization

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Microfluidic Devices for Investigating Crystallization

INVENTORS Seth Fraden, Michael Heymann, Markus Ludwig

PATENT STATUS Issued: 10,365,188

Continuation Pending: 16/447,369

LICENSING STATUS **US Rights Available**

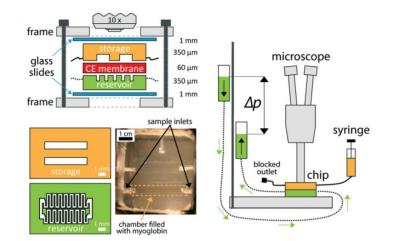
BRANDEIS REF. Case 1113

CONTACT

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Modular Phase Chip for Determining Optimal Protein Structure for Drug Design

In drug design and in many areas of medical research the knowledge of protein structure is fundamental. Finding these structures requires growing a crystal of the target protein and collecting X-ray diffraction images. Current technologies for protein crystallization are not optimized. They consume large amounts of the protein, which can be precious, they are laborious and the quality of the data obtained depends greatly on the skill of the experimenter. We have developed microfluidic devices to simplify the process of protein crystallization.



Our PhaseChip allows high-throughput screening for optimal crystallization parameters and significantly reduces the required amount of sample protein. In addition, it allows versatile manipulation of the crystallization kinetics, which is the key to grow defect free crystals that yield high-resolution structures. Furthermore, in current practice, crystals are manually harvested, cryo-protected and frozen to -196 °C to reduce radiation damage prior to X-ray experiments. This process induces strain in the crystal which reduces the resolution of the obtained structures significantly. Using our X-ray transparent Chip, we diffract in-situ, avoiding handling and cryoprotection of the crystals entirely. This reduces labor and improves crystal quality.

Our devices can be categorized into the consumables segment. Their advantages compared to standard methods make the protein crystallization business much easier, independent from the experimenter and high-throughput. As a new technology our devices promise to induce changes on the market.

Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Scientific Publication:

 "Room-temperature serial crystallography using a kinetically optimized microfluidic device for protein crystallization and on-chip X-ray diffraction." (2014) PMID: 25295176

"Cross polarization compatible dialysis chip" (2014) PMID: 25105977



Methods for Generation and Storage of Isolated Droplets

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Devices for Simultaneous Generation and Storage of Isolated Droplets, and Methods of Making and Using the Same

INVENTORS

Seth Fraden

PATENT STATUS US application Pending 16/477,749

LICENSING STATUS US rights available

BRANDEIS REF. Case 1238

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Microfluidic Device for Obtaining Better Quality Crystals for Protein Crystallization

Background:

The present invention relates to a method for simultaneous generation and storage of isolated droplets of aqueous solutions. To date, existing methods used to determine the optimal concentrations for achieving protein crystallization have included mixing macro-portions of multiple aqueous solutions. This mixing occurs in testing trays called crystallization trays which have several chambers and contain the mixtures of proteins at various concentrations. The disadvantage of the current method is that often due to the macro sized volume of these testing trays, it becomes cost prohibitive to test out multiple solution concentrations at one time. Additionally, in conventional protein X-ray crystallography, a large crystal is required in order to obtain a complete data set.

Our invention is based on an emulsion-based serial crystallographic technology in which nanolitre sized droplets of protein solutions and encapsulated in oil and stabilized by surfactant. Serial crystallography takes the opposite approach to traditional X-ray crystallography techniques of developing one large crystal. In this instance, a complete diffraction set is assembled from a large number of individual diffraction frames acquired from small single unoriented crystals that are not cryoprotected. Our microfluidic device based contains multiple sequences of capillary valves and storage chambers that simply and robustly generate and store aqueous solutions in chambers that are isolated from one another by oil. The microfluidic chip is able to generate drops in a serial fashion. The device has the capability of allowing different chemicals to be added without mixing, or alternatively, will allow for chemicals to be added to the mix in pre-determined quantities set by the geometry of the device.

This emulsion-based serial crystallographic technology, in which nano-litre sized droplets of protein solution are encapsulated in oil and stabilized by surfactant works by allowing the first crystal in a drop to be nucleated and this small volume then generates a negative feedback mechanism that lowers the supersaturation. This mechanism is exploited to produce one crystal per drop. Diffraction data are measured, one crystal at a time, from a series of room temperature crystals stored on an X-ray semi-transparent microfluidic chip, and a 93% complete data set is obtained by merging single diffraction frames taken from different unoriented crystals. This process is cheaper and provides better quality crystals for protein crystallization over the current methods.

Summary:

• Useful for protein crystallization or other applications requiring formulation and storage of many small samples of nano-liter volumes.

- Eliminates cross contamination between samples.
- Cheaper method to test for the optimal concentration of protein.
- Crystals can be small which increases the potential for growing crystals in the first place.

• The present method avoids the roughly tenfold increase in crystal mosaicity typically encountered during cryoprotection and eliminates the need to search for cryoprotectant conditions.

Scientific Publications:

• "Room-temperature serial crystallography using a kinetically optimized microfluidic device for protein crystallization and on-chip X-ray diffraction" IUCrJ. 2015 September 01; PMID: 25295176



Microfluidic Chip for Crystallization and X-ray Crystallography

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

FLUIDIC DEVICE, INJECTOR SYSTEM, AND METHODS OF MAKING AND USING THE SAME

INVENTORS

Seth Fraden Ali S. Aghvami

PATENT STATUS
<u>Pending</u>
Provisional application 62/753,761

LICENSING STATUS Worldwide rights available

BRANDEIS REF. Case 2019-005

CONTACT

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Microfluidic Chip for high throughput protein crystallization screening

Background:

Our microfluidic chip for Protein Cyrstallization and X-ray Crystallography can provide pharmaceutical companies and life scientists with a cost effective and fast approach for determining the high-resolution protein structure by X-ray crystallography. Our design is a liquid handling chip with multiple advantages over current microfluidic chips. It is built from inexpensive bio-compatible material via a rapid fabrication procedure and it can be altered as needed based on the customer need. Production of high-resolution structures of proteins is one the main steps in structure-based drug design. Although cost reduction and efficiency improvements are the important benefits of structure-based drug design, the lack of generalized methods for high quality, fast and low-cost crystal production is still a major struggle in this process. Microfluidics, as a powerful liquid handling tool that reduces the amount of solution in a screening experiment to nanoliters have been introduced to the protein crystallization market earlier. Existing methods of determining optimal concentrations for achieving the crystallization of various proteins include mixing macro-portions of multiple aqueous solutions. The mixtures are traditionally made by mixing the multiple aqueous solutions in testing trays, generally called crystallization trays. The testing trays have several mixture chambers, which contain the mixtures at various concentrations. These mixtures are then analyzed for protein crystallization. Often, due the macro-sized volume of these testing trays, it is cost prohibitive to test out multiple solution concentrations, as many types of proteins are exceedingly expensive

Our innovation is an x-ray transparent microfluidic chip for protein crystallization which is less expensive, easier to operate and more performant in comparison to the current market solutions. By commercializing this device and introducing it to the market, pharmaceutical companies and life scientists will reduce the time and money spent to determine the high-resolution structure of proteins from x-ray diffraction, which is a current bottleneck in structure-based drug discovery.

The present invention is an inexpensive, x-ray transparent microfluidic chip for protein crystallization. For many medical and biological applications, such as pharmaceutical engineering, the molecular structure of a protein is essential. The most accurate way to determine protein structure is X-ray crystallography, a process that requires the analysis of X-ray diffraction patterns of protein crystals. However, protein crystallization remains challenging because each protein has its own phase diagram, thus, many different conditions should be tried to find the optimal conditions for protein crystallization. Our technology addresses four core challenges in protein crystals using optimized processing kinetics, (3) obtains structure with room temperature crystals, and (4) avoids crystal handling by diffracting on chip. On-chip X-ray diffraction has been demonstrated for our protein crystallization chips. Prototypes of our first design have been made and have been tested for protein crystallization with one specific solution.

Advantages:

- X-ray diffraction can be done on the microfluidic chip, therefore there is no extraction step.
- Less protein required
- Entire process can be done at room temperature.

• The microfluidic chis is made from cheap biodegradable material, therefore this process is no cost prohibitive like the alternative microfluidic chips.



Methods to Identify New Compounds for Controlling Insects

SEEKING Exclusive licensing partner

PATENT TITLE Methods of Identifying Insect-TRPA-1 Modulators

INVENTORS

Paul Garrity and Kyeongjin Kang

PATENT STATUS

Issued US Patent Numbers 9,488,640 and 9,986,740

LICENSING STATUS Worldwide rights available

BRANDEIS REF. Case 1054

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Differential thermo- and chemo-sensing stimulated by TRPA1 isoforms



Background:

Highly temperature-responsive Transient Receptor Potential (TRP) cation channels mediate thermosensation, noxious chemical detection and other homeostasis functions in all animals ranging from insects to humans. TRPs are a large family of channels with 27 different human and 13 different fly homologues. Like their mammalian TRP-counterparts, the TRPA1 channels in *Drosophila melanogaster* act as both thermal and chemical sensors, responding to warmth (above ~25-27°C) and sensing noxious chemicals. TRPA1 channels within the brain modulate the fly's preference for temperatures compatible with survival (18-32°C) while those within gustatory chemosensors function to inhibit ingestion of electrophiles and reactive chemicals that incapacitate the fly (e.g. isothiocyanate and N-Methyl Maleimide).

The invention is the finding that *Drosophila* actually possess two TRP protein isoforms, TRPA1(A) and TRPA1(B), with each having distinct amino termini but the same carboxy-terminal ankyrin and transmembrane domains. TRPA1(A) is expressed in the fly's proboscis which houses the TRPA1-expressing chemosensors while TRPA1(B), the previously described gene, is predominantly expressed elsewhere in the head where the TRPA1-expressing thermosensors of flies are located.

TRPA1(A) and TRPA1(B) isoforms are conserved in other hematophagous insects including *Aedes aegypti* and *Culex quinquefasciatus* mosquitos and *Pediculus humanus corporis* lice which transmit dengue, West Nile fever and typhus, respectively. The differentiation in function between the channel isoforms provides provides two new distinct TRPA1 molecular targets for disrupting behavior in disease vector, agricultural, horticultural and parasitic pest control (for use in aerial crop dusting; environmental sprays; topical lotions/sprays).

Summary:

- Cell-type segregation of the two TRPA1 isoforms confers thermal vs. chemical sensitivity in flies.
- TRPA1(A) expressing neurons in proboscis only respond to noxious chemicals but not warmth while TRPA1(B)-expressing neurons within the head retain both thermal and chemical sensitivity.
- The reduction in thermosensitivity for TRPA1(A) is due to a unique N-terminal region that contains several key amino acid mutations from TRPA1(B) protein that modulate its lower activity.
- The invention provides methods to identify new compounds that modulate TRPA1(A) cation channel activity for use in pest control by inhibiting the feeding behavior in larvae, pupae or adults.

Advantages:

• TRPA1 isoforms are conserved in malaria-causing mosquitos and other insects suggesting that all utilize similar mechanisms for discriminating host-derived warmth from chemical repellants.

• Compounds that preferentially modulate insect TRPA1 but not human TRPA1 can be used as novel agents for pest control without causing irritation or other biological effects in mammals.

Scientific Publications:

• "Modulation of TRPA1 thermal sensitivity enables sensory discrimination in *Drosophila*." (2011) Nature 481, 76-80. Doi:10.1038/nature10715.



Novel targets identified for modulating insect survival behaviors

SEEKING

Exclusive partners for licensing and commercialization

PATENT TITLE

Methods for modulating insect hygroand /or thermosensation

INVENTORS

Zachary Knecht, Paul Garrity and Lina Ni

PATENT STATUS

<u>Pending</u> US 16/099,277 (published as US-2019-0153451)

LICENSING STATUS

Worldwide rights available

BRANDEIS REF.

Case 1276

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Hygro-& thermosensation responses are conserved across arthropods

Background:

Signaling through the ionotropic receptors (IRs) located on the surfaces of hair-like sensilla structures in the antennae, legs and heads of insects allow them to detect changes in the environment which are essential for survival including avoiding dessication, reproduction activities, and host/prey identification. IRs are a large family of highly conserved cation channels located in the dendrites of sensory neurons in invertebrate and are a subfamily of the related ionotropic glutamate receptors (iGluRs) conserved from plants to animals.



Using *Drosophila melanogaster* as a model system, the inventors have shown that IR-heteromeric complexes consisting of IR25a/IR93a/IR40a and IR25a/IR93a/IR68a located in the sacculas of antennae are critical in mediating hygropreferences and those flies expressing mutant loss-of-function forms of IR25a, IR93a or IR40a will lack normal

hygrosensory responses. The inventors also identified for the first time another IRheteromeric complex in the antenna of insects, IR25a/IR93a/IR21a, which is essential for detecting cues required for cold avoidance and those flies expressing loss-of-function mutant forms of IR25a, IR93a, or IR21a will lack normal thermosensation.

Since these antennal IRs are highly conserved across diverse arthropod species but not in mammals, our technology provides new targets and assay methods for identifying novel compounds (i.e. inhibitors, agonists and antagonists) that will modulate thermo- and hygrosensory behaviors. These compounds can be incorporated into aerosols, sprays and lotions to attract, repel or kill disease vectors, agricultural / horticultural parasites, and annoying insects. The IR-heteromeric complexes covered by the patent claims modulate survival responses in both larval and adult insect stages suggesting potential for uses as broad spectrum pest control agents.





Summary:

• Thermo- and hygrosensation responses of insects are essential for survival and reproduction

- Novel heteromeric complexes of IRs have been identified in the larvae and adult stages of *Drosophila* that mediate moisture sensitivity and cold temperature behavioral responses
- These multimeric IR signaling complexes are highly conserved across arthropod species

• IR25a, IR93a, IR40a, IR68a and/or IR21a can be used, alone or in combination, in assays to identify new agents for controlling the survival or reproduction of pests and disease vectors

Advantages:

- New pesticides will have species specificity but without strong resistance selection pressures
- Assays will target two highly conserved environmental sensing pathways essential for survival
- No known strategies currently in the market to exploit these moisture and temperature sensors

Scientific Publications:

"Distinct combinations of variant ionotropic glutamate receptors mediate thermosensation and hygrosensation in *Drosophila*." Knecht *et al.* eLife 2016;5:e17879. Doi: 10.7554/elife.17879

"Distinct ionotropic receptor-dependent moist and dry cells control hygrosensation in *Drosophila.*" Knecht *et al.* eLife 2017:6:e26654. Doi:10.7554/eLife.26654



Drug Development Based on a Protein's Energy Landscape

SEEKING

Exclusive licensing partner for commercialization

PATENT TITLE

Biophysical Platform For Drug Development Based On Energy Landscape

INVENTOR

Dorothee Kern

PATENT STATUS

Pending

United States Application Serial No.15/524,181 (published as US-2017-0356024-A1)

LICENSING STATUS

Worldwide rights available

BRANDEIS REFERENCES Cases 1175

CONTACT

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Rational drug design through analysis of conformational dynamics

Background:

A fundamental pitfall in current drug development processes is a lack of understanding of the detailed biophysical mechanisms that make inhibitors successful. Our invention is a novel strategy for developing new high affinity and specific drug inhibitors through analysis the unique energy landscapes underlying the regulatory features of a target protein's active site. These methods involve predicting the transition rate of conversion between an "initial binding" conformation and an "induced fit" conformation after an agent contacts the active site of a protein. Those agents that increase the stability of the induced fit conformation for the active site (when compared to stability induced by a physiologic reference agent) are identified as good candidates for having strong and specific inhibitory binding. The agents identified in screening assays can be small molecules, polypeptides, peptides or peptide mimetics.

Protein kinases are attractive therapeutic drug targets because different signaling cascades can be selectively regulated by inhibiting individual kinases and they are often deregulated in many cancers. However, there are more than 500 human protein kinases and they all share a high level of similarity in their active sites which makes it difficult to design drug inhibitors that are specific to a particular kinase for an anticancer therapy.

The drug discovery platform has been enabled by examining the energy landscapes for the drug inhibitor-target protein interactions and resulting induced fit step transition rates for both Tyr and Ser/Thr kinases, specifically the binding kinetics for Gleevec-Abl kinase and Danusertib-Aurora A kinase pairs. The results show the energy landscape of ligand binding is complex and the conformational selection step weakens the overall inhibitor affinity, while an induced fit step tightens the affinity in relation to the amount of equilibrium shift in the kinase/inhibitor drug complexes.

Summary:

• A new approach for identifying better drug inhibitor compounds that exploits the dynamic nature of proteins and targets these dynamic parts for engaging them in induced fit steps

• Method highlights the importance of induced fit step transitions to select for drugs with increased selectivity (thereby minimizing off-target effects) and higher binding affinities to the target protein

• The integrated platform combines conformational flexibility data obtained from NMR spectroscopy dynamics, fast fluorescence binding kinetics, crystallography structures, enzyme kinetics, ancestral sequence reconstructions and/or molecular dynamics simulation

Advantages:

• Platform focuses on sites in target proteins crucial for binding in different dynamic states (i.e. free and when bound to compounds) and does not rely on the conformation of a single static structure

• Our novel drug design approach solves the problems for how to design new inhibitor drugs that will have very high affinity, long on-target residence times and very high specificity

Related Scientific Publications:

Agafonov *et al.* (2014) Energetic dissection of Gleevec's selectivity towards human tyrosine kinases. *Nature Structural and Molecular Biology* 10:848-853. doi: 10.1038/nsmb.2891

Wilson *et al.* (2015) Using ancient protein kinases to unravel a modern cancer drug's mechanism. *Science* 347(6224):882-886. doi: 10.1126/science.aaa1823

Pitsawong *et al.* (2018) Dynamics of human protein kinase Aurora A linked to drug selectivity. eLife 7:e36656. DOI: https://doi.org/10.7554/elife.36656



Novel and Optimized Cryo-EM Sample Preparation with PicoCell™

SEEKING

Exclusive licensing partner for commercialization

PATENT TITLE

Freezable fluid cell for cryoelectron microscopy

INVENTORS Joel R Meyerson, Jungwon Park

PATENT STATUS Pending:

PCT/US2017/064831 pending 16/466,860 in United States CA3046200 in Canada 17878807.1 in Europe 201780075756.4 in China 10-2019-701836 in South Korean

LICENSING STATUS Worldwide rights available

BRANDEIS REF.

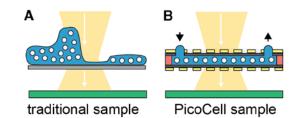
Case 1307

CONTACT

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Rapid, efficient, blot-free, and low-cost sample optimization for cryo-EM

Cryo-electron microscopy (cryo-EM) is emerging as the preferred method to determine 3D protein structures in biomedical research and drug discovery. The method's importance was acknowledged with the 2017 Nobel Prize in Chemistry. Cryo-EM is performed by taking pictures of frozen proteins using an electron microscope, then processing the images with a computer to create a 3D structure of the protein. This method thereby enables atomic-resolution molecular structures under native conditions and without the need for crystallization. We have a novel nanofabricated invention, PicoCell[™], to address the problems with cryo-EM sample preparation, enhance automation in cryo-EM workflows, and push cryo-EM to the next frontier.



Our device targets a central problem in existing cryo-EM workflows – the process of freezing proteins in a thin film of water before imaging. Current technology for preparing these thin ice samples is associated with an array of problems rooted in lack of control over sample geometry, uniformity, and environment (**LEFT**). The new technology we present uses a nanofabricated chamber that gives full control over sample preparation, thereby ensuring consistency and reproducibility for every sample (**RIGHT**). Additionally, slits in the top of PicoCell[™] nanochamber allow for passive protein loading by capillary action, thereby eliminating traditional blotting and reducing the protein material requirements over 1,000-fold. Our innovation thus delivers a low-cost, blot-free approach that accelerates automation while smoothly incorporating into existing cryo-EM workflows. We also envision that these features will make cryo-EM easier to use, and thereby broaden the cryo-EM market.

Prototype testing has shown the viability of the core technology. The design was conceived for scalable manufacturing by leveraging existing semiconductor manufacturing processes. We anticipate the mature incarnation of PicoCell[™] will help breach current problems in cryo-EM and open new scientific and commercial opportunities. Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications.

Summary:

- A novel re-imagining of sample preparation to accelerate cryo-EM workflows
- Uniform sample thickness without blotting, and reduces the quantity of target protein needed by 1,000-fold
- Use of mature nanofabrication techniques keeps Cryo EleMent™ manufacturing costs low
- Consumable, one-time-use design

Advantages:

- Fast sample optimization, low screening time, and increased sample reproducibility
- Blot-free approach reduces quantity of protein needed for 3D structure determination
- Eliminates air-water interface to improve protein orientations and distributions
- Versatile design provides for product line diversification



Improved Gene Silencing through Use of Organic shRNAs

SEEKING

Exclusive or non-exclusive partners for commercialization by field-of-use

PATENT TITLE Organic small hairpin RNAs

INVENTORS

Nelson Lau Mei Zeng Suzanne Paradis Marissa Kuzirian

PATENT STATUS

<u>Issued:</u> U.S. Patent No. 9,777,277

LICENSING STATUS

Worldwide rights available

BRANDEIS REF.

Case 1137

CONTACT

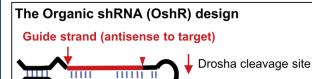
Rajnish Kaushik, PhD, MBA Director, Licensing & Strategic Alliances Office of Technology Licensing Brandeis University 415 South Street Waltham, MA 02453 +1 781-736-4220 rajnish@brandeis.edu

Advanced design strategy increases efficiency and decreases costs

Background:

Technologies harnessing the endogenous cellular phenomenon of RNA interference (RNAi) are uniquely capable of regulating gene expression *in vivo*. This power derives from practitioners' ability to present small guide RNAs to a cell's native RNA Induced Silencing Complex (RISC) and thereby target any native messenger RNA for degradation. Short hairpin RNAs (shRNAs) are attractive RNAi technology because they are expressed by vector-based transgenes and therefore not diluted with cell division or RNA turnover.

Current shRNA methods for gene silencing are inefficient and unpredictable. Practitioners often must buy and test panels of candidate shRNAs against their gene targets, and occasionally, the entire panel will fail. This is because the primitive design of current shRNAs allows for imprecise cleavage of the RNA hairpin, which in turn allows non-guide RNAs to enter the RISC and produces off-target effects on gene expression.



Passenger strand (built-in bulge)

The organic shRNA (OshR) platform of our invention overcomes these deficiencies by incorporating distinct elements of endogenous inhibitory microRNAs (miRNAs) to more closely mimic their natural structure and results in improved shRNA performance. These features ensure proper cleavage and the biased

accumulation of the designated guide strand 3–50-fold over the passenger strand. Additionally, a rational design workflow specifically for OshRs allows effective constructs to be created against any target gene.

V Dicer cleavage site

The performance of OshRs has been proven in immortalized cells. We are seeking licensing partners to develop kits or pharmaceutical compositions for commercializing this technology. Paired with our proprietary workflow, such fully-contained kits would provide a cost-effective and time-saving solution for suppressing genes otherwise refractory to RNAi manipulation.

Summary:

• Flexible OshR design for use in silencing any target gene of interest which contains, in 5' to 3' order:

- o a 5' constant stem sequence,
- o a 22 base guide strand that is the reverse complement of the target sequence,
- o a constant stem loop,
- o a 20 base passenger strand that is the near-reverse complement of the guide sequence and
- o a 3' constant stem sequence
- Specific mis-match sites are introduced on the passenger strand of the shRNA where base 19 is mutated to be a mis-match relative to the guide strand and also bases 11 and 12 are deleted
- Design ensures precise cleavages of the hairpin by the Drosha and Dicer enzymes

Advantages:

- Transparency: Practitioner controls and tracks the design workflow
- <u>Near-optimal RNA biogenesis</u>: Preferential guide strand accumulation of 3–50x over passenger strand, approaches 3–300x rate observed for endogenous miRNA-30a-5p
- More reliable: Off-target effects are significantly reduced allowing interpretation of phenotypes with confidence
- More cost-effective: Eliminates need to validate commercial shRNA panels or conduct parallel screenings

Reference:

Zeng, M, Kuzirian, MS, Harper, L, Paradis, S, Nakayama, T, Nelson, L. (2013) Organic small hairpin RNAs (OshR): a Do-It-Yourself platform for transgene-based gene silencing. *Methods*. 63:101-109.



High Throughput Video Drosophila Behavior Monitoring System

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

High Throughput Video Drosophila Behavior Monitoring System

INVENTORS

Michael Rosbash, Hyung J Jung, Fang Guo

PATENT STATUS

Pending: No. 15/771,844 (published as US-2018-0310521)

LICENSING STATUS Worldwide rights available

BRANDEIS REF. Case 1231

CONTACT

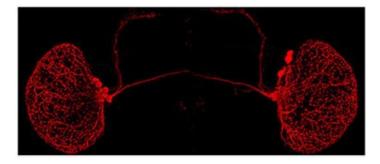
Rajnish Kaushik, PhD, MBA

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High Throughput Video Drosophila Behavior Monitoring System

We have developed a high-throughput, real-time video recording system combined with optogenetic stimulation for recording *drosophila* activity. Flies are loaded to standard 96-well plates with food, and the locomotor behavior video-tracked. A pair of LEDs with a specific wavelength for optogenetic stimulation is symmetrically placed above the plate. The timing, frequency and intensity of LED light pulses can be controlled by an Arduino Uno Board or Raspberry Pi. This setup (video recording and optogenetics) can be further combined with a bioluminescence plate reader, which uses luciferase as reporter of neuronal activity or transcriptional activity.

The invention allows the stimulation and recording of neuronal activity within discrete neurons, and it also couples these manipulations/measurements to fly behavior. Notably, the system will function for many days, allowing measurements of sleep-wake as well as circadian cycles. It can provide a standard method for researchers to control and record the activity of animals like flies, and it allows researchers to precisely and noninvasively stimulate neuronal activity in a living fly. This system can be used by neuroscience labs to screen for genes and neuronal circuits involved in different fly behaviors (sleep, circadian rhythms. courtship, aggression). This system also can be used for screening drugs that might target those behavior disorder by using *drosophila* as a disease mode.



This invention is superior to the current *Drosophila activity* monitor (DAM) with regards to the fact that the current system (DAM) has many blind spots which are insensitive to tiny movements of the flies. In contrast, our video recording setup can capture what the flies are doing with exact timing and over a very long period of time. Our option will be far cheaper than the current DAM system. Moreover, recycling and waxing the DAM board behavior tubes are labor-intensive. Our device will cost less, and we use a standard commercial 96-well plate. This is much simpler and less labor-intensive system for loading the flies. Lastly, the flies loaded in the DAM system tubes become unhealthy quickly because the small amount of food in the tubes dries out quickly. In contrast, flies in a 96-well plate can live for weeks since each well contains a large amount (300ul) of food.

Summary:

•Flies in our system live longer due to increase food availability in the 96-well plate.

•Our technology can record triple the number of flies as the current DAM system.

•Our Technology can couple with a bioluminescence plate reader in order to couple real-time neuronal activity or transcriptional activity to the fly's behavioral status.

Scientific Publication:

•"Clk post-transcriptional control denoises circadian transcription both temporally and spatially." Nat Commun. 2015 May. PMID: 25952406



Novel Technique for RNA-Binding Protein Analyzation Method

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Compositions and methods for identifying RNA Binding Polypeptide Targets

INVENTORS

Michael Roshbash Aoife McHahon Weijin Xu Hua Jin

PATENT PENDING

US 16/317,749 EU 16909733.4 Japan 2019-524116

LICENSING STATUS US, EU, Japan

BRANDEIS REF. Case 1306

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Easier, Faster, and Accurate Method to identify RNA-Binding Proteins

Background: An innovative technique to effectively analyze RNA-Binding Proteins with fewer cells to shorten the drug discovery process.

Many efforts have been made to accurately identify drug targets for neurological diseases, yet there are still many challenges to decrease time-to-market. There are links to abnormalities in RNA-Binding proteins (RBP) with numerous human diseases such as Parkinson's, autism, and Amytrophic Lateral Sclerosis (ALS). Post-transcriptional regulation of gene expression is mediated by a host of proteins that bind to pre-mRNA and mRNA for correct splicing, localization, and translation of cellular components to occur. Currently approximately 10% of protein-coding genes in humans are RBPs, yet the pathological mechanisms and targets for RBPs are undetermined. Identifying targets and RBP-RNA interactions for these debilitating diseases is a crucial component for effective treatments.

Traditional methods of RBP target identification, typically immunoprecipitation of target RNAs, are generally performed on mixed tissues. This can lead to issues such as post-lysis in vitro association of RBPs with spurious targets, and dramatic changes in results with seemingly subtle differences in experimental conditions. The long list of candidate targets has little overlap between labs. A more sophisticated method includes CLIP (crosslinking and immunoprecipitation) still has several disadvantages including a requirement for a high-affinity, specific antibody, the inefficiency of crosslinking, and requirements for large amounts of material. CLIP was first described in 2005 and no cell-specific experiments identifying cell-specific RBP targets have yet been published.

The invention available TRIBE (Targets of RNA-Binding Proteins Identified by Editing) allows researchers to effectively analyze these proteins with greater accuracy in a cost-effective and faster manner. The invention available for licensing will help in identifying cell-specific RBP targets via fusion polypeptides.

Summary:

- Approximately 10% of protein-coding genes in humans are RBPs
- CLIP requires a high-affinity, specific antibody and the inefficiency of crosslinking, and requiring large amounts of material
- TRIBE provides greater accuracy in a cost-effective and faster manner

Advantages:

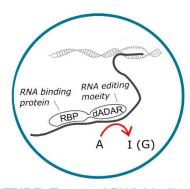
- No additional reagents required
- Shorter experiment time (3 days vs. 8 days)
- Low false-positive rate
- Requires 10,000-fold less material

Scientific Publications:

• McMahon, Aoife C., et al., *TRIBE: Hijacking an RNA-Editing Enzyme to Identify Cell-Specific Targets of RNA-Binding Proteins.* Cell, 2016. **165**(3): p. 742-753.

• Xu, W., R. Rahman, and M. Rosbash, *Mechanistic implications of enhanced editing by a HyperTRIBE RNA-binding protein.* RNA, 2018. **24**(2): p. 173-182.

• Rahman, R., et al., *Identification of RNA-binding protein targets with HyperTRIBE*. Nature Protocols, 2018. **13**(8): p. 1829-1849.



TRIBE: <u>Target of RNA-binding</u> protein <u>Identified By Editing</u>



Skippy Mapper

SEEKING

Licensee

PATENT TITLE

Device and method for enabling longlived snapshots

INVENTORS

Ross Shaull Liuba Shrira Hao Xu

PATENT STATUS

Issued U.S. 8,583,598

LICENSING STATUS U.S. Rights Available

BRANDEIS REF. Case 20070501

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An Efficient Method for Mapping Disk Pages of Copy-on-Write Snapshots

Background:

Decreasing disk costs make it possible to take frequent snapshots of past storage system states and retain them for a long duration. Existing snapshot approaches offer no satisfactory solution to long-lived snapshots. Current methods include the Split snapshots and they are an approach that is promising because it does not disrupt the current state storage system in either the short or the long run. An unsolved problem has been how to maintain an efficient access method for long-lived split snapshots without imposing undesirable overhead on the storage system. Existing snapshot approaches, however, offer no satisfactory solution to long-lived snapshots. Yet, long-lived snapshots are important because, if the past is any predictor of the future, a longer-time prediction needs a longer-lived past.

Existing access techniques to versioned past data in databases and file systems rely on a "no-overwrite" update approach. In this approach, the past state remains in-place and the new state is copied, so the mappings for the past state take over the mappings of the current state all at once, rather than gradually. Although in-memory techniques exist for split snapshot system to accelerate the construction scan, this approach supports only short-lived snapshots. Thus, an access method is needed for split snapshot systems that also supports long-lived snapshots.

Skippy is a new approach that inexpensively indexes long-lived snapshots in parallel with snapshot creation. An embodiment of Skippy uses append-only index data structures to optimize writes while simultaneously providing low-latency snapshot lookup. Performance evaluations of Skippy indicate that this new approach is effective and efficient. It provides close-to-optimal access to long-lived snapshots while incurring a minimal impact on the current-state storage system. A key component of the split snapshot system that allows a storage system to run unmodified applications over snapshots in addition to the current state. This allows for the creation of maps for high frequency snapshots without disrupting access to the current state and to lookup the mappings efficiently when application code runs against long-lived snapshots.

The Skippy Mapper access method provides both low-cost snapshot mapping creation and low-cost snapshot mapping lookup for long-lived snapshots because they were disruptive in the long run. This method provides consistency by constraining the order of disk writes for snapshot mappings but allows flexible order for snapshot blocks. The flexibility enables more efficient snapshot creation. Because Skippy mapper protocol supports a common low level interface in storage systems the invention does not depend on specific storage system architecture and is applicable to different types of storage systems, databases, file systems, and content-addressable stores.

Advantages:

• Current methods rely on specific storage system architecture and are not applicable to different types of storage systems; Skippy is applicable to different storage systems/databases.

Publications:

•"Skippy: a New Indexing Method for Long-Lived Snapshots in the Storage Manager" by <u>Ross</u> <u>Shaull(Brandeis)</u>, <u>Liuba Shrira (Brandeis)</u>, and <u>Hao Xu (Brandeis)</u>. <u>ACM SIGMOD Conference</u>, Vancouver, CA, June 2008



EZ Amp- Simple DIY Current Measuring Device

SEEKING Exclusive licensing partner

PATENT TITLE Current Meter

INVENTORS

Hermann F. Wellenstein Paul Keselman

PATENT STATUS

8,922,193 (Dec. 30 2014)

LICENSING STATUS US rights available

BRANDEIS REF. Case 1306

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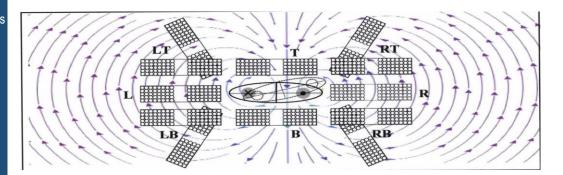
A New Current Meter

Background:

In electrical engineering, a device to measure current is a clamp or current-probe with two jaws that open and allow clamping around an electrical conductor. This allows the electrical current in the conductor to be measured without having to make physical contact with it, or having to disconnect it for insertion through the probe. An electrical meter with an integral current clamp is known as a clamp meter, clamp-on ammeter, or tong tester. Currently, several types of clamp meters exist which include: Rectifier, Split Ferrite Ring, iron Vane, Hall-Effect, and Open Jaw.

Conventional clamp meters have certain shortcomings the most notable of which is that only one conductor is passed through the probe, requiring the conductors of multi conductor cables to be separated before the current in one conductor can be measured. Electrical installation codes for commercial and residential properties forbid the opening of cables or the separating of wires. If more than one conductor were to be passed through, the measurement would be the vector sum of the currents flowing in the conductors which could be very misleading depending on the phase relationship of the currents. In particular, if the clamp is closed around a two-conductor cable carrying power to the equipment, where the same current flows down on conductor and up the other, then the conventional meter will falsely provide a reading of zero. Conventional current clamp meters have been available for many years and are an accepted method of non-intrusive current measurement. However, these instruments can only measure current in a single core-cable, requiring multi-core cables to be separated before one core can be measured. Where these current meters fail, the present invention excels in that it takes advantage of the magnetic field pattern surrounding a multiple-conductor cable to provide accurate readings of AC current using simple and inexpensive components.

Current in multi-core cables can be measured without the need to split cores by using an array of magnetic sensors to determine the magnetic field pattern surrounding the two, three, or four-core cable and computing the current flowing in each conductor from this magnetic field profile. Our technology is a device and procedure to measure the alternating electric current in a multi-conductor cable. The current meter disclosed here has only a small number of coils (one or two) and uses a large number of turns in each coil to obtain a robust signal output. Our current meter can have a coil geometry and placement that may be optimized with respect to certain parameters with the optimal arrangement being achieved when an output signal is strong and accurately proportional to the electric current in the cable while also being insensitive to small displacements between the cable and meter.





Mapping the Human Eye

SEEKING Licensing partner

PATENT TITLE Systems and Methods for Surveying the Sclera of the Eye

INVENTORS

Hermann Wellenstein

PATENT STATUS Pending US Application 16/318,910

LICENSING STATUS US rights available

BRANDEIS REF. Case 1250

CONTACT

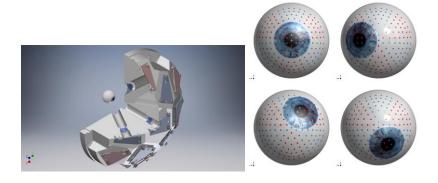
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Sclervey: A New Device to Survey the Sclera

Background:

Corneal diseases cause debilitating suffering in patients and leads to severely impaired vision or blindness. The visions of the affected patients cannot be corrected with ordinary glasses or contact lenses due to the fact that the shape of the cornea is no longer a simple, smooth surface. In order to fully restore vision to the patients suffering from complex corneal diseases, a prosthetic replacement of the ocular surface (PROSE), developed by Boston Foundation for Sight, is used. PROSE is the treatment process used to design custom fitted scleral lenses. The prosthesis forms a seal on the sclera holding a saline solution between the damaged tissues creating a "new cornea" thus restoring vision. Currently, the fitting process is done by trial and error, requiring multiple sessions and trial lenses. The present invention, Sclervey, surveys the sclera quickly and without any contact. Sclervey maps the sclera to tens of micron precision and provides clinicians and technicians with the data necessary to design custom fitted lenses to seal the sclera with high precision.

Sclervey uses six LED arrays arranged on a block positioned with the eye at the center of projection in order to obtain a uniform grid of 163 light spots on the surface of the eye. Six CCD cameras (plus one in the center) mounted inside a plastic spherical shell view the dot projection such that each dot is visible to two or more cameras, and stereo geometry reduces two imaged into a 3D surface. The camera pairs are utilized to conduct stereo imaging which leads to a 3D reconstruct of the light spots on the surface of the eye. The sclera must be surveyed in sections where the patient is cued (via a blinking light) to look in four directions: straight ahead, right, up left, and down left. At each position, a little more than a third of the sclera is exposed to the projected spots and mapped. Each surveyed section overlaps with the adjacent sections and neighboring sections are stitched together using image stitching software. Sclervey will make PROSE treatment more efficient, cost effective, and will improve patient experience by reducing the number of fitting sessions.



Advantages:

- Sclervey will make PROSE treatment more efficient and cost effective.
- Sclervey will improve patient experience by reducing the number of fitting sessions.



Active Cross-Linkers for Chemomachanical Polymers

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Olymeric materials having active cross-linkers, methods for making them, and use thereof

INVENTORS Bing Xu, Ye Zhang

PATENT STATUS Issued:

U.S. Patent No. 9,920,147 U.S. Patent No. 10,519,265

LICENSING STATUS US rights available

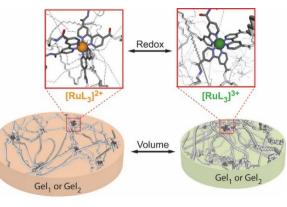
BRANDEIS REF. Case 1140

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Rong Zhou, Ph.D. Licensing Associate Office of Technology Licensing Brandeis University 415 South Street Waltham, MA 02453 zhourong@brandeis.edu +1 781.736.8753

Novel hyper-cross linkers generate redox-active and shape-changing soft materials

Stimuli responsive shape changing soft materials (e.g., gels, elastomers, rubbers) have broad technological application. But there is limited method to produce such materials except memory alloys and temperature responsive gels. This invention describes a new method to generate stimuli responsive shape changing soft materials based on the development of active crosslinkers, that is, the crosslinkers of the polymer networks themselves to respond to stimuli, thus causing the shape/volume changes of the polymer networks that act as the component of soft materials. We show an example of this concept by producing a gel that changes volume due to the chemical reduction and oxidation. This invention should be applicable for all active crosslinkers to develop active gels as chemomechanical materials, multiply the diversity of active materials, and construction of gels that change volumes to actuating flows in a channel.



Inspired by the process of converting chemical energy into mechanical motion in the cytoskeleton of muscle cells, we developed an active cross-linker based on the tris(bipyridine) ruthenium complex $[Ru(bipy)_3]^{n+}$ for the construction of novel polymer. Benefited from this hyper cross-linker's role as a redox catalyst for a well-established chemical oscillator, namely the Belousoc-Zhabotinsky (BZ) reaction, we used $[Ru(bipy)_3]^{n+}$ and N,N'-methylenebis(acrylamide) (BIS) based active cross-linker and two distinct monomers, N-isopropylacrylamide (NIPAAm) and

allylamine, for the polymerization that results in active hydrogels. We characterized the physiochemical, structural properties to achieve the self-oscillatory polymer with drastic volume changes between oxidized and reduced states. Additionally, we also used a photo-polymerization process to fabricate the optimal cross-linked active gel with the cross-linker and monomer in a molar ratio of 0.004.

This work provide a simple, however, powerful and general way to construct active soft materials compared to present technology. By applying our techniques, active materials were generated based on different types of polymer backbones and presented drastic different chemomechanical behaviors compared to present materials. This work also illustrates a new way to control molecular architecture for active materials in which the active hyper crosslinkers of the polymer network command the materials properties, which leads to a new way for making active soft materials. Brandeis OTL is seeking statements of interest from parties interested in licensing and/or sponsoring collaborative research to further develop, evaluate, or commercialize this technology and its applications

Summary:

- •A novel platform of hyper active polymer hydrogel that benefits from a redox-active novel cross-linker
- •Our simple yet powerful strategy generates the drastic different chemomechanical soft materials
- •Molecular architecture for active polymers can be precisely designed and controlled by adjusting molar ratio

Advantages:

- •The invention presents a new way to control molecular architecture of polymer with novel cross-linker
- •The self-oscillatory polymers are hyper active to stimuli with robust ability to change shape/volume

Publications:

•Y. Zhang et al. "Active Cross-Linkers that Lead to Active Gels." Angew. Chem. Int. Ed. 2013, 52, 11494 –11498. DOI: 10.1002/anie.201304437.

Notes

Functional foods that lower cholesterol, novel antioxidants, and innovative fats.

Food Science and Safety



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Blended Fats and Oils for Reducing LDL and VLDL Cholesterols

SEEKING

Exclusive licensing partner for commercialization in Europe

PATENT TITLE

Vegetable Oil Composition Containing Palm Mid-fraction Fat and Method of Reducing Plasma Cholesterol

INVENTORS

Daniel Perlman Kenneth C. Hayes

PATENT STATUS Pending:

PCT/US2013/068730 in Europe under Applic. No. EP13852431.9

LICENSING STATUS

Exclusive rights available in Europe; Non-exclusive rights in US

BRANDEIS REF. Case 1117

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Blends made from palm mid-fraction fat combined with linoleic acid

Background:

Over 50 years of clinical research has established a clear link between the types of dietary fats (triglycerides) consumed and their ability to modulate total cholesterol levels (TC) found in blood. Common hardening/hardstock fats used in commercial food processing (e.g. palm oil, palm mid-fraction, stearin fats), are high in saturated fatty acids (SFA) that are associated with raising TC in blood including both low density lipoprotein cholesterol (LDL-C) and high density lipoprotein cholesterol (HDL-C). HDL-C is considered to be the "good" cholesterol while LDL-C and very low density lipoprotein cholesterol (VLDL-C) are often referred to as the "bad" cholesterols since higher levels of TC, VLDL-C and LDL-C are all linked to higher incidences of cardiovascular disease. Adding the appropriate amount of polyunsaturated fatty acids (PUFA) in the form of linoleic acid (18:2n6) favorably impacts the metabolism of lipoproteins.

The current invention for commercialization covers novel blended dietary fat compositions for food processing that contain palm mid-fraction hardstock fat combined with sufficient levels of linoleic acid to enhance lipoprotein metabolism. In fact, such fat blends can promote the lowering of LDL-C and VLDL-C in blood plasma without lowering HDL-C when consumed regularly over a period of weeks. Surprisingly, palm mid-fraction as the hardstock fat blended with oils rich in PUFA is found to be more effective at reducing TC in subjects than other palm oil products and hardstock fats. The latter includes whole palm oil, palm stearin, partially hydrogenated trans-containing fats and interesterified fats that often contain SFA or a trans-fatty acid at the middle (sn-2) position in the triglyceride molecule, negatively affecting LDL-C and HDL-C metabolism.



As was the case with the original SMART BALANCE blend of four natural oils, these newer heart-healthy blends contain sufficient solid fat content to harden the products at room temperature while still maintaining the cholesterol-lowering effect provided by the one or more functional polyunsaturated vegetable oils. The resulting blended fat and oil compositions with different melt points have multiple commercial uses in food manufacturing including margarines, table spreads, cooking oils and fats, shortenings, baked goods, frying oils, dairy products (e.g. cheese, yogurt, milk), fat-containing confectionary goods, mayonnaises, condiments and salad dressings.

Summary:

- The opportunity is a healthy blended fat or oil product for use in processed foods containing:
 - At least one palm mid-fraction fat (10-22%) 0
 - At least one unsaturated vegetable oil (60-90%) and 0
 - A final 15-45% linoleic acid [18:2n6] content based on the total weight of all fatty acids 0
- Effective vegetable oils used in the food manufacturing processes include safflower, olive, corn, canola, sunflower, soybean, cottonseed and peanut oils
- Blended products are fluid at 35° C while forming solid or semi-solid products at 20° C

Advantages:

- · Formulation versatility allows for solid or liquid forms for use in many types of processed foods
- Beneficially improves lipoprotein profile in blood plasma by replacing conventional dietary fats
- Consistent consumption reduces one's risk of developing coronary heart disease by:
 - Decreasing LDL level 0
 - Decreasing VLDL level and 0
 - Lowering total serum cholesterol 0



Palm Fruit Juice Protects DNA From Damage Caused by Drugs and Age-related Diseases

SEEKING

Exclusive commercialization partner

PATENT TITLE

Treatment of DNA damage and mitochondrial dysfunction using palm fruit juice

INVENTORS

Lawrence Wangh Adam Osborne KC Hayes Ravigadevi Sambanthamurthi

PATENT STATUS

Pending: PCT No. PCT/US2014/015110 in EP No. 14749028.8 US No. 14/766,215

LICENSING STATUS

United States and European rights available

BRANDEIS REF.

Case 1121

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Polyphenols reduce reactive oxygen species leading to fewer mutations

Background:

Mitochondrial dysfunction and mitochondrial DNA (mtDNA) damage can occur naturally over time during the aging process but can also be induced as side-effects by the drugs used to treat serious diseases, including tuberculosis and cancer. One of the most commonly used anti-retroviral drugs to treat HIV/AIDS (zidovudine or AZT) is known to cause damage to the mitochondrial genome and any mitigation of this permanent side-effect would significantly benefit the long term health of patients.

Our invention introduces palm fruit juice (PFJ) as a novel natural product for preventing and treating mitochondrial dysfunction due to mtDNA and genomic DNA damage. PFJ is a water soluble by-product of oil extraction from the fruit of the oil palm (Elaeis guineensis) that is rich in antioxidant phenolics and other phytochemicals. PFJ has been found to exhibit a high scavenging activity for hydrogen peroxide, the main reactive oxygen species (ROS) produced in excess by defective mitochondria. The antioxidants in PFJ reduce the level of intracellular ROS and lower the level of oxidative stress in cells which in turn decreases the number of DNA-associated breaks and mutational events that accumulate upon repair. Such mutations have been correlated with a higher incidence of mitochondrial dysfunction and age-related diseases, such as diabetes, cancer, Parkinson's and Alzheimer's. PFJ can be delivered as a nutraceutical, alone or in conjugation with drug therapies.

Our results *in vitro* using the human liver carcinoma cell line HepG2 revealed AZT exposure for 30 days induced up to a 9-fold increase in mutations as compared to normal culture media. When PFJ was added in combination to AZT exposure, the number of mutations significantly decreased by 35% when compared to AZT alone. PFJ was additionally found to mitigate the cytotoxic effects of AZT after exposure to increasing concentrations over the course of a 6-day period. Similar benefits in reducing drug-induced mtDNA mutational loads were also observed by comparing the effects of PFJ on HepG2 cells after exposure to the drug isoniazid (INH) which is commonly used to treat patients having tuberculosis infections.

Our results suggest that antioxidant phenolics from PFJ can be used as a functional food ingredient in beverages or as a supplement in nutraceutical or therapeutic orally delivered products while not causing cytotoxicity. We are seeking exclusive partners to commercialize this technology with proposed marketing claims for treating or preventing mitochondrial dysfunction, genomic DNA damage and mtDNA mutations resulting as long-term side effects caused by exposure to nucleoside reverse transcriptase inhibitor and isoniazid drugs, chemotherapy, and radiation. Brandeis University holds the exclusive licensing rights in the United States and Europe for the use of PFJ to prevent DNA damage while Phenolaeis Sdn Bhd holds exclusive background rights from the Malaysian Palm Oil Board for industrial production of PFJ.

Summary:

• PFJ contains natural polyphenols for treating or preventing mtDNA damage, mitochondrial dysfunction and genomic DNA mutations caused by aging, disease and drugs

• The mitigating effects on mtDNA and genome DNA damage was shown in human liver carcinoma cells along with an observed reduction in ROS to relieve oxidative stress levels

Advantages:

- Easily incorporated as a synergistic agent into therapeutic product formulations
- Widely available as a low-cost bi-product of palm oil milling with variable delivery forms
- Addresses long-term side-effects of certain drugs (e.g. AZT for HIV/AIDS; INH for tuberculosis)

Scientific Publications:

"Palm Fruit Juice Mitigates AZT Mitochondrial Genotoxicity and Dose-Dependent Cytotoxicity." (2014) *Journal of AIDS & Clinical Research 5:400.*



Carrot Fiber to Prevent Metabolic and CV-related Diseases

SEEKING

Exclusive commercialization partner for manufacturing and sales

PATENT TITLE

Fruit or Vegetable Pomace Composition and Use as Blood Glucose Modulator and Anti-Diabetic Agent

INVENTORS

Daniel Perlman Kenneth C. Hayes

PATENT STATUS

<u>Allowed</u>: US Serial No. 15/770,298 <u>Pending</u>: PCT Application No. PCT/US2016/058904 in

- Europe Serial No. 16860705.9
- Canada Serial No. 3,002,388

LICENSING STATUS

Worldwide rights available

BRANDEIS REF.

Case 1186

CONTACT

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A low cost, all-natural ingredient for foods and beverages

Background:

The market for dietary fibers in foods and beverages is expected to reach \$6.5 billion by 2022 and includes diverse health and wellness applications for weight loss, gut health, blood sugar control and lowering cholesterol levels. Changes in taste and quality preferences for the growing number of health conscious consumers as well as aging global populations are driving industry's needs to introduce new and better sources of functional fibers.

Carrot pomace powder (CPP) has potential to be at the top of this list as a leading new source of functional dietary fibers. It is currently considered a "waste product" of carrot juice production; however, we have found CPP isolated using our proprietary process to be highly enriched in both soluble and insoluble fibers (greater than 50% by weight) while maintaining a low sugar-to-fiber ratio in the final composition. CPP dramatically improves mammalian carbohydrate metabolism when tested in the male Nile rat model of Type II Diabetes, including lowering lowered blood glucose, cholesterol and triglyceride profiles while also reducing fat accumulation and weight gain. Its health and wellness benefits were found to be more effective than comparable levels of two other leading commercial healthy dietary fibers – insoluble cellulose and soluble inulin. CPP exerts its effects by beneficially altering carbohydrate uptake and the cecal microflora composition within the gastrointestinal tract.

Complementing its superior performance as a functional dietary fiber, CPP is also cost effective and easy to incorporate into manufacturing processes. We are currently seeking partners to commercialize our novel CPP ingredient in functional food and beverage applications, nutritional supplements and nutraceutical products which target a diet-based approach to delay or prevent carbohydrate-related metabolic and cardiovascular diseases, including obesity, pre-diabetes, diabetes, metabolic syndrome, hyperlipidemia and hypercholesterolemia.

CPP is an ideal fiber source for use in human, household pet or livestock diets.

Summary:

- Carrot pomace is the dry "waste" material left after carrots have been juiced
- Excellent new fiber substitute for use in functional foods, beverages and health supplements
- Novel dietary ingredient for reducing blood glucose, cholesterol and triglyceride levels
- Additional wellness benefits include supporting a healthy gastrointestinal microflora profile
- Phase I human study currently underway tracking blood glucose & GI responses over 4 weeks

Advantages:

- Higher balanced fiber source over other options (>50% by weight soluble and insoluble fibers)
- Superior blood glucose control and lower incidence of diabetes when tested against several other leading dietary fibers in animal models, including cellulose, resistant starch and inulin
- Promotes a protective gut flora profile relative to controls for developing Type 2 Diabetes
- All natural and sustainable fiber source
- An abundant and cost-effective ingredient with easy and scalable manufacturing





Edible Phytosterol-Containing Fat Blends for Promoting Heart Health

SEEKING

Exclusive partners by field-of-use

PATENT TITLE

Prepared Foods Containing Triglyceride-Recrystalized Non-Esterified Phytosterolsl

INVENTORS

Daniel Perlman, Kenneth C. Hayes and Andrzej Pronczuk

PATENT STATUS

Issued:

United States Patent Nos.

- 6,638,547
- 7,144,595
- 7.575.768
- 7,709,038
- 8.187,657

PCT/US2002/36809 issued as:

• EP1453386 in France, Germany & United Kingdom

PCT/US2006/034776 issued as :

- EP1931212 in France,
- Germany & United Kingdom2,621,465 in Canada
- 2006287524 in Australia

LICENSING STATUS US and European rights available

BRANDEIS REF.

Case 2000-1102

CONTACT

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Cholesterol-reducing phytosterol-containing fat blends in foods

Background:

Plant phytosterols (i.e. plant sterols and stanols, including beta-sitosterol, beta-sitostanol, campesterol, campestanol, stigmasterol, stigmastanol, brassicasterol, brassicastanol, clionasterol and clionastanol) have been shown to lower serum LDL cholesterol levels in subjects by inhibiting absorption of cholesterol in the small intestine. Appreciable benefits from their incorporation into processed foods was thought to only occur by dissolving the phytosterols in an edible oil or other permissible solvent or emulsifier.



Our opportunity provides a more bio-effective form of phytosterols for use in fortifying fat-containing prepared foods without the need for microcrystalline powdered forms or exogenous solubilizers, emulsifiers or other dispersant additives. We have found heat-solubilizing non-esterified phytosterols in fat or oil, followed by cooling, results in the recrystallization of a binary complex of triglycerides and phytosterols. In addition to having higher bioavailability, these compositions decrease the oxidation of polyunsaturatedcontaining fats used in prepared foods.

Our phytosterol-fat blends are palatable ingredients for use in processed food products marketed to promote health and wellness by reducing one's risk of cardiovascular disease. Potential product uses include cooking oils, margarines, shortenings, spreads (peanut butter or other seed, kernel and nut butters), condiments (salad dressings, mayonnaises, barbecue sauces), baked goods, dairy (cheese and other fat-containing products), fried snacks (French fries; potato chips; corn chips), and dietary supplements.

Summary:

• A blended dietary fat or food product that lowers LDL cholesterol levels in blood serum and liver which contains at least one edible fat and 3%-50% by weight natural (non-ester) phytosterols

- Increases bioavailability of phytosterols in vivo and thus reduces dosing requirements
- LDL cholesterol lowering effects were shown in 3 animal studies and 2 human clinical studies
- Products can be in the form of: Dietary supplements (capsule, pill or wafer forms); Nutraceutical fat ingredients in prepared foods; Spreads, butters and creams; Cooking oils and shortenings
- Blended products are fluid at 35° C while forming solid or semi-solid products at 20° C

Advantages:

- Natural (non-esterified) phytosterol-containing fat blends that are free of exogenous emulsifiers
- Simplified processing, lower caloric content and more cost-effective food manufacturing (i.e. avoids use of chemically modified, microcrystalline and/or more perishable formulations)
- Stabilizes fats and oils from oxidation / rancidity during cooking and storage for longer shelf life

Scientific Publications:

Hayes *et al.* (2002) "Free phytosterols effectively reduce plasma and liver cholesterol in gerbils fed cholesterol." J. Nutrition 132:1983-1988.

Hayes *et al.* (2004) "Nonesterified phytosterols dissolved and recrystallized in oil reduce plasma cholesterol in gerbils and humans." J. Nutrition 134:1395-1399.

Hayes et al. (2005) "Free phytosterols facilitate excretion of endogenous cholesterol in gerbils." J. Nutr Biochemistry 16:305-311.

Kunces *et al.* (2013) "Triglyceride recrystallized phytosterols in fat-free milk improve lipoprotein profiles more than unmodified free phytosterols in hypercholesterolemic men and women." J Amer College Nutr 32:234-242.



Phenolics in Palm Fruit Juice for Alleviating Diabetic Symptoms

SEEKING

Exclusive commercialization partners in food, beverage, pharmaceutical and nutraceutical sectors

PATENT TITLE

Methods for the treatment or prevention of Diabetes Mellitus and other metabolic imbalances

INVENTOR

Kenneth Hayes, Kalyana Sundram, Ravigadevi Sambanthamurthi, and Yew Ai Tan

PATENT STATUS

Issued:

United States Patent No. 8,071,143

EP Patent No. 2299364 in Liechtenstein, Spain, Germany, Switzerland, Denmark, United Kingdom, Italy, France and Poland

LICENSING STATUS

All rights available in United States and Europe only

BRANDEIS REFERENCE

Case 2006-0802

CONTACT Rajnish Kaushik, PhD, MBA

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Novel dietary solution to correct blood glucose and lipid metabolism

Background:

Numerous studies have suggested regular consumption of plantderived bioactive phytochemicals may be able to delay or prevent the onset of serious cardiovascular and metabolic diseases through their anti-inflammatory and antioxidant effects. Palm fruit juice (PFJ), the watersoluble by-product after oil extraction from the fruit during the milling process, is surprisingly a natural source rich in phytochemicals, in particular bioactive phenolic compounds ("oil palm phenolics" or OPP).



Our invention is the use of OPP from PFJ as prophylactic or therapeutic dietary supplements in humans and animals. We have discovered OPP possess anti-hyperglycemic and antihyperlipemic properties when provided in the regular diets of animals genetically-prone to developing type II diabetes.¹ Following 12-weeks of consumption, diets supplemented with PFJ lowered fasting blood glucose levels ~5.4 fold in older diabetic animals (i.e. 650 mg/dL vs. 120 mg/dL) and resulted in overall levels near those of their non-diabetic controls fed either water or PFJ (i.e. <100 mg/dL). Similarly, these diabetic animals had severely elevated triglycerides, high VLDL levels and low HDL levels while those in the diabetic group given PFJ had plasma lipid profiles essentially normal and nearly identical to their non-diabetic controls. At necropsy, additional differences in organs, such as increased kidney size, increased liver size and the wasting of fat deposits associated with advanced diabetes, were observed in the diabetic animals given water. However, these changes were not observed in the diabetic group fed PFJ which had organs and fat deposits similar to those in the non-diabetic control group. Regular long term consumption of PFJ over a 9-month period also prevented the onset of diabetes and had no detrimental effects when fed to healthy young animals with normal blood glucose levels. This is not surprising as extracts from boiled palm fruit in the Elaeis genus have long been regularly consumed by African populations, resulting in an OPP intake on the order of \sim 300 mg/d, predominantly added as an ingredient for soups and stews.²

We are seeking partners to commercialize this technology with marketing claims including methods for treating diabetes mellitus (type 1, type 1.5 and type 2), gestational diabetes, genetic defects of β -cell function or insulin action, pre-diabetes and metabolic syndrome.

Summary:

- PFJ is a cost-effective source of dietary phenolics including cinnamate and benzoate derivatives
- Proven effective in reducing blood glucose and lipid levels when tested in the Nile rat model
- Reduces glucose absorption, improves insulin sensitivity and enhances insulin secretion in vivo
- Shows no ill effects in animals and tested in a Phase I clinical trial by Malaysian Palm Oil Board
- Darker brown in color with a sweet yet slightly bitter taste easily masked by sweetening agent
- Easily incorporated as a functional ingredient into foods for in humans, pets and farm animals

Advantages:

- Green technology generated as a by-product of palm oil production the world's No. 1 edible oil³
- Abundant, low cost sourcing by concentrating OPP from water waste created during oil milling
- Versatile nutraceutical / pharmaceutical delivery options in pill, powder, gel or liquid formulations

References:

1. Bolsinger, Julia et al. Anti-diabetic effects of PFJ in the Nile rat (Arvicanthis Niloticus). J Nutri Sci; 2014; 3:e5. doi:10.17/jns.2014.3.

2. Nti CA. Household dietary practices and family nutritional status in rural Ghana. Nutr Res Prac; 2008; 2, 35-40

3. https://www.statista.com/statistics/263933/production-of-vegetable-oils-worldwide-since-2000



Edible Fat Blends for Lowering LDL Cholesterol Levels in Blood

SEEKING

Exclusive licensing partner for European commercialization

PATENT TITLE

Balanced Myristate- and Lauratecontaining Edible Oils

INVENTORS

Daniel Perlman Kenneth C. Hayes

PATENT STATUS

Issued: PCT/US2011/026203 issued under EP2538794 in France, Germany & United Kingdom

LICENSING STATUS

Rights available in France, Germany & United Kingdom

BRANDEIS REF.

Case 1017

CONTACT

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Novel fat blends for use in food products to promote heart health

Background:

Dietary fats are important for maintaining good health. Clinical studies have established a link between the types of fatty acids consumed in diets with the levels of high density lipoprotein (HDL) and low density lipoprotein (LDL) cholesterols found in blood. HDL is considered to be the "good" cholesterol while LDL is often referred to as the "bad" cholesterol where higher levels of total serum cholesterol and LDL are associated with higher incidence of cardiovascular diseases (e.g. heart attacks or strokes). Most experts now agree ingestion of saturated fatty acids (SFAs) (e.g. myristic, lauric, palmitic and stearic fatty acids) raise LDL and total cholesterol levels in blood while ingestion of polyunsaturated fatty acids (PUFAs) (e.g. linoleic acid) lowers those levels. Monounsaturated fatty acids (MUFAs) are more neutral in their effects.

The current opportunity available for licensing is the use of a balanced blend of dietary SFAs (i.e. myristic acid and/or lauric acid; formed either naturally or by enzymatic and chemical interesterification) combined with linoleic acid (C18:2) at specific concentrations in commercial food preparations. Since our bodies cannot produce linoleic acid, this essential PUFA must be provided in healthy diets or else some vital functions could be compromised (blood clotting, wound healing and inflammation). Furthermore, our balanced blended fat mixtures containing linoleic acid at 10-35% promote healthy blood lipoprotein profiles via lowering LDL cholesterol, raising HDL cholesterol and decreasing triglyceride levels.

The edible blended fat compositions covered by our patent claims are palatable ingredients for use in human, domestic pet and livestock food products and can be marketed to promote optimal health and reduce risks of cardiovascular disease when consumed consistently over a period of weeks. Potential commercial uses in food product manufacturing including cooking oils, spreads, margarines, shortenings, condiments (salad dressings, mayonnaises, barbecue sauces), baked goods (bread; tortilla; pastry; cake; cookie; bars) and dairy products (milk; yogurt; cheese).

Summary:

- Invention is a blended dietary fat product containing:
 - 10 to 35% by weight linoleic acid (C18:2) 0
 - 15 to 55% by weight SFAs and 0
 - At least 10% by weight MUFAs 0

• Modulates the harmful effects of SFAs found in hard fats having a Mettler drop point higher than palm kernel oil (shea butter; soy bean oil; palm midfraction; palm oil; palm stearin; natural highstearic fat; fully-hydrogenated vegetable oil)

• Currently commercialized in the United States and Canada in margarine and nut spreads

Advantages:

- Formulation versatility allows for products to be commercialized in solid or liquid formulations
- Consistent consumption over time reduces one's risk of developing coronary heart disease by: 0 Increasing HDL levels
 - Decreasing LDL level 0
 - Lowering total serum cholesterol
 - 0 Improving fasting glucose levels 0
 - Decreasing serum triglyceride levels 0

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Coffee Bean Flour Preserves Antioxidants and Caffeine



SEEKING

Licensing manufacturing and/or distribution partners for commercialization

INVENTOR

Daniel Perlman

PATENT STATUS

<u>U.S. Patent 9,210,948</u>: "Par-baked and Milled Coffee Beans for Use in Foods, Beverages and Dietary Supplements"

<u>U.S. Patent 9,936,717</u>: "Method of Preparing Par-baked and Milled Coffee Beans for Use in Foods, Beverages and Dietary Supplements"

<u>U.S. Patent 10,278,405</u>: "Par-Baked Coffee Bean Compositions for Use in Antioxidant-containing Products"

<u>Allowed</u>: Europe National Application No. 14825863.5

Pending: PCT/US2014/045676 in Canada and Mexico

LICENSING STATUS

Worldwide rights available

BRANDEIS REF. Case 1134

CONTACT

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JavaPower® - Par-baked for Maximizing the Benefits of Green Coffee

Background:

Green coffee extract from unroasted coffee beans has attracted worldwide attention as a dietary supplement. Chlorogenic acid (CGA) antioxidants found in green coffee beans are clinically proven to beneficially modulate sugar metabolism and insulin response as well as may also lower risks for cardiovascular disease, cancer and certain neurodegenerative conditions.¹⁻³ However, green coffee beans have an unpleasant flavor profile and are difficult to mill which necessitates packaging of green coffee extract in capsules. While roasting improves the flavor, aroma and color of the beans as used for coffee beverages, this process unfortunately degrades CGA.

The current invention relates to a new method for partially baking ("par-baking") green coffee beans and milling them into a fine flour that not only preserves the CGA and other nutrient levels but also retains the caffeine. The ingredient has a low cost of production with multiple commercial uses including food, health/wellness, personal care and dermatological products. The flour is palatable as an ingredient in healthy foods and beverages including coffee, tea, ice cream, smoothies, energy snacks, breakfast bars, baked desserts, breads, cereals and other edible goods. It can be used as a coffee alternative where ~4 grams flour provides the same caffeine content as a cup of coffee. The milled par-baked coffee bean powder can be packaged as tablets or capsules at varying doses. Alternatively, the coffee bean flour may be combined with skinceuticals or cosmetics for its beneficial antioxidant effects on skin.



Summary:

- Green coffee beans are partially baked at temperatures significantly lower than traditional roasting
- Up to 4X more CGA in par-baked vs. regularly roasted beans (~8.6-11% w/w CGA by chemical & UV analyses)
- Milled beans have unexpected characteristics nutty flavor, golden tan color and limited moisture content
- Par-baking renders the tough green beans easier to mill, allowing for production of flours having any desired particle size
- Controlled residual moisture enables rapid flour dispersal and release of CGA into aqueous foods
- Ideal for adding to foods without any gritty mouth feel
- •Powder can be manufactured from organic and/or decaffeinated beans using various coffee species

Advantages:

- Formulation versatility allows for use in foods, beverages, gels, pills, capsules and personal care products
- Improved flavor & aroma when compared to green coffee beans with low moisture content to prolong shelf life
- · Easily flavor-modified with sugar, high intensity sweeteners, flavor extracts, and other ingredients
- CGA beneficially modulates sugar uptake, insulin response, and other biological indices
- Unlike roasted coffee, the light color of milled par-baked coffee minimally alters food product appearance
- Benefits of par-baked bean flour over coffee cherry flour:
 - o Generally Recognized As Safe (GRAS)
 - High CGA antioxidant and caffeine content
 - Higher caffeine content (= traditional roasted beans)
 - Minimally changes the color and flavor of products



BASED ON 100 GRAMS

| Chlorogenic Acid (Caffeoylquinic Acid) Isomers in Robusta Coffee Beans (%, w/w) ¹ | | | |
|--|-----------------------------|-------------------------------------|--------------------------------------|
| Analyte | Green Beans ² | Par- Baked Beans ³ | Light Roast Beans ⁴ |
| iso-1-Chlorogenic Acid | 0.487 | 0.524 | 0.049 |
| iso-2-Chlorogenic Acid | 0.572 | 0.450 | 0.035 |
| iso-3-Chlorogenic Acid | 0.576 | 0.716 | 0.059 |
| krypto-Chlorogenic Acid | 0.651 | 0.980 | 0.382 |
| neo-Chlorogenic Acid | 0.481 | 0.733 | 0.300 |
| n- Chlorogenic Acid | 4.63 | 4.50 | 0.648 |
| Total Chlorogenic Acid | 7.397 | 7.903 | 1.473 |

¹ Analytical results by chemical analysis

² Moisture content 11%, w/w

³ Moisture content 4%, w/w

⁴ Moisture content <1%, w/w

| Amino Acid Profile (ppm) | |
|--------------------------|------|
| Alanine | 542 |
| Aspartic Acid | 1150 |
| Glutamic Acid | 2240 |
| Glycine | 711 |
| Histidine | 252 |
| Isoleucine | 468 |
| Leucine | 971 |
| Lysine | 519 |
| Methionine | 140 |
| Phenylalaninie | 638 |
| Proline | 606 |
| Serine | 539 |
| Threonine | 407 |
| Tyrosine | 383 |
| Valine | 622 |

QUALITY CONTROL: This product is manufactured in accordance with a GMP and HACCP based Quality Assurance Program

CERTIFICATION:

KVH Kosher and Pareve.

| PROXIMATE DATA: | (per 100g) |
|-----------------|------------|
| Total Calories | |

Nutritional Data Sheet - JavaPower®

DESCRIPTION: Coffee Bean Flour (CBF) made from partially-baked (par-baked) beans can be used as an ingredient in food, beverage, energy, sports performance, dietary supplement, cosmetics, skinceutical and face/body/hair personal care products. The flour can be milled to different particle sizes and reconstitutes rapidly in all products. The particles are rounded in shape, mustard yellow in color and have a nut-like aroma, texture similar to fine flours and antioxidant levels equivalent to green coffee beans.

| Calories from fat | 41 |
|----------------------------|-------|
| Calories from carbohydrate | 258 |
| Calories from protein | . 85 |
| | |
| % Moisture, max | . 4.6 |
| % Protein, as is | 21.3 |
| % Fat, max | . 4.5 |
| % Carbohydrates | 64.6 |
| % Ash | . 5.0 |
| % Fat by GC | . 4.5 |
| % Caffeine | |

MICROBIOLOGICAL DATA:

| Standard Plate Count, max | 20,000/g |
|---------------------------|----------|
| Coliform | < 10/g |
| E. Coli | <10/g |
| Staphylococcus | < 10/g |
| Salmonella | Negative |
| Yeast | 340/g |
| Mold | 10/g |

PREPARATION INSTRUCTIONS:

Add CBF to hot water with stirring until a desired paste consistency is reached:

| | Parts by Weight: |
|----------------|------------------|
| Thick Paste | 1CBF: 1.5 water |
| Flowable Paste | 1CBF: 2 water |

Bakery and Extrusion Applications:

Replace a portion of flour in recipes to approx. 1:1 with CBF using a 5% - 10% dry weight basis substitution

Levels of Caffeine & Antioxidants:

A 50g serving of food containing 2.5g CBF provides approximately 60mg caffeine and 200mg CGA antioxidants comparable to ½ cup of brewed coffee

| TYPICAL ANALYSIS: | (per 100g) |
|------------------------|------------|
| Total Fat (g) | 4.5 |
| Cholesterol (mg) | <1.0 |
| Total Carbohydrate (g) | 64.6 |

| Dietary Fiber, insoluble (g) | 61.0 |
|------------------------------|--------|
| Total Sugar (g) | 3.6 |
| Sucrose (g) | 3.6 |
| Lactose (g) | < 0.1 |
| Protein (g) | 23.0 |
| Sodium (mg) | 2.7 |
| Calcium (mg) | 103.0 |
| Iron (mg) | 24.7 |
| Potassium (mg) | 1.7 |
| Magnesium (mg) | 0.16 |
| Zinc | Trace |
| Copper | Trace |
| Fatty Acid Profile | (100%) |
| Saturated Fat | 40.5% |
| Monosaturated Fat | 15.2% |
| Polyunsaturated Fat | 44.4% |

Ingredients: Par-baked coffee bean flour

0.01%

Trans Fat

<u>Storage and Shelf Life:</u> Stable for up to two years at ambient temperature

Order Minimums and special orders: Contact New England Coffee associate for packaging sizes, other bean species and organic sourcing

| Coffee Bean Flour versus Coffee Cherry Flour | | |
|--|------------|--------------|
| (per 10g) | | |
| | Bean Flour | Cherry Flour |
| Total Calories | 38 | 35 |
| Fat | 4 Cal | 0 Cal |
| Carbohydrate | 26 Cal | 28 Cal |
| Protein | 9 Cal | 4 Cal |
| | | |
| Total Carbs | 6.5g | 7.0g |
| Dietary Fiber | 6g | 6g |
| Protein | 2g | 1g |
| Fat | 0.5g | 0g |
| | | |
| Caffeine | 240mg | |
| CGA antioxidants | 790mg | |

The information contained herein is correct to the best of our knowledge. The recommendations contained in this bulletin are made without guarantee or representation as to results. We suggest that you evaluate these recommendations and suggestions in your own laboratory prior to use. Our responsibility for claims arising from breach of warranty, negligence, or otherwise, is limited to the purchase price of the material. Samples for evaluation are available from New England Coffee. 100 Charles Street, Malden. MA. 02148 Toll Free: 1-800-225-3537 https://www.newenglandcoffee.com/javapower-coffee-bean-flour/



Novel Phytosterol-Glycerine Microparticles for Promoting Heart Health

SEEKING Exclusive partners by field-of-use

PATENT TITLE

Liquid Crystalline Phytosterol-Glycerine Complex for Enhanced Bioavailability and Water Dispersal

INVENTOR

Daniel Perlman

PATENT STATUS

Issued: United States Patent Nos.

- 8,460,738
- 8,921,351

LICENSING STATUS

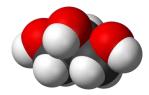
All commercialization rights are currently available

BRANDEIS REF. Case 1138

CONTACT

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Cholesterol-reducing ingredient for food and beverage manufacturing



Background:

Plant phytosterols are natural water-insoluble molecules commercially isolated from vegetable and tree oils. Phytosterols (i.e. both sterols and stanols) include beta-sitosterol, beta-sitostanol, campesterol, campestanol, stigmasterol, stigmastanol, brassicasterol, brassicastanol, clionasterol and clionastanol). They have been shown to lower plasma LDL and total cholesterol levels following ingestion by inhibiting the absorption of dietary cholesterol in the small intestine. Phytosterols are normally isolated as water-insoluble hydrophobic crystals with poor dispersibility in foods and beverages. As a result, obtaining any appreciable health benefit from their incorporation into beverages and processed foods typically requires either dissolving the phytosterols in edible oils or combining them with substantial amounts of emulsifiers for dispersal. On a molecular scale, the substantial size of crystalline phytosterol particles limits their effectiveness at binding cholesterol in the GI tract and decreases their ability to maintain stable suspensions in beverages.

Our technology available for licensing provides a novel binary phytosterol complex produced by combining and heating natural (non-esterified) phytosterols with glycerine, an edible hydrophilic liquid, to produce micron-sized particles having a unique liquid-crystalline structure. This process alters the substantially inert crystalline phytosterol particles to form a new molecular complex of phytosterol and glycerine. The glycerine does not act as an emulsifier or solvent but rather as a spacer between phytosterol molecules that inhibits normal crystallization. The novel liquid-crystalline complex is more readily water-dispersible and more easily incorporated into beverages, foods and dietary supplements without the need for adding calorie-contributing edible fats or an aggressive dispersing agent.

The novel phytosterol-glycerine composition can be used as an ingredient to promote cardiovascular health in processed food and beverage products for humans, domestic pets and livestock. Potential commercial uses in processed foods include health and wellness beverages (smoothies, shakes, nutraceuticals), dairy products (milks, yogurt, cheese, sour cream), and condiments (salad dressings, mayonnaises, sauces, catsup, mustard, relishes, soups, pasta sauces, pizza sauces and dessert sauces).

Summary:

• An edible liquid-crystalline phytosterol-glycerine complex for use in beverages and processed foods containing: A glycerine and a phytosterol and/or phytostanol

• Increases dispersibility of phytosterols improving bioavailability and reduces dose requirements

• An LDL cholesterol-lowering and fat-free food additive with *in vivo* efficacy results obtained using soft chew delivery formulations in one animal study and two small human clinical studies

• Can be optionally combined with an emulsifier such as a monoglyceride or a modified lecithin during heating to form highly dispersible complexes in aqueous solutions

Advantages:

• A fat-free complex of natural (non-esterified) phytosterols and natural glycerine

• Simplified and more cost-effective ingredients for processed foods (i.e. avoiding use of more perishable esterified formulations or fine phytosterol powders)

 Formulation versatility for delivering phytosterols into products including liquids, pastes, granules or powders

• Regular consumption reduces risk of developing heart disease by lowering plasma LDL cholesterol levels



Optimized Coffee Particle Grind Sizes for Single-Serve Pods

SEEKING

Exclusive commercialization partner

PATENT TITLE

Truncated Gaussian Distribution of Coffee Particles, Cartridge Assemblies, and Uses Thereof

INVENTOR Daniel Perlman

PATENT STATUS <u>Issued:</u> US 9.155.319

LICENSING STATUS All rights are currently available

BRANDEIS REF. Case 1169

CONTACT Rebecca Menapace

Executive Director of the Office of Technology Licensing Brandeis University 415 South Street Waltham, MA 02453 781-736-2176 menapace@brandeis.edu

Enhanced extraction efficiency requires 20% less coffee for same taste

Background:

Single serving disposable coffee filter cartridges and their automatic brewing machines have become a popular, efficient and convenient way of brewing coffee beverages on-demand. However, the overall process of brewing in 25-50 seconds combined with low pressure heated water is challenging and best results are obtained by carefully balancing coffee particle grind sizes and rates of water flow through the pod to optimize the extraction of flavor, caffeine, color, anti-oxidants and other nutrients from the beans. If the particle sizes are too small in pods used for current brewing machines, they clog the filter and prevent or slow brewing. If the particle sizes are too large, extraction is inefficient during brewing and more coffee must be used in each individual pod in order to obtain similar amounts of caffeine, nutrients, aroma and taste.



Our invention provides a simple solution to increase the amount of natural components extracted from a given amount of coffee in a single serving filter cartridge. We have found the optimal weighted average particle size (WAPS) for large scale grinds packaged into the pods averages ~450 microns. The brewing efficiency can be further improved by "truncating" the Gaussian particle size distribution to remove particles larger than 500-600 microns using commercial high-throughput sieve-shakers (e.g. US Standard No. 30 or 35 opening) or air classifying. This additional step lowers the WAPS to ~350 microns.

The additional sieving process removes 30-35% of the larger coffee particles by weight from the grind. However, we have found that these largest particles actually release only half of their potential extractable components during the short single serve brewing process when compared to the smaller-sized sieved particles. In order to maximize cost of goods (COGs) and manufacturing investments, all larger particles can be re-directed into other ground coffee products used in drip and percolator brewing machines. Our proprietary process provides a cost-savings of 15-20% per unit through decreased raw ingredient requirements.

Summary:

• Coffee particles >500 micons are less efficient for extracting soluble components in 25-50 sec. brews with smaller particles extracting 15-40% more efficiently using low pressure heated water

- Most commercial coffee pods tested have 1/3 to 2/3 by weight of particle sizes >500 microns
- Our invention optimizes the weighted average particle sizes in single serve pods (~450 microns)

• Extraction efficiency is further optimized by removal of particles >500-600 microns by adding a sieving step during manufacturing process prior to packaging the coffee into filter cartridges

Advantages:

• Low cost solution for maximizing coffee bean sales by decreasing cost of goods and increasing gross margins (i.e. uses less coffee in each cup for same brewed taste)

- Alternatively increases brew strength per unit weight as compared to non-size-selected grinds
- Simple manufacturing modification that increases optimal particle size w/w yield by 20%
- Larger particles can be removed using small footprint, high capacity commercial shaker-sieves



Novel Glass Wine Bottle Having a Dripless Lip Design

SEEKING

Exclusive licensing partner for worldwide commercialization

PATENT TITLE

"Glass Wine Bottle"

INVENTOR Daniel Perlman

PATENT STATUS

Pending U.S. Design Patent Application No. 29/649,503

LICENSING STATUS

U.S. rights available

BRANDEIS REF. Case 1170

CONTACT Rong Zhou, Ph.D.

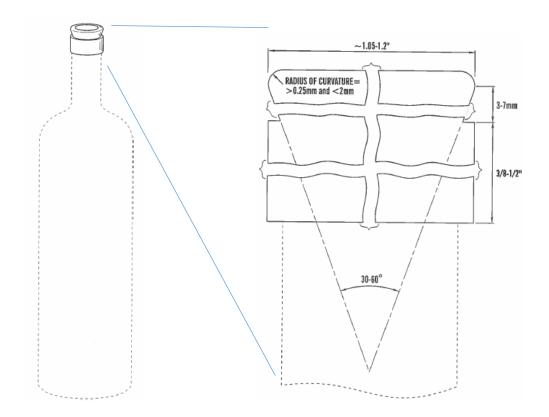
Licensing Associate Office of Technology Licensing Brandeis University 415 South Street Waltham, MA 02453 +1 781-736-8753 zhourong@brandeis.edu

Conically-tapered design for bottle tops closed with corks and stoppers

Background:

Our invention is the modification of the upper neck portion of glass wine bottles to include a conically-tapered region of 3-7 millimeters positioned below the upper lip edge but above the neck collar. Inclusion of this conical taper significantly decreases the formation of droplets and allows for drip-free pouring without the use of additional extraneous devices.

The outer edge of the lip on our wine bottle designs can be sized larger than or equal to the outer circumference of the neck collar. The conical angle formed by the conically-tapered lip region can vary from 30° to 60° and the size of the neck collars is typically 3/8" to 1/2".



Summary:

- A conical taper added below the lip region prevents liquid from dripping down the sides of bottles
- Though enabled for wine, the design works on all glass bottles topped with corks and stoppers

Advantages:

• Allows for drip-free pouring without the use of extraneous drip-guards or need for edge-wiping



Beneficially Stabilized Probiotics in Fat-Containing Spreads

SEEKING

Exclusive functional food commercialization partners for product licensing by field of use

PATENT TITLE

Probiotic Anhydrous Fatty Foodstuffs and Methods of Making Same

INVENTOR Daniel Perlman

PATENT STATUS Issued: US 10,532,076

LICENSING STATUS

Worldwide rights available

BRANDEIS REF. Case 1176

CONTACT

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Method of stabilizing probiotic bacteria in nut, seed & bean butters







Background:

Probiotics have become a major factor in the choice of foods by health-conscious consumers and provide a number of benefits, including limiting the growth of pathogenic gut bacteria, reducing bloating, controlling symptoms from lactose intolerance, improving bowel regularity and enhancing nutrient absorption. Global demand in the probiotic food market sector is expected to grow at a 7.4% CAGR and exceed \$55 billion in annual revenues by 2024 due to increased awareness, expanded access, and consumer preferences for natural products. However, a major impediment to growth for the industry is the limited shelf life due to the viability of health-promoting bacteria in most food products.

Our technology enables cheaper and faster manufacturing of low water activity fatcontaining probiotic spreads and butters made from nuts, seeds and beans, including products made from peanuts, hazelnuts, almonds, chia seeds, soybeans and sesame seeds. Typically, a slurry is made from freeze-dried probiotic bacteria and an edible oil. The slurry is subsequently blended into a warm nut/seed/bean butter around the time of addition of a structuring fat and before packaging. In addition to significantly improving the long-term survival of microorganisms over a 12-month period (1-3 logs higher survival) and extending shelf life, our technology ensures uniform physical distribution of the probiotic particles throughout the product. Probiotic stability has been demonstrated during room temperature storage so that product shipping, storage and sale do not require refrigeration. Eliminating cold storage in the value chain is important for both consumer and sales convenience and economy (e.g. difficulty spreading cold butters; shelving costs for retailers; transportation for manufacturers). Long term viability of probiotic bacteria was tested over a 12-month period measuring the number of colony forming units (CFU) from the bacterial genera Lactobacilli and Bifidobacteria stored at 4°C and 20°C in multiple low water activity fat-based foods including peanut butter, anhydrous butterfat and palm fat.

Summary:

• Novel manufacturing methods for healthy butters and spreads made from blends of anhydrous probiotic bacteria and low water activity fat-containing foods made from nuts, seeds or beans

- Butters and spreads containing a structuring fat are combined with anhydrous probiotic bacterial slurries in vegetable oil prior to crystallization of the structuring fat
- Provide long-term uniform distribution of probiotic particles throughout the product
- 75-100% bacterial survival for products stored for 12-month at room temperature (20°C)

• Total increased CoGs estimated to be approximately \$0.02 for a 16 oz. jar of peanut butter containing 14 servings with each serving providing approximately 10⁹ CFU

Advantages:

- · Stable low water activity fat environment maintains probiotics in a dormant state
- Methods eliminate cold storage requirements for maintaining long-term bacterial viability
- Bacteria are uniformly suspended in the product to provide consistent delivery per serving



Novel Flow Guide and Air Channel Design Enables Drip-free Pouring

SEEKING

Partners for exclusive licensing by commercial field of use

PATENT TITLE

Drip-free glass bottles having a circumferential channel and methods of making and using such bottles

INVENTOR

Daniel Perlman

PATENT STATUS

<u>Issued:</u> USSN 10,239,672 <u>Pending</u>: PCT No. PCT/US2017/033012, EU Application No. 17800056.8, and US Application No. 16/272,765

LICENSING STATUS

US and EU rights available

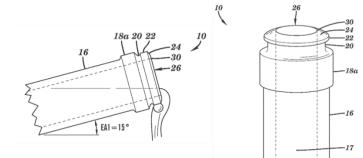
BRANDEIS REFERENCE Case 1300

Case 1300

CONTACT

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A drip-free glass bottle design for wines and other precious liquids



Background:

Connoisseurs and restauranteurs have long been annoyed by wine dripping down the sides of glass wine bottles. They have addressed that problem by adding anti-drip spouts or cloth wraps in an effort to avoid staining tablecloths and tabletops. By studying the flow of wine from bottles using slow motion video, Dr. Perlman has identified and finally solved this dripping problem by modifying a small exterior portion of the conventional wine bottle's neck.

Our licensing opportunity exploits an innovative neck design that effectively solves the problem of wine dripping without compromising the strength or internal architecture of conventional commercial glass bottles. The design introduces a novel 1-2mm wide liquid flow guide below the bottle's lip edge that effectively holds onto the last wine droplet as the pouring stream ends. The guide is positioned to retain that droplet directly above an abutting ~2mm wide by ~1mm deep circumferential channel, i.e. groove, that blocks the wine droplet from running down the side of the bottle. The dimensions of the flow guide and air channel in combination with the shape and smoothness of the pouring lip are critical for functionality.

Although we describe the improved architecture for wine bottles, our technology can also be applied to other glass storage bottles for which drip-free pouring of valuable liquids is desirable. Other manufactured glass bottles that could benefit from our invention include those used for fine scotches or whiskeys; balsamic vinegars; olive oils; liquid pharmaceuticals; strong acids/bases; and other caustic liquids.

Summary:

• Novel bottle architecture exploits a balance of capillary adhesion against capillary flow of a liquid droplet under the force of gravity as a liquid flows over the upper lip edge and flow guide, and the droplet encounters a circumferential channel that blocks downward movement of the droplet

 Video of pouring from drip-free bottles: http://www.brandeis.edu/now/2017/march/wine-bottle-perlman.html

- Named as one of "The 17 Most Amazing Science Moments in 2017" by CTVNews.ca
- Prototype glass wine bottles have been commercially manufactured

Advantages:

- Functional drip-free design is independent of:
 - Angle of pour;
 - Size and fullness of bottle;
 - o Alcohol content of liquid
- Eliminates the need to use any exogenous anti-dripping devices
- Can be used in bottles topped with screw thread caps or corks



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Applications of data science to multiple industries and needs.





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Predictive Model for Food Safety

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Method for Microbiological Quasi-Chemical Kinetics Growth-Death Modeling in Food

INVENTORS Christopher Doona

PATENT STATUS Issued: 10,437,909

LICENSING STATUS US rights available

BRANDEIS REF. Case 1239

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Method for Modeling Growth-Death Kinetics in Food

Background:

This invention relates to the field of predictive modeling to ensure the microbiological safety and extended shelf life of foods; additionally, to a quasi-chemical mathematical modeling method which is used as a tool for predictive microbiology evaluation of microorganism population dynamics based on an understanding of chemical reaction pathways that are intrinsic to these organisms in support of the safe design of food product formulation and food processing conditions.

To predict the ideal conditions for modeling, we looked into how bacteria populations grow and die in response to the factors influencing a food products. Intrinsic factors of the food include physical properties such as pH, water activity, salinity, and the presence of anti-microbial constituents. Extrinsic factors are also considered, which refer to properties of the external environment, such as storage temperature, relative humidity, ambient pressure, and applied processing conditions, all of which influence microbial survivability.

Current prediction models for analyzing bacterial population dynamics turned to equations developed previously from theories of treating human and animal population dynamics in their effort to model microorganism growth curves and death curves. These models were characterized by parameters such as per capita birth rate, sustainable population, which are not useful for describing the growth and death of unicellular microorganisms. Missing from the current prediction models are criteria that evaluate in a manner that reflects the underlying biochemical and biological bases of these changes.

This technology addresses the biochemical reasons underlying changes in microbial population dynamics. The Quasi-chemical model is a mechanistic based mathematical model that applies appropriate sequences of chemical reactions or biochemical processes to more accurately and meaningfully represent the molecular mechanisms of bacterial anabolism, catabolism, cellular signaling (i.e. quorum sensing) and lethality that result in growth-death behavior and offers several technological advantages over anthropomorphic models invokes by early investigators or other empirical models currently in use. In predictive microbiology, predicative models provide food technologists and non-mathematical experts with convenient food safety tools to determine the survivability of microorganisms in response to food formulations designed to control growth or in response to process conditions intended to limit or destroy pathogenic bacterial populations wherever they may originate. The data characteristic of bacterial population dynamics are collected, characterized, and referred to in terms of quantitative parameters using mathematical models or equations.

This information can be used to predict how or whether the microbial population will evolve in time, and will be particularly beneficial for food shelf life safety analysis.

Advantages:

- Determines the microbial population changes based on biochemical factors.
- Predicts the shelf life of foods and/or the microbial growth cycles on surfaces or foods.
- Can be used to predict how or whether a particular microbial population will evolve in time.



Integrate Multiple Hypothesis Tests to Control False Discovery Rate

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

Integrate Multiple Hypothesis Tests to Control False Discovery Rate

INVENTORS Pengyu Hong, Yuanzhe Bei

PATENT STATUS Pending:

PCT/US2015/055959 United States under 15/518,403

LICENSING STATUS

BRANDEIS REF. Case 1159

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Optimization algorithm to formulate FDR control with high-dimensional data

False discovery rate (FDR) control is essential for identifying significant features in analyzing high dimensional datasets (e.g., genome-wide datasets). Conventional FDR controlling methods use single type of statistical hypothesis test in each analysis. Each type of statistical test has its own advantages in detecting different aspects of differential information. To fully utilize the advantages of different statistical tests, this invention discloses a new statistics *Composite-Index* that combines multiple statistical tests and formulates FDR control as a machine learning problem. This invention also develops an algorithm *Composite-Cut*, which implements a special case of the above concept.

Composite-Cut integrates multiple base statistics into Composite-Index to maximize the utilization of differential information, which makes Composite-Cut substantially more powerful in detecting differential features, especially, those with subtle signals. A series of comparisons on simulated datasets, DNA Microarray datasets, and RNA-seq gene expression datasets has been conducted to demonstrate that Composite-Cut significantly outperforms existing approaches, such as, the Benjamin-Hochberg approach, the Storey approach, Significance Analysis of Microarrays, voom, limma, DSeq/DSeq2, PossionSeq, edgeR, NBPSeq, EBSeq, baySeq, ShrinkSeq, and so on. The results were endorsed by various supplementary analyses, such as, literature search, gene ontology enrichment analysis, gene set enrichment analysis, survival analysis, dependency analysis, and classification analysis. Literary evidence suggests that the genes called significant only by Composite-Cut are indeed relevant to the underlying biology. Composite-Cut has ability to dig deeper into data and is more sensitive to subtle yet statistically significant evidence while defying the effects of noise. The experimental results also validated that Composite-Cut was capable of identifying relatively more subtle changes (e.g., features with small fold-changes). Such subtle changes were showed to be relevant to the underlying biology. In complex systems, detecting subtle changes can be extremely important because the systematic aggregation and propagation of subtle changes at upstream can cause dramatic downstream effects, which are easier to detect. This invention brings such a capability which ultimately will lead to more insight, discovery, and knowledge in practice.

The invention can be widely practiced to analyze other types of high-dimensional datasets, which require multiple comparisons correction, in many fields including biotech, healthcare, pharmaceutical, finance, and so on. The executable of *Composite-Cut* can be downloaded from http://www.cs.brandeis.edu/~hong/CC/.

Summary:

- Composite-Index is developed to combine multiple statistical tests and formulate FDR control;
- The algorithm, Composite-Cut has been implemented in a software which is available to test;

• Robustness and effectiveness are backed up by various supplementary analyses, such as literature search, Gene Ontology enrichment analysis, and clinical data analysis.

Advantages:

- Can be practiced and accommodated in fields including biotech, healthcare, pharmaceutical, and finance;
- Better performance in analyzing high-dimensional data & identifying subtle yet meaningful changes.

Scientific Publication:

• Y.Z. Bei and P.Y. Hong. "Robust differential expression analysis by learning discriminant boundary in multidimensional space of statistical attributes." BMC Bioinformatics (2016) 17:541. DOI: 10.1186/s12859-016-1386-x



GlycoDeNovo - Modeling Method for Glycan Structures

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

System and Method for Determining Glycan Topolgy Using Tandem Mass Spectra

INVENTORS Pengyu Hong

PATENT STATUS Pending 16/616,831

LICENSING STATUS US rights available

BRANDEIS REF. Case 2017-051

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A Method for de novo Reconstructing Glycan Structures from Mass Spec Data for Use in Bio-Pharmaceutical Design

Background:

Glycosylation is a common modification by which a glycan (or oligosaccharide) is covalently attached to a target biomolecule such as protein and lipids. Glycans are tree ensembles of monosaccharides links via glycosidic bonds formed by the condensation reaction between the hemiacetal group of one monosaccharide (the non-reducing end residue) and a hydroxyl group of another (the reducing end residue). Glycosylation serves important purposes in many biological processes, including protein folding and clearance, cell adhesion, and immunological responses among others. Additionally, glycosylation is one of the key factors that determine the solubility, stability, and efficacy of many biopharmaceuticals. Change in glycosylation patterns are observes under various disease conditions. Glucan structural analysis is essential for understanding their diverse roles in biological systems, however it is a challenging task due to the cast number of topologies that they may assume even for a moderate sized glucan.

Currently, mass spectrometry has become of the most powerful tools for determining glycan structures. Several processing tools exist for determining the topologies of glycans using mass spectrometry. Generally, glycan reconstruction methods use a catalog-library approach that searches experimental mass spectra against pre-built glycan databases. The accuracy of the search results depends not only on the quality of the query (i.e. the tandem MS data) but also on the completeness of the databases. Because glycan databases are generally incomplete, it is necessary to develop a de novo method for determination of glycan structures from their experimental spectra. With enough information (e.g., precursor ion mass, possible monosaccharide components, charge carrier, and product ion masses), brute-force search methods, such as STAT, may be used to compare and experimental tandem mass spectrum to those of all possible theoretical structures. One problem however, is that the number of possible structures increases exponentially as the number of monosaccharides in a glycan increases and thus the search space becomes too big to explore for large glycans. Therefore, this brute-force approach is only feasible where a small number of glycans are at play. Currently, there is a need for a reconstruction method that has a reduced computational complexity, and a method does not rely on a database of known glycans.

Our invention overcomes the drawbacks by providing systems and methods for achieving a de novo method for reconstructing glycan topologies from experimental MS data. The de novo method reconstructs possible glycan topologies in a bottom up way by building an interpretation-graph that interprets some non-precursor peaks as B or C ions and specifies how to interpret each B or C ion by appending one or more preceding B and or C ions to monosaccharide. Additionally, this invention is a machine learning tool that may learn fragmentation patterns to assist in selecting the correct glycan topology from a candidate set of proposed structures.

Advantages:

•It is equipped with a paradigm shift invention that uses machine learning to learn fragmentation rules/patterns to distinguish different fragmentation ions in mass spec data, which can be used to better rank the topology candidates inferred from mass spec data

• The computational complexity is significantly lower than previously reported methods and results in lower and more efficient computation time.

Scientific Publications:

• "De Novo Glycan Sequencing by Electronic Excitation Dissociation and Fixed-Charge Derivatization"



SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

A Profiling and Quality Assessment Tool for Query Optimizers

INVENTORS

Olga Papaemanouil

PATENT STATUS US Pending 16/617,245

LICENSING STATUS US rights available

BRANDEIS REF. Case 1346

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OptMark- A Tool for Assessing Query Optimizers

OptMark

Background:

Query optimizers are one of the most complex components of a database management system. Optimizers are responsible for discovering the most efficient way to process a user's query. Existing performance assessment approaches for database engines produce a performance assessment of the query run-time system rather than its query optimizer. Currently there are no tools for evaluating the quality of query optimizers. To address this challenge, researchers at Brandies University have introduced OptMark, a system for profiling and evaluating the quality of a query optimizer.

OptMark is a toolkit that quantifies the quality of a query optimizer, independently of any other component of the database management system. Our toolkit is able to accomplish this by two ways: first, by decoupling the quality of an optimizer from the quality of its underlying execution engine; and second, by evaluating independently both the effectiveness of an optimizer and its efficacy.

OptMark evaluates the relative performance factor in order to provide an insight into the quality of the optimizer's purposed plan compared with other alternative plans. The evaluation process of this metric requires us to generate a set of alternative plants and collect their execution times. OptMark relies on query hints to affect the optimizer's choice to select a specific plan, however the plan that gets executes may not be the one specified in the hint-based query. It is hard to detect before execution whether a plan is executed as the one specified by the query hints. The execution plan can be manually compared to the hint-based query after execution to check if they are the same, however that would never be efficient with a big workload. Therefore, it would be useful if there is a general approach to check if the execution plan is the same as the one specified by the query hints independently of DBMSs.

OptMark's approach for evaluating the effectiveness of an optimizer involves reporting the three effectiveness metrics absolute performance factor, relative performance factor, and optimality frequency. OptMark is able to report the relative and absolute performance factor of a given profiling query by generating and executing a sample of plans compared with the optimizer chosen plans.

Advantages:

• First toolkit that separates the assessment of the system component from other components, making it possible to compare different optimizers.

• Allows for the comparison of different versions of the optimizer of the same data management system and for the comparison of optimizers of different data management systems.

• It is minimally invasive to the database management system being used.



Releasing Cloud Databases from the Chains of Performance Prediction Models

SEEKING

Exclusive licensing partner and/or sponsored research funding

PATENT TITLE

SYSTEMS, METHODS, AND MEDIA FOR DISTRIBUTING DATABASE QUERIES ACROSS A METERED VIRTUAL NETWORK

INVENTORS Olga Papaemmanouil

PATENT STATUS Pending PCT/US2018/049553

LICENSING STATUS Worldwide rights available

BRANDEIS REF. Case 2017-056

CONTACT

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Discovering Database Queries Through Performance Prediction Models

Background:

Infrastructure-as-a-Service (IaaS) providers offer low cost and on-demand computing and storage resources, allowing applications to dynamically provision resources by procuring and releasing them depending on the requirements of incoming workloads. Compared with traditional datacenters, this approach allows applications to avoid static over provisioned, or under provisioned, systems by scaling up or down for spikes, or decreases, in demand. This is realized by a "pay as you go" model of these services cloud, in which applications pay only for the resources used and only for as long as they are used.

However, taking advantage of these benefits remains a complex task for data management applications, as deploying and scaling an application on an IaaS cloud requires making a myriad of resource and workload decisions. Typically, developers must make decisions about how many machines to provision, which queries to route to which machines, and how to schedule queries within machines. Minimizing (or even predicting) the cost of each of these decisions is a complex task, as the resource availability of each machine and the execution order of the queries within them have great impact on the execution time of query workloads.

Most laaS providers leave it to users to manually instigate a scaling action when their application becomes popular or during periods of decreased demand, and allow users to deploy custom strategies for dispatching workloads to reserved machines. Therefore, in many real-world applications, scaling and workload distributions decisions are made based on rulesof- thumb, gut instinct, or, sometimes, even past data. Even when application developers grasp the complexity of cloud offerings, it is often still difficult to translate an application's performance goal (e.g., queries must complete within 5 minutes, or the average latency must be less than 10 minutes) into a cost effective resource configuration and workload distribution solution. Status quo solutions, such as scaling based on rules-of-thumb, human-triggered events or techniques that rely on a query performance prediction models, fail to fully achieve the promise of laaS-deployed cloud databases. Humans may be drastically incorrect or inaccurate when attempting to predict the best times to scale and what scale to use. Latency prediction- based techniques suffer from a large range of accuracy problems that worsen with scale and unknown query types, inherently undermining the main objective: estimating the cost of using cloud resources while meeting performance goals.

Our invention here relates to Methods, Systems and media for distributing database queries across a metered virtual network are provided, the method encompassing: receiving a first query at a first time; selecting a first virtual machine to execute the first query using probabilistic models that each correspond to one of a plurality of virtual machines; receiving information indicating the cost of executing the first query based at least in part on the execution time of the first query by the first virtual machine; providing an observation to each of the plurality of probabilistic models, wherein the observation includes at least information about the cost of executing the first query; and information about an action selected by the probabilistic model in connection with the first query; and reducing, over time, the costs of executing queries received after the first query based on the observations.

Advantages:

• Eliminates human error when scaling workload distributions.

•Avoids latency prediction based techniques which allows for higher accuracy and better odds at achieving the main objective.

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